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“Fit-for-purpose” Development of Analytical and (Semi)preparative Enantioselective High Performance Liquid and Supercritical Fluid Chromatography for the ~~First-Time~~ Access to a Novel σ_1 Receptor Agonist

1
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20 KEY WORDS: amylose and cellulose derived CSPs, chiral resolution, elution order,
21 enantioselective HPLC and SFC, sigma 1 (σ_1) receptor agonist.

22 **Highlights**

- 23 • Efficient screening for enantioselective analytical and semi-preparative HPLC and
24 SFC results in methods “fit-for-purpose”
- 25 • ~~First~~ Analytical enantiomer separation of (*R/S*)-2-(4-phenylphenyl)-4-(1-
26 piperidyl)butan-2-ol by HPLC or SFC
- 27 • Successful scale-up in enantioselective HPLC and SFC resulting in sufficient
28 amounts for determination of chiroptical properties and assignment of absolute
29 configuration in less than two weeks

30

31 Graphical abstract

32

33 **ABSTRACT**

34 A rapid and straightforward screening protocol of chiral stationary phases (CSPs) in HPLC
35 and SFC resulted in three different methods “fit-for-purpose”, *i.e.* analysis and scale-up to
36 semi-preparative enantioselective chromatography. The efficient use of these three methods
37 allowed expedited preparation of an important drug discovery target, (*R/S*)-1, a potent new
38 sigma 1 (σ_1) receptor agonist. The approach taken resulted in significant savings of both time
39 and labor for the isolation of enantiomers compared to the development of a stereo-selective
40 synthesis.

41 The enantiomers of 1 have been isolated allowing studies of their chiroptical properties and
42 an in-deep comparative examination of the pharmacological profile for the individual
43 enantiomers.

44

45

46

47 1. Introduction

48 The Sigma-1 receptor (σ_1 R) has been intensively studied in an attempt to investigate its role
49 as a therapeutic target in several pathologies [1], including neurodegenerative diseases, such
50 as Parkinson's, Alzheimer's and amyotrophic lateral sclerosis [2], mood disorders [3,4] and
51 pain [5]. In the last decade, our group designed and synthesized a large number of σ_1 R ligands
52 [6,7,8]. Among these, (*R/S*)-2-(4-phenylphenyl)-4-(1-piperidyl)butan-2-ol, (*R/S*)-1 (Table 1)
53 was recently identified as a potent σ_1 R agonist [9]. Given that the stereoselectivity of the
54 ligand binding to σ_1 R remains one of the obscure, yet intriguing aspects of the activity of this
55 protein, (*R*)- and (*S*)-1 were prepared in amount suitable for evaluating their interaction with
56 the biological target and their effect in promoting neurite outgrowth ~~were-evaluated~~. As a
57 result, (*S*)-1 was found to be the best σ_1 R ligand ($K_i\sigma_1 = 4.7$ nM, eudismic ratio = 8) and the
58 only enantiomer effective in enhancing NGF-induced neurite outgrowth at the tested
59 concentrations [9]. Unfortunately, during this study both enantiomers of 1 were obtained in
60 minute amounts, only sufficient to support a preliminary in vitro biological investigation.

61 The work here presented is as a part of our ongoing efforts focused on the development of
62 rapid and easy to use methods suitable for obtaining a quick access to the enantiomers of
63 medicinal chemistry interest with high enantiomeric excess and amounts sufficient for
64 biological investigations [10]. In the light of the above considerations, the aim of the present
65 work was to develop a productive and robust system "fit-for-purpose" [11] suitable for
66 isolating pure enantiomers of 1 in amounts sufficient to support an exhaustive biological
67 investigation. It should be stressed that in medicinal chemistry and early phases of drug
68 development high throughput of candidates rather than sophisticated analytical methods
69 suitable for validation or fully optimized separations dedicated to production under GMP are
70 the main focus. Therefore a general applicable set of experimental conditions was developed
71 and tested employing racemic 1, for which neither a stereoselective synthesis, nor any other
72 method for isolating the enantiomers had been described before.

73 Among the different approaches for the preparation of enantiopure compounds, (semi)-
74 preparative enantioselective high performance liquid chromatography (HPLC) and (semi)-
75 preparative enantioselective supercritical fluid chromatography using chiral stationary phases
76 (CSPs) have been successfully employed for the isolation of the enantiomers of a chiral
77 molecule, being a viable route for straightforward and rapid access to both enantiomers with
78 high optical purity and yields. Accordingly, a fast, pragmatic, and non-comprehensive column
79 screening was the key driver for the rapid establishment of a resolution of 1 *via*
80 enantioselective HPLC and supercritical fluid chromatography (SFC) on chiral stationary

81 phases (~~CSPs~~) [^{12, 13, 14}] at a (semi)preparative scale. The elution order of the two enantiomers
82 could be switched by selection of suitable chromatographic conditions.

