

Easy Accommodation of Different Oxidation States in Iridium Oxide Nanoparticles With Different Hydration Degree as Water Oxidation Electrocatalysts

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ABSTRACT

In this paper we present a comprehensive study on low hydration Ir/IrO₂ electrodes, made of an Ir core and a IrO₂ shell, that are designed and synthesized with an innovative, green approach, in order to have a higher surface/bulk ratio of Ir-O active centers. Three materials with different hydration degrees have been deeply investigated in terms of structure and microstructure by means of Transmission Electron Microscopy (TEM) and Synchrotron Radiation techniques such as High Resolution (HR) and Pair Distribution Function (PDF) quality X-Ray Powder Diffraction (XRPD), X-Ray Absorption Spectroscopy (XAS), and for what concerns their electrochemical properties by means of cyclic voltammetry and steady state *I/E* curves. The activity of these materials is compared and discussed in the light of our most recent results on hydrous IrO_x. The main conclusion of this study is that the Ir core is non-interacting with the IrO_x shell, the latter being able to easily accommodate Ir in different oxidation states, as previously suggested for the hydrated form, thus explaining the activity as electrocatalysts. In addition, *in-operando* XAS experiments assessed that the catalytic cycle involves Ir(III) and (V), as previously established for the highly hydrated IrO_x material.

KEYWORDS: EXAFS, XANES, in-operando, HR-XRPD, PDF, water electrolysis, reorganization energy, catalytic cycle

1. INTRODUCTION

Iridium oxide has undoubtedly been one of the most studied electrocatalysts for water oxidation, after the seminal works on Dimensionally Stable Anodes (DSA anodes[®]), in which the oxidic material is prepared by direct thermal decomposition of relevant precursors¹. In the last two decades, the main effort was devoted at dispersing the expensive iridium oxide in a stable, low-cost matrix,^{2,3,4,5} particularly for use in acidic polymer electrolyte water electrolysis. In the most recent years, IrO₂ was considered a promising catalyst in one of the most efficient combinations of photoconverter/catalyst for sunlight-driven hydrogen production as in reference 6, where ~~In the latter case,~~ IrO₂ colloidal nanoparticles are deposited onto hematite photoanodes to attain 3 mA cm⁻² at 1.23 V (RHE). However, the activity of IrO₂ as a photo-electrocatalyst is controversial, since some authors ~~demonstrated that,~~ under illumination, it may show lesser activity than materials usually considered worse electrocatalysts (*e.g.* Pt)⁷ or that it can lower the steady state photocurrent at the highest potentials.⁸ Nonetheless, IrO₂ remains the reference material for the Oxygen Evolution Reaction (OER), especially in acidic environment, due to its high turnover frequency, that was recently compared to other materials by means of Dynamic Potential/pH Diagrams (DPPDs).⁹ This is supported by the wide use of IrO₂-based anodes in industrial processes.¹⁰

Quite recently, new insights on the role of Ir active sites in OER were obtained by some of the present Authors by means of X-Ray Absorption Spectroscopy (XAS), allowing the direct observation of more than one Ir speciation during oxygen evolution: the values of III and V to the oxidation states of Ir active centers during the catalytic cycle were assessed.¹¹ To this aim, a highly hydrated form of the oxide (Electrodeposited Iridium Oxide Films, EIROF), in which all the Ir centers are known to be addressable by the application of external bias, was used in order to be able to work with a bulk techniques like XAS.

EIROF, being a hydrous, amorphous catalyst,¹² is attracting increasing interest because of the possibility of isomorphous layers with high defectivity of each site and, in turn, expected higher activity and a more optimal usage of Ir active sites with respect to crystalline materials. ~~However~~ Even though, it might not represent the optimal form of IrO_x due to its poor stability¹³, it was shown ~~On the~~

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~~other side, Liu et al.~~¹⁴ ~~demonstrated~~ that hydrous materials show a higher activity when coupled to a semiconductor in a photoelectrochemical cell because of the formation of an adaptive junction.

In ~~this the present~~ work the preparation of different iridium oxide-based materials with different hydration degrees is reported, aiming at finely comparing their features in terms of structure, microstructure and electrochemical properties. We also aim to either confirm or exclude the existence of an OER catalytic cycle that includes different oxidation states of Ir and to study any possible modification of the Ir chemical environment during the catalytic cycle. This is supported by a detailed morphological, structural and microstructural study, by means of Transmission Electron Microscopy (TEM), High Resolution (HR) and Pair Distribution Function (PDF) quality X-Ray Powder Diffraction (XRPD). In-situ Extended X-ray Absorption Fine Structure (EXAFS), X-ray Absorption Near Edge Structure (XANES) have been also employed for studying the local chemical environment and electronic structure of Ir under working conditions; PDF analysis allows determining the real space distribution of interatomic distances up the tens of nanometer scale,^{15,16,17} and supplies important complementary information to EXAFS.

For *in-situ* XAS, high penetration X-rays require a high surface area material to allow the study of surface phenomena. ~~In other words, and~~ a material with a high surface/bulk ratio of Ir-O sites is required. Unfortunately, this condition is hardly attainable when a calcination step has to be introduced in the synthetic step to yield low-hydration powders. To this aim, we synthesized Ir/IrO_x composites in which a stable Ir metal core limits the percentage of non-surface Ir-O sites. We will show that this approach is effective and that the composite Ir/IrO_x materials show good response and stability, at least for the time-length of the experiments considered in the present work.

For the preparation of Ir-core particles, we introduced a synthetic way that exploits a facile synthesis of IrO_x highly hydrated 2 nm nanoparticles^{18,19} by introducing an osmosis purification step and then a calcination step. ~~Quite interestingly, where~~ the ~~initial~~ production of Ir nanoparticles from the Ir(III) precursor occurs concomitantly with the purification step by means of the dialysis membrane, which contains glycerol, ~~acting thus as a~~ reducing agent. This method can be safely classified among similar ones using alcohols (likely from vegetal extracts) as reducing agents for the preparation of “green”-nanoparticles.²⁰ The electrochemical properties of these materials were investigated by cyclic voltammetry (CV) and analysis of *I/E* steady state curves.

Thanks to this approach, the low-hydration materials here considered represent optimal substrates for *in-operando* XAS, the latter leading to the direct observation of the Ir catalytic cycle and to the analysis of the relevant fine structure of the active sites during the OER.

To the authors' best knowledge, the evidences shown here are the first example of *in-operando* XAS on low-hydration electrode materials capable of evidencing charge transfer reaction mechanism.

2.EXPERIMENTAL

2.1 Electrodes and Standards In this work we consider one type of electrode material obtained by two strategies that differ in one step. (1) IrO_x nanoparticles (NPs) were prepared starting with a 17 mM aqueous IrCl₃·3H₂O (Alfa Aesar) solution adjusted to pH 13 with aqueous 1 M NaOH and then heated at 90 °C for 20 min under stirring, and immediately cooled in an ice-bath. As reported in ¹⁹⁴⁹, a blue suspension is thus obtained, that was then purified (and partially reduced as discussed below) by dialysis in a glycerol-containing SpectrumLabs Spectra/Por 4 Basic cellulose membrane. Before purification, an aliquot of the blue suspension was used to prepare the el_IrO_xNPs electrode by immersion of a carbon electrode in the suspension and applying a constant potential of 1.8 V vs. a Pt lamina, operating as counter electrode, for 600 s. The support is a custom-made carbon disposable electrode (DRP-P-C11XX, Dropsens) that includes a Ag track for the external electrical connection, properly insulated to avoid any Ag leak into the electrolyte solution. The powder was finally dried at 80 °C to obtain powders P1 and finally calcined. Powder 2 (P2) is the result of calcination of P1 at 450 °C under O₂ flow. The choice of 450 °C come from the need of attain sufficient crystallinity without excessively compromising the specific surface area.²¹ For electrochemical analysis, the materials (P1 and P2, to give el_1 and el_2 respectively) are deposited onto the conductive support by dropcasting 55 μL of a 3.5 mg/mL dispersion. This corresponds to a loading equal to 1 mgcm⁻² of Ir. To improve the adhesion of the powder onto the support, 2.5 μL of a 0.15 w% Nafion suspension was added onto the iridium oxide nanoparticles deposit.²² The support is the same used for IrO_x NPs. As reference samples for XAS, IrCl₃ (Alfa Aesar) and pure IrO₂ were used. The latter was prepared by calcination at 700 °C under oxygen flux (50 NL/h) for 2 h of IrCl₃, that was previously finely ground for 10 min. For the measurements, a selected amount of sample was mixed with cellulose and then pressed to a pellet.²³