83

84 2. Materials and methods

85 2.1 Chemical and instruments

86 Solvents used as eluents (HPLC grade) were obtained from Aldrich (Italy). (*R/S*)-1 was
87 prepared by us, as already described [9].

88 HPLC measurements were carried out on a Jasco system (JASCO Europe, Cremella, LC,
89 Italy) consisting of PU-2089 plus pump, AS-2055 plus autosampler and MD-2010 plus
90 detector. Data acquisition and control were performed using the Jasco Borwin Software.

91 For all SFC runs an Investigator Analytical/(semi)preparative SFC system, Waters SpA
92 (Milan, Italy) was employed. Data acquisition and control of the SFC systems were
93 performed using the Waters SuperChrom Software Waters SpA (Milan, Italy).

94 Retention factors of first and second eluted enantiomer k_a and k_b , respectively, were
95 calculated following IUPAC recommendations [15]; the dead time t_0 was considered to be
96 equal to the peak of the solvent front for each particular run. Resolution was calculated
97 according to Ph. Eur. 2.2.29 [16], enantioselectivity (α) was calculated according to: $\alpha = k_b /$
98 k_a .

99 Optical rotations measurements were determined on a Jasco photoelectric polarimeter DIP
100 1000 system (JASCO Europe, Cremella, LC, Italy) with a 1 dm cell at the sodium D line ($\lambda =$
101 589 nm); sample concentration values c are given in $\text{g } 10^{-2} \text{ mL}^{-1}$.

102

103 2.2 Chiral chromatographic resolution by HPLC

104 Analytical HPLC runs were performed using the commercially available Chiralcel OD-H
105 (150 mm x 4.6 cm, 5 μm), Chiralcel OJ-H (150 mm x 4.6 cm, 5 μm), Chiralpak IC (250 mm x
106 4.6 cm, 5 μm), Chiralpak IA (150 mm x 4.6 cm, 5 μm) and Chiralpak AD-H (150 mm x 4.6
107 cm, 5 μm) columns (Daicel Industries Ltd., Tokyo, Japan). The mobile phase compositions as
108 well as the chromatographic parameters are summarized in Table 1. Sample solutions of the
109 analyte [0.5 mg mL^{-1} in ethanol (EtOH)] were filtered through 0.45 μm PTFE membranes
110 (VWR International, Milan, Italy) before analysis. The injection volume was 10 μL , the flow
111 rate was 1.0 mL min^{-1} and detection wavelength was 254 nm. All experiments were
112 performed at room temperature (r.t.).

113 (Semi)preparative HPLC runs were carried out employing a Chiralcel OJ-H column (250 mm
114 \times 10 mm, 5 μm) (Daicel Industries Ltd., Tokyo, Japan), eluting with methanol (MeOH)/
115 diethylamine (DEA) (99.9/0.1; v/v) at a flow rate of 3 mL min^{-1} . Sample solutions of analytes
116 (3 mg mL^{-1} in MeOH) were filtered before analysis. The injection volume was 1 mL and the
117 UV detection at 254 nm (r.t). For the preparative HPLC runs the flow rate calculated from the

118 linear scale-up (i.e. approx. 5 mL min⁻¹) led to a partial co-elution of an achiral impurity in
119 the starting material; therefore the flow rate was reduced to 3 mL min⁻¹, for which no
120 significant co-elution was observed.

121 The collected fractions were evaporated at reduced pressure. In process control was
122 performed using an analytical Chiralcel OJ-H column.

123

124 *Please insert Table 1*

125

126 *2.3 Chiral chromatographic resolution by SFC*

127 SFC analytical screening was carried out employing Chiralpak IA (250 mm x 4.6 cm, 5 µm)
128 and Chiralpak IC (250 mm x 4.6 cm, 5 µm). A pilot screening was performed by gradient
129 elution using carbon dioxide (CO₂) mixed with i) polar modifiers (MeOH, EtOH or
130 isopropanol (IPA) added with 0.1% DEA) or ii) mixtures of n-heptane (n-Hp) and alcohols
131 (IPA or EtOH) added with 0.1% DEA. ~~and~~, Successively, isocratic runs were performed.
132 Results are summarized in Table 2. Sample solutions were prepared by dissolving the analyte
133 at 1 mg mL⁻¹ in IPA. The injection volume was 10 µL, the flow rate 4 mL min⁻¹ and the
134 detection wavelength was 254 nm. All experiments were performed at 40°C.