2.2 Spectroelectrochemical Cell.²⁴ It consists of a PTFE cell that contains the electrolyte solution (0.5 M aqueous H₂SO₄), a Pt foil counter electrode, and the reference electrode (AgCl/Ag in 0.1 M KCl). The reference electrode is separated from the solution by a salt bridge consisting of a glass pipet filled with agar containing 0.2 M aqueous KClO₄. One side of the cell includes a hole matching with the working electrode area. The working electrode is held between the PTFE cell and a polypropylene plate

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that also includes a hole for the X-ray beam. All electrochemical and *in-situ* XAS experiments were carried out using a CH Instrument 633D potentiostat, driven by the proprietary software.

2.3 XRPD

High resolution (HR) and Pair Distribution Function (PDF) quality X-Ray Powder Diffraction (XRPD) measurements on P1 and P2 samples were performed at room temperature at beamlines ID31 and ID15 of the European Synchrotron Radiation Facility (ESRF), respectively. For the two experiments the same samples were loaded in Kapton capillaries (1.0 mm in diameter) and spun during measurements in order to improve powder randomization.

For HR measurements data were collected at $\lambda=0.35420(1)$ Å in the angular range $2 < 2\theta < 48$ for a total counting time of about 0.5 hours/pattern. The wavelength was selected using a double-crystal Si(111) monochromator. The diffracted intensities were detected through nine Si(111) analyzer crystals which spanned over 16° in 2θ . The instrumental resolution function has been determined using Si standard.

For PDF measurements, data were collected at $\lambda = 0.14217(1)$ Å ($E \approx 87.2$ keV) with a sample detector distance of about 212 mm. Measurements were performed using a MAR 345 Image Plate area detector; for each sample 15 frames of 6 seconds were collected. A NIST CeO₂ was used for calibrating the sample to detector distance as well as the instrument dependent parameters affecting the intensity decay ($Q_{\text{damp}} = 0.043$) and broadening ($Q_{\text{broad}} = 0.034$) of PDF peaks with increasing interatomic distances.

2.4 X-ray Photoelectron Spectroscopy

XPS measurements were performed in an M-Probe Instrument (SSI) equipped with a monochromatic Al K α source (1486.6 eV) with a spot size of 200×750 μm and a pass energy of 25 eV, providing a resolution for 0.74 eV. The energy scale was calibrated with internal reference to the C 1s spectrum of adventitious contamination. The C-C component may be set to a binding energy of 284.6 eV, by default.

With a monochromatic source, an electron flood gun was used to compensate the buildup of positive charge on the insulator samples during the analyses: a value of 10 eV was selected to perform measurements on these samples.

2.5 Transmission Electron Microscopy

TEM images of as prepared IrO_x nanoparticles (IrO_x-NPs) were acquired using a EF TEM LEO 912AB instrument operated at an accelerating voltage of 120 kV. The TEM grids were prepared by placing a drop of a suspension of NPs on a Formvar-covered copper grid and evaporating the solvent in air at room temperature overnight.

TEM pictures of P1 and P2 were collected with a ZEISS LIBRA200FE energy-filtering transmission electron microscope (EFTEM). All the specimens were suspended in isopropanol and sonicated for 20 minutes. A drop of the fine suspensions were then dropped onto a holey carbon coated copper grid and dried overnight.

2.6 XAS. Fluorescence XAS (X-ray Absorption Spectroscopy) spectra were collected at GILDA beamline (BM-08, European Synchrotron Radiation Facility, ESRF, Grenoble)²⁵ at the Ir-L_{III} edge. The device employs a 0.8 T Bending Magnet source operating at 6 GeV with typical currents of 200 mA. The characteristics of the beamline are a high resolution ($\Delta E/E \sim 10^{-4}$), a high flux ($\sim 10^{11}$ ph/s) and a few millimeter spot size (typically 1×1 mm²) on the sample. A Si(311) double crystal monochromator was used, the harmonic rejection being realized by Pd mirrors, characterized by a cutoff energy of 20 keV and a 13-element Ge fluorescence detector was employed. The experiments were performed at room temperature and the spectra acquired at different potential values: 0.2 V, 1.0 V, 1.3 V and 1.5 V (quoted versus the reversible hydrogen electrode, RHE). For each potential, three spectra were averaged. This ensures that the material is stable during the spectra acquisitions. The total time involved for the acquisition of spectra, for each potential, is about 6 h.

EXAFS (Extended X-ray Absorption Fine Structure) data processing was performed in three steps. The first one consists in the data extraction and was carried out by the use of ATHENA²⁶ software, belonging to the set of interactive programs IFEFFIT.²⁷ The second step involves the construction of one or more cluster structures compatible with the structural information known from literature. Finally, structural parameters were obtained from a fitting procedure (see below). The EXAFS fitting was performed by means of the EXCURVE code.²⁸ The calculation of the phases and amplitudes is based on the muffin-tin approximation, in the framework of the Hedin-Lundquist and Von Bart approximations for the exchange and ground state potentials, respectively.²⁹ This includes the effects of

inelastic losses due to the electron inelastic scattering. The fittings were made in the k space, using a k^2 weighting scheme and full multiple scattering calculation within the clusters used in these fits. The goodness of fit (GOF) is given by the F -factor parameter:

$$F = 100 \sum_i^N \frac{[\chi_{i,exp} - \chi_{i,calc}]^2}{\sigma_i} \quad (1)$$

For the XANES (X-ray Absorption Near Edge Structure) analysis, the spectra were first pre-edge subtracted using a straight line, and then normalized to unit absorption coefficient 500 eV above the edge, where the EXAFS oscillations are not visible any more. Further data elaboration is described in more detail in the following.

3.RESULTS AND DISCUSSION

This paragraph describes in depth the morphological and surface composition of P1 and P2 (el_1 and el_2). Due to their preparation procedure IrO_x_NPs are present as dispersion in aqueous 1M NaOH and it was not possible to perform XRPD and XPS in this media.

3.1. XRPD

Reciprocal space results.

Figure 1a and 1b show the HR-XRPD patterns pertinent to P1 and P2 samples respectively, along with their Rietveld refinements, while the fitted parameters are reported in Table S1. In the refinements, cell parameters, weight fractions and an average mean square parameter (U_{mean}) have been allowed to vary as well as background and profile functions.

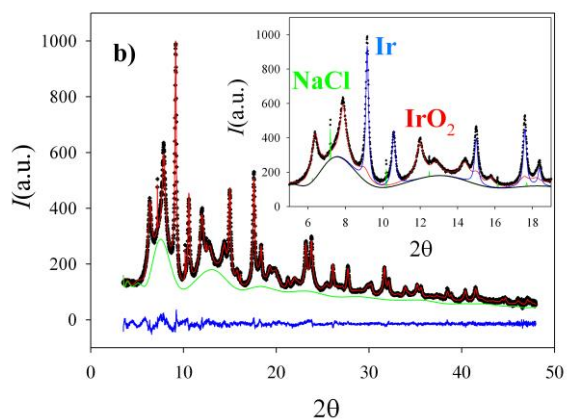
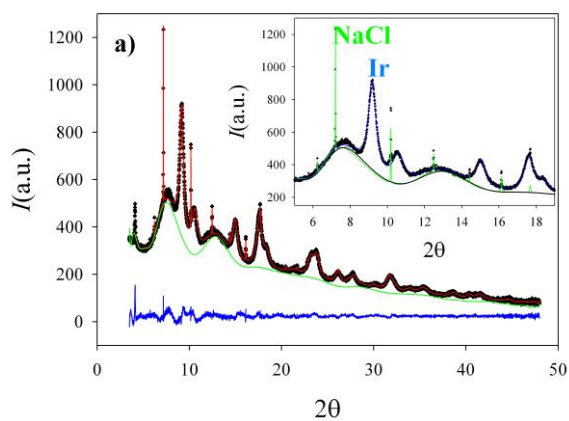


Figure 1. XRPD patterns of P1 (a) and P2 (b) samples. Experimental (black crosses) and calculated (red line) profiles are shown as well as background (green line) and residuals (blue line). The insets highlight the calculated contribution of the Ir (blue line), IrO₂ (red line) and NaCl (green line) phases.