135 The (semi)preparative runs were carried out employing either a Chiralpak IA (250 mm x 10
136 mm, 5 µm) eluting with 70% of CO₂ and 30% of n-Hp/EtOH/DEA (9/1/0.1, v/v/v) at a flow
137 rate of 10 mL min⁻¹, or a Chiralpak IC column (250 mm x 10 mm, 5 µm), eluting with 75%
138 CO₂ and 25% of n-Hp/IPA/DEA (9/1/0.1, v/v/v) at a flow rate of 8 mL min⁻¹. Sample
139 solutions of analytes (10 mg mL⁻¹ in IPA) were filtered before analysis. For the preparative
140 SFC runs the flow rate calculated from the linear scale-up (approx. 20 mL min⁻¹) was out of
141 the operating range of the instrument; however, it could be increased to 8 mL min⁻¹ on
142 Chiralpak IA, due to the partial co-elution of the two enantiomers, and even to 10 mL min⁻¹
143 on Chiralpak IC for which the two enantiomers were separated better. Fraction collection was
144 performed according to the UV profile; analytical in process control of collected fractions was
145 performed using the Chiralpak IA column eluting with 70% of CO₂ and 30% of a mixture of
146 n-Hp/EtOH/DEA (90/10/0.1, v/v/v). The collected fractions were evaporated under reduced
147 pressure.

148

149 *Please insert Table 2*

150

151 3. Results and Discussion

152 The synthesis of racemic **1** and analogous biphenyl-alkylamines has been reported four
153 decades ago [¹⁷]. However, no stereo-selective synthesis or enantioselective chromatographic
154 method for obtaining the single enantiomers **in g scale** has been described ever before. In
155 order to obtain both enantiomers of **1** in amounts sufficient for an exhaustive biological
156 investigation, preparative enantioselective HPLC and SFC separations were developed,
157 scaled-up and the obtained results compared. The design of experiments followed the general
158 strategy recently outlined by analytical development groups working at Pfizer and Vertex
159 focusing on methods “fit-for-purpose” in early stages of drug development [11]. “Fit-for-
160 purpose” means that “the method used is sufficient to answer the question *at the time of need*,
161 but will probably change as the development progresses” [11]. In view of the good solubility
162 of **1** in alcohols only normal phase and polar organic solvent chromatography were tested [¹⁸].

163

164 *3.1 Analytical screening and development of a scalable enantiomer separation of 1*

165 For HPLC the screening started with a standard protocol for cellulose and amylose derived
166 CSPs [¹⁹] which was applied to Chiralpak IC, Chiralcel OD-H and Chiralcel OJ-H (all
167 cellulose derivatives) as well as to Chiralpak IA and Chiralpak AD-H (amylose derivatives).
168 We intentionally narrowed our screening to some of the most **versatile promising** CSPs
169 available in our laboratories; elution conditions in the screening included alcohols (methanol,
170 ethanol and 2-propanol) and mixtures of *n*-heptane and polar modifiers (ethanol or 2-
171 propanol). Results of the screening protocol are reported in Table 1 as **retention factor**
172 **capacity factor** (*k*), selectivity (α) and resolution (R_s) factors.

173 The retention times of **1**-enantiomers on Chiralpak IC and IA with non-polar eluent
174 compositions were quite long and do not give grounds for a productive scale-up; with polar
175 eluents no separation was observed. Enantiomer separation of **1** on Chiralcel OD-H could
176 only be achieved when using a mobile phase with very high alkane content, while the results
177 on Chiralcel OJ-H turned out to be quite promising for further scale-up. Interestingly
178 Chiralpak IA (the immobilized version of Chiralpak AD-H) shows significantly longer
179 retention times in comparison to its non-immobilized analogue employing alkane-based
180 mobile phases, while retention behavior and enantioselectivity with methanol and ethanol as
181 mobile phase are very similar and do not allow enantiomer separation of **1**.

182 Using pure methanol as eluent (with 0.1% DEA) relatively short retention times (3.4 min for
183 the first eluted enantiomer and 4.6 min for the second), high enantioselectivity and good
184 resolution ($\alpha = 1.8$, $R_s = 3.9$ at r.t.) could be observed on Chiralcel OJ-H (Fig. 1A).

185 Accordingly, these experimental conditions are suitable for the scale-up to (semi)preparative
186 scale. In view of these results no further attempts were made to extend the screening under
187 HPLC conditions.

188 Simultaneously, we tested enantioselective SFC for the enantiomer separation of 1, which is
189 considered as one of the most rapid and efficient methods for obtaining directly both
190 enantiomers in high optical purity [^{20,21,22,23}]. Recently, the advantages of enantioselective
191 SFC over HPLC in analytical [^{24,25}] and preparative separations [²⁶] have been reported
192 ~~reviewed~~ by several authors. Due to lower viscosities SFC allows running chromatographic
193 separations at faster flow rates [²⁷] and often gives the opportunity to use less solvent in the
194 final fraction. Therefore a straightforward and fast screening [^{28,29}] of suitable chiral
195 stationary phases and polar modifiers (MeOH, EtOH and IPA; all with 0.1% DEA) under
196 gradient conditions (5% to 45%) was performed. First scouting experiments on two columns
197 (Chiralpak IA and Chiralpak IC) using the aforementioned solvents resulted in five
198 enantiomer separations of 1 (Table 2) under 10 minutes. Only the use of EtOH as polar CO₂
199 modifier did not result in chiral resolution of 1 on Chiralpak IA. Also in this case the
200 screening was not broadened considering the high success rate of the first experiments.

201 In a second step, the optimization of selectivity and resolution was performed under isocratic
202 conditions, excluding unpromising experiments from the screening matrix (e.g. experiments
203 with pure EtOH as polar modifier on Chiralpak IA). We included also mixtures of *n*-heptane
204 with IPA and EtOH in the screening and, at the first glance ~~surprisingly~~, an excellent
205 separation on Chiralpak IA was discovered with 30 % *n*-heptane/EtOH (90/10, v/v) in CO₂
206 (Fig. 1B and Table 2, $\alpha = 1.25$, $R_s = 2.56$). However, as our screening under HPLC conditions
207 (Table 1) had shown, Chiralpak IA shows good enantioselectivity employing various ratios of
208 *n*-Hp/EtOH, even though retention times were relatively long compared to other conditions.
209 In view of the relatively high content of modifier it can be assumed that the separation is no
210 longer under supercritical conditions, but subcritical conditions, in which compressed CO₂ ~~is~~
211 ~~no longer a fluid~~ is no longer a supercritical fluid, but a liquid [^{30,31}]. Retention times are
212 significantly reduced in comparison to the HPLC conditions due to the fourfold higher flow
213 rate. In a similar way also the separation conditions on Chiralcel IC were optimized. The best
214 conditions in regard to enantioselectivity and resolution were found using 25 % IPA/ *n*-
215 heptane (90/10, v/v) in CO₂ (Fig. 1C and Table 2, $\alpha = 1.39$, $R_s = 4.25$).

216

217 *Please insert Figure 1*

218 *3.2 Preparation of 1 enantiomers through HPLC and SFC systems*

219 Preparative resolution of enantiomers using HPLC and SFC is a powerful technique for rapid
220 generation of enantiomers in pharmaceutical discovery [26]. Employing a HPLC system,
221 among the most important prerequisites for an economic and productive preparative
222 enantiomer separation are retention times as short as possible, a high solubility of the
223 racemate and the enantiomers in the eluent/injection solvent and the use of a mobile phase
224 consisting of a pure low-cost solvent, facilitating workup and re-use of mobile phase. As
225 previously discussed, using a Chiralcel OJ-H and pure methanol as eluent (with 0.1% DEA),
226 relatively short retention times (3.4 min for the first eluted enantiomer and 4.6 min for the
227 second), high enantioselectivity and good resolution ($\alpha = 1.8$, $R_s = \del{3.9} 4.9$ at r.t.) could be
228 observed (Fig. 1A). Accordingly, these experimental conditions were selected for the scale-up
229 to (semi)preparative scale [32]. Based on scale-up calculations [33,34] the enantiomer
230 separation was transferred to a Chiralcel OJ-H column with an ID of 10 mm on which a
231 maximum of 3.0 mg could be separated in one run within 16 minutes. 21 mg (*R/S*)-1 have
232 been processed in 7 cycles affording 8.7 mg of the first (yield: 43.3%; ee = 99.9 %; $[\alpha]_D^{20} +$
233 24.0) and 9.1 mg of the second eluted enantiomer (yield: 45.5 %; ee = 99.9 %; $[\alpha]_D^{20} -$ 24.0)
234 at an overall yield of 88.8 % (Table 3). Therefore, using the available (semi)preparative set-up
235 per day 270 mg racemic 1 can be processed using enantioselective HPLC on Chiralcel OJ-H.
236 Based on these experiments a specific productivity [35,36] of 27 g racemate separated per 24 h
237 on 1 kg of CSP can be assumed.

238 Regarding SFC technique, both separations which gave rise to the best resolutions were
239 scaled-up employing (semi)preparative columns with an inner diameter of 10 mm and 250
240 mm length packed with 5 μm CSPs optimized [37]. Starting from an injection volume of 50
241 μL and a flow rate of 5 mL min^{-1} , as suggested by literature [38], gradual steps of both
242 parameters were performed. The best profiles were obtained 1) on Chiralpak IA injecting 50
243 μL per run and eluting at a flow rate of 10 mL min^{-1} , 2) on Chiralpak IC injecting 50 μL per
244 run and eluting at a flow rate of 8 mL min^{-1} . In detail, using Chiralpak IA 20 mg (*R/S*)-1 could
245 be processed in 40 cycles of 10 min each (Fig. 2A). 9.1 mg of the (*S*) enantiomer (first eluted,
246 yield: 45.5%; ee = 99.9 %; $[\alpha]_D^{20} +$ 24.0) and 8.2 mg of the (*R*) enantiomer (second eluted
247 yield: 41.0 %; ee = 94.5 %; $[\alpha]_D^{20} -$ 23.1) at an overall yield of 86.5 % (Table 3). On
248 Chiralpak IC 20 mg (*R/S*)-1 has been processed in 40 cycles of 11 min each (Fig. 2B). 9.6 mg
249 of the (*R*) enantiomer (first eluted, yield: 48.0%; ee = 99.1 %; $[\alpha]_D^{20} -$ 23.9) and 9.5 mg of the
250 (*S*) enantiomer (second eluted, yield: 47.5 %; ee = 98.9 %; $[\alpha]_D^{20} +$ 23.8) at an overall yield of
251 95.5 % (Table 3). In summary, using the available (semi)preparative set-up per day 72 mg

252 racemic 1 can be separated using enantioselective SFC on Chiralpak IA. Based on these
253 experiments a specific productivity of 7.2 g racemate separated per 24 h on 1 kg of CSP can
254 be assumed [35]. On Chiralpak IC in SFC 64.8 mg of racemic 1 can be separated/24 h. The
255 specific productivity estimated is in the range of 6.5 g per kg CSP/24 h. The specific
256 productivities observed are at least two orders of magnitude under those observed for
257 commercial processes [36], ~~however, the objective of our development work was to obtain the~~
258 ~~previously never before described enantiomers in the quickest possible way with the tools at~~
259 ~~hand employing methods “fit-for-purpose”~~. Actually, productivity of SFC separation could
260 have been improved further performing a full optimization of the process (*i.e.* by using mobile
261 phase composition ensuring higher solubility of the analytes - some portion of
262 dichloromethane for example- or stacked injections instead of batch injections). Actually, the
263 process was not fully optimized in preparative scale, mainly due to the limited amount of the
264 molecule available, and also considering that i) the optimization might have taken one or two
265 days, by which the compound was already isolated in high yield and enantiomeric excess,
266 and, more importantly, ii) the objective of our development work was to obtain the
267 enantiomers in the quickest possible way with the tools at hand employing methods “fit-for-
268 purpose”.

269 Our experiments show that the elution order [³⁹] for the enantiomers of 1 is *S* before *R* on
270 Chiralcel OJ-H in HPLC as well as on Chiralpak IA in SFC and *R* before *S* on Chiralpak IC in
271 SFC, which allows to choose which enantiomer will be eluted as first peak (cf. Figure 2 and
272 Table 3).

273

274 *Please insert Figure 2 and Table 3*

275

276

277 4. Conclusions

278 A systematic and pragmatic screening protocol for enantioselective HPLC was established for
279 1, which led to a fast and easy-to-use chiral HPLC separation suitable for a (semi)preparative
280 scale-up. Overall time frame for screening, linear scale-up and isolation of *R*- and *S*-1 was
281 less than two weeks.

282 As a result of a first standard screening, it was found that Chiralcel OJ-H and a mixture of
283 methanol/diethylamine (99.9/0.1, v/v) lead to relatively short retention times, high
284 enantioselectivity and good resolution ($\alpha = 1.8$, $R_s = 3.9$). The (+)-(*S*)-1 enantiomer elutes as
285 the first peak on Chiralcel OJ-H. The developed method proved to be suitable for obtaining a
286 quick access to the desired enantiomers with enantiomeric excess as high as 99.9% and
287 amounts sufficient for preliminary biological assays.

288 A rapid screening protocol under SFC-conditions run in parallel made it possible to identify
289 another number of promising conditions for the enantiomer separation of 1. The protocol
290 under SFC condition revealed an inversion of elution order of the enantiomers on Chiralcel IC
291 using CO₂ with 25 % of the polar modifier IPA/n-heptane/diethylamine (90/10/0.1, v/v/v) as
292 eluent.

293 Scale-up to (semi)preparative SFC allowed assessing productivities and recoveries under
294 HPLC and SFC conditions. Even though recoveries and yields in (semi)preparative HPLC
295 and SFC are in the same range and compounds with high enantiomeric excess were obtained
296 through both technologies, the specific productivity of SFC method is almost 4 times lower
297 than the specific productivity observed in (semi)preparative HPLC.

298 Employing the SFC system, the bottle neck is the injection volume possible for each run (50
299 μ L), which turned out to be very limited. The eluent consumption on Chiralpak IA and
300 Chiralpak IC is 3.3 and 2.6 times higher, respectively. However, under the consideration that
301 the eluents in SFC consisted of 70 or 75 % CO₂, the organic solvent use for Chiralpak IA is
302 equal to the amount of solvent used in (semi)preparative HPLC on Chiralcel OJ-H and one
303 third lower on Chiralcel IC.

304 In summary, enantioselective (semi)preparative HPLC proved to be superior in the case of 1
305 in terms of specific productivity compared to SFC in our laboratory. The (-)-(*R*)-1 and (+)-
306 (*S*)-1 enantiomers were obtained **for the first time** with an ee >99% and therefore can be used
307 for an in-deep comparative examination of the pharmacological profile for the individual
308 enantiomers of the new σ 1 receptor agonist 1.

309 The recovery of the enantiomers after chromatography was in the range of 41 to 48 %,
310 equivalent to 82 up to 96% of the theoretically possible yield of the individual enantiomers.

311 (Semi)preparative enantioselective chromatography for compounds of interest in medicinal
312 chemistry proves to be a straightforward, productive and robust methodology for the quick
313 access to the desired amounts of pure enantiomers even at low specific productivities. It
314 remains one of the most versatile and cost effective tools for fast isolation of desired
315 enantiomers from a racemic mixture.

316 Analytical and semi-preparative enantiomer separations have been developed “fit-for-
317 purpose” using a limited number of CSPs and sub-optimal equipment for scale-up. In case
318 larger amounts of the desired enantiomers will be required an intensified and broader
319 screening of CSPs and mobile phases will be employed, for which ample protocols exist.

320 Compound 1 is one in a series of more than twenty structurally related compounds that have
321 recently been screened and successfully separated employing the “fit-for-purpose”-protocol
322 developed by the authors.

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324

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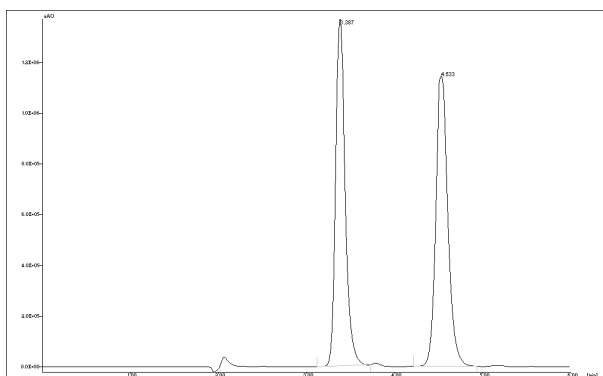
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Figure legends

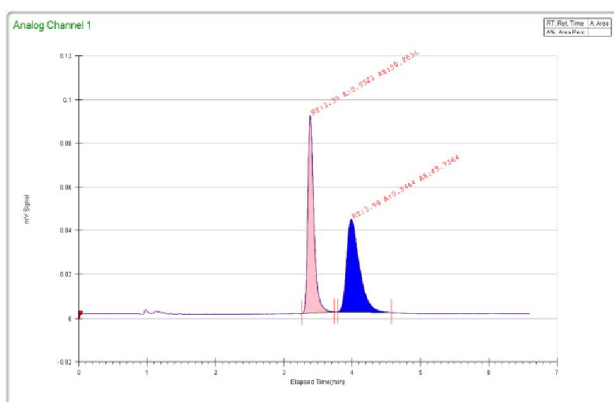
Figure 1. Analytical enantiomer separation of (R/S)-1 on A) Chiralcel OJ-H (4.6 mm x 150 mm, $d_p = 5\mu\text{m}$), t_{R1} : 3.4 min; t_{R2} : 4.6 min at r.t; B) Chiralpak IA (25 cm x 0.46 cm, $d_p = 5\mu\text{m}$), t_{R1} : 3.39 min; t_{R2} : 3.99 min at 40°C; C) Chiralpak IC (25 cm x 0.46 cm, $d_p = 5\mu\text{m}$), t_{R1} : 2.82 min; t_{R2} : 3.53 at 40°C For all: Injection volume 10 μl , detection at 254 nm, eluent composition and flow rates see text in Figure.

A



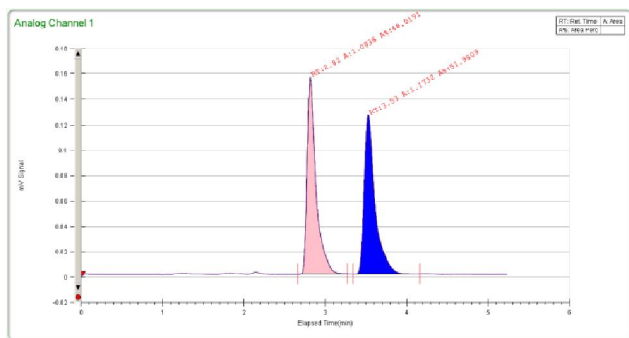
System: **HPLC**
 Column: Chiralcel OJ-H
 Eluent: MeOH/DEA (99.9/0.1, v/v)
 Flow rate: 1.0 mL min⁻¹
 $\alpha = 1.83$; $R_s = 4.89$

B



System: **SFC**
 Column: Chiralpak IA
 Eluent: 70% CO₂, 30% n-Hp/
 EtOH/DEA (9/1/0.1, v/v/v)
 Flow rate: 4.0 mL min⁻¹
 $\alpha = 1.25$; $R_s = 2.56$

C



System: **SFC**
 Column: Chiralpak IC
 Eluent: 70% CO₂, 25% IPA/n-Hp/
 DEA (9/1/0.1, v/v/v)
 Flow rate: 4.0 mL min⁻¹
 $\alpha = 1.39$, $R_s = 4.25$

Figure 2. (Semi)preparative enantiomer separation of 1 by SFC and final analysis.

Left: (Semi)preparative enantiomer separation of racemic 1 A) on Chiralpak IA (10.0 mm x 250 mm, $d_p = 5\mu\text{m}$), eluting with 70% of CO₂ and 30% of n-Hp/EtOH/DEA (9/1/0.1%, v/v/v), flow rate 10 mL min⁻¹, t_{R1} : 6.5 min, t_{R2} : 7.25 min ($\alpha = 1.16$, $R_s = 0.93$), injection volume 0.05 mL ($c = 10\text{ mg mL}^{-1}$ in IPA); and B) on Chiralpak IC (10.0 mm x 250 mm, $d_p = 5\mu\text{m}$), eluting with 75% of CO₂ and 25% of IPA/n-Hp/DEA (9/1/0.1%, v/v/v), flow rate 8 mLmin⁻¹, t_{R1} : 7.1 min; t_{R2} : 9.2 min ($\alpha = 1.45$, $R_s = 2.25$). In both cases the injection volume

was 0.05 mL ($c = 10 \text{ mg mL}^{-1}$ in IPA), detection at 254 nm at 40°C. Cut-points for fraction collection are indicated in the chromatogram with horizontal dashes (□).

Right: Analytical enantioselective analysis of first and second collected fraction on Chiralpak IA (4.6 mm ID x 250 mm, $dp = 5 \mu\text{m}$), eluting with 70% of CO_2 and 30% n-Hp/EtOH/DEA (90/10/0.1, v/v/v), flow rate 4 mL min^{-1} at 40°C. Analytes were detected at 254 nm. A) t_{R1} : 3.21 min; t_{R2} : 3.71 min at 40°C; B) t_{R1} : 3.33 min (second eluted enantiomer on Chiralpak IC) t_{R2} : 3.95 min (first eluted enantiomer on Chiralpak IC).

Figure 2

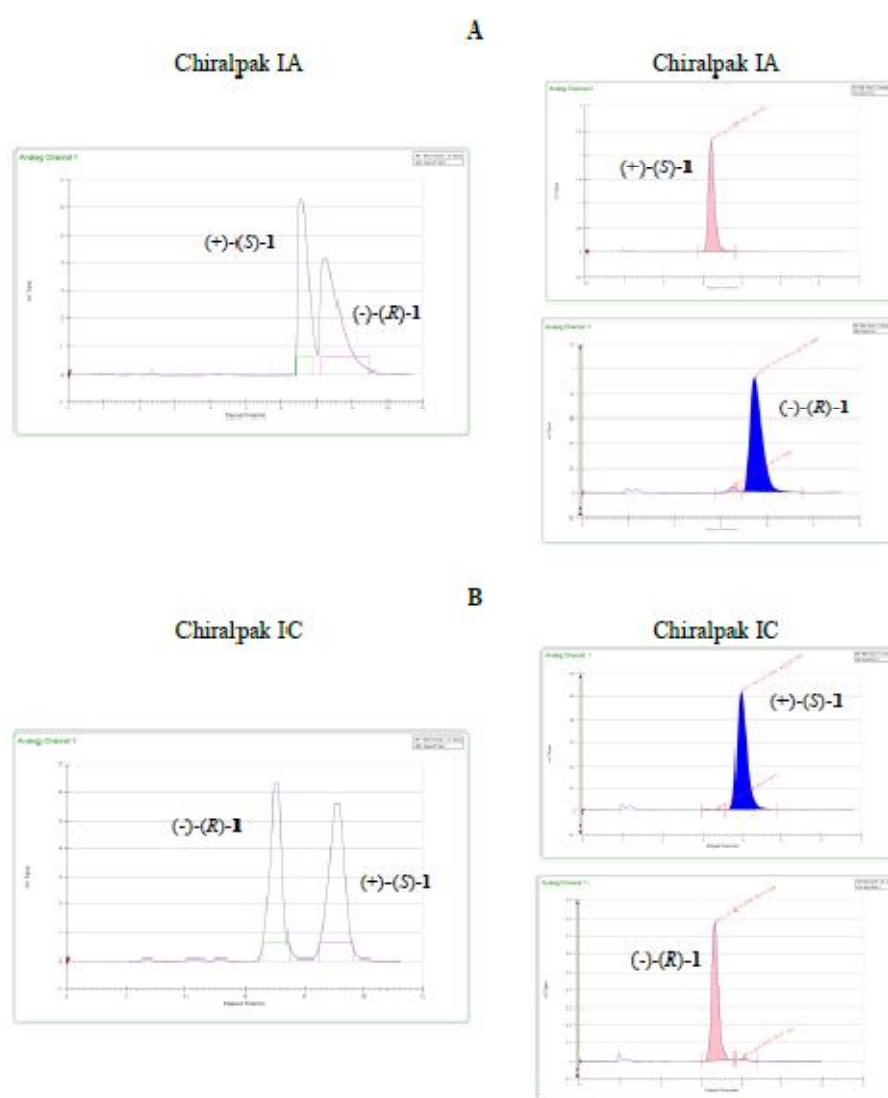
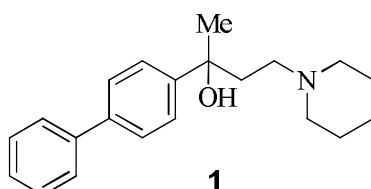


Table 1

Screening results for enantiomer separation of (*R/S*)-2-(4-phenylphenyl)-4-(1-piperidyl)butan-2-ol, (*R/S*)-**1**, via HPLC.



cellulose based CSPs

Eluent ^a	Chiralpak IC ^b				Chiralcel OD-H				Chiralcel OJ-H			
	k_A	k_B	α	R_s	k_A	k_B	α	R_s	k_A	k_B	α	R_s
A	0.36		1	n.a.	0.34		1	n.a.	0.69	1.27	1.83	4.89
B	1.05		1	n.a.	0.19		1	n.a.	0.37	0.69	1.84	2.99
C	5.22	7.06	1.35	3.37	0.26		1	n.a.	0.69	1.05	1.52	2.95
D	4.22	5.02	1.19	2.54	0.25		1	n.a.	0.94	1.50	1.60	3.75
E			n.a.		0.44	0.71	1.59	1.61			n.a.	

amylose based CSPs

Eluent ^a	Chiralpak IA ^b				Chiralpak AD-H			
	k_A	k_B	α	R_s	k_A	k_B	α	R_s
A	1.18		1	n.a.	0.69	0.89	1.29	1.69
B	1.1		1	n.a.	0.9		1	n.a.
C	2.38	2.88	1.20	2.07	0.76	0.97	1.28	2.0
D	5.63	7.13	1.27	4.88	1.07	1.36	1.27	1.77
E			n.t.				n.t.	

^a Mobile phases: A: MeOH; B: EtOH; C: *n*-Hp/EtOH (90/10, v/v); D: *n*-Hp/EtOH (95/5, v/v); E: *n*-Hp/IPA (98/2, v/v). All mobile phases contained 0.1% DEA. n.t. not tested; n.a. not applicable.

^b Mobile phase contained 0.3% TFA.

Table 2Screening results for enantiomer separation of (*R/S*)-**1** via SFC.

Organic modifier ^a	Per-centage [%]	Chiral Stationary Phase							
		Chiralpak IA				Chiralpak IC			
		k _A	k _B	α	Rs	k _A	k _B	α	Rs
MeOH	5-45^b	4.9	5.5	1.12	1.48	6.4	6.9	1.08	1.57
	10	6.6	8.3	1.26	1.18	n.t.	10	6.6	8.3
	20	2.4	3.2	1.33	1.89	4.2	5.0	1.19	2.07
	30			n.t.		1.9	2.2	1.16	1.18
EtOH	5-45^b	4.2	1.0	n.a.	4.9	5.5	1.12	1.74	4.2
IPA	5-45^b	3.9	4.3	1.10	1.77	5.1	5.9	1.16	2.07
	15	2.2	2.9	1.32	2.95			n.t.	
	20	1.4	1.8	1.29	1.95	2.4	3.4	1.42	1.11
	25	0.9	1.2	1.33	2.12			n.t.	
	30			n.t.		1.2	1.6	1.33	0.89
IPA/<i>n</i>-Hp (9/1, v/v)	15	1.4	1.9	1.36	2.66			n.t.	
	25			n.t.		1.8	2.5	1.39	4.25
	30			n.t.		1.3	1.8	1.39	2.70
IPA/<i>n</i>-Hp (8/2, v/v)	15	2.5	3.3	1.32	1.77			n.t.	
<i>n</i>-Hp/EtOH (9/1, v/v)	30	2.4	3.0	1.25	2.56			n.t.	

^a All modifiers contained 0.1% DEA; ^b Gradient conditions: linear decrease from 95 to 55 % of CO₂ from the time 0 to 10.25 minutes; isocratic at 55% CO₂ for 2 minutes; return to the

initial conditions (95% CO₂) in 15 seconds, equilibration of the system from 12.40 min to 18 min at 95% CO₂; n.t. not tested.

Table 3 Conditions and isolated amounts of (+)-(*S*)-**1** and (-)-(*R*)-**1** obtained by (semi)preparative enantioselective SFC or HPLC starting from racemic **1**.

System	(Semi) preparative CSP	Amount of (<i>R/S</i>)- 1 separated [mg]	n° cycles	Vol. Inj (μL)	Specific Productivity [kkd] ^d	Isolated amount [mg]	ee [%]	Yield [%]	[α] _D ^{20a}
HPLC	Chiralcel OJ-H	21	7	1mL ^b	0.0270	8.7	99.9	43.3	+ 24.0
						9.1	99.9	45.5	- 24.0
SFC	Chiralpak IA	20	40	50μL ^c	0.0072	9.1	99.9	45.5	+ 24.0
						8.2	94.5	41.0	- 23.1
	Chiralpak IC	20	40	50μL ^c	0.0065	9.6	99.1	48.0	- 23.9
						9.5	98.9	47.5	+ 23.8

^a c = 0.50 % in MeOH

^b c = 3 mg mL⁻¹ in MeOH

^c c = 10 mg mL⁻¹ in IPA

^d kkd = kg racemate separated per kg CSP per day