As to P1, besides an un-indexed peak at about 4°, diffraction peaks of NaCl and broad peaks pertinent to metallic Ir are apparent in the pattern sharp (both space group *Fm-3m*), overlapping with broad bumps (see the green line) suggesting that also amorphous phases are present. In this context we

underline that the weight fractions obtained by the Rietveld refinement and reported in Table S1 refer to the crystalline part of the sample only.

To evaluate the crystallite size (D_V) and the strain (ε) in metallic Ir, Williamson-Hall (WH)³⁰ analysis of the diffraction peaks of Ir was carried out. The WH plot shows that the Ir phase is constituted by very small ($D_V \approx 3$ nm) and strained ($\varepsilon \approx 0.009$) particles (figure S1).

Moving to P2, the annealing cycle seems to affect deeply the crystalline phase composition, as shown in figure 1b and table S1. Broad diffraction peaks related to rutile-like IrO₂ phase ($P4_2/mnm$) appear in the pattern; in P2, IrO₂ is the most abundant crystalline phase (ca. 60%) while a significant decrease of the apparent (being calculated only on crystalline phases) Ir and NaCl phase fractions is evident (NaCl lowers to 6%). At the same time the amount of amorphous phase seems to decrease, at least on the basis of the reduction of the background intensity.

As to the microstructure, the peaks of metallic Ir phase sharpen with respect to P1. This is due to both the increase of crystallite dimension ($D_V \approx 7$ nm) and the decrease of strain ($\varepsilon \approx 0.0014$) in this phase. At the same time the cell parameter a expands with respect to the P1 sample: $a = 3.8406(1)$ Å compared to $3.8365(3)$ Å. The reduction of cell parameters in metal nanoparticles is a well-known phenomenon and it was attributed to the occurrence of tensile stresses at the surface.³¹ Also crystallite dimension and strain of the IrO₂ phase have been determined using the WH method: $D_V \approx 4$ nm and $\varepsilon \approx 0.006$ (see figure S1). For a brief discussion of the WH results see the Supporting Information file.

Real Space Analysis by PDF quality diffraction

Figure 2 displays the experimental $G(r)$ curves for P1 and P2 in the range of short interatomic distances; calculated $G(r)$ for Ir and IrO₂ are also shown for reference. The experimental $G(r)$ curves of P1 and P2 in a wider r range are displayed in figure S2-

~~The experimental $G(r)$ curves and~~ have been analyzed using the “Real Space Rietveld” approach.^{1646,1747} Detailed descriptions of the refinement strategies, of the adopted structural models as well as the refined parameters are reported in the Supporting Information ~~section~~.

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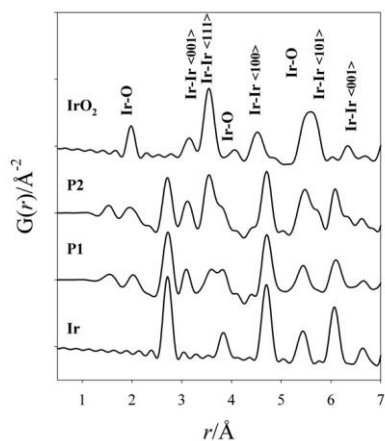


Figure 2. Experimental $G(r)$ curves for P1 and P2 samples at short interatomic distances together with calculated $G(r)$ for Ir and IrO_2 . For the IrO_2 phase, the couple of ions which contribute to each $G(r)$ peak and the bond directions in respect to the crystalline axes are also reported.

For both samples, a $G(r)$ peak is present at about 1.55 Å. At very low r values, spurious peaks often appear for several reasons like, *e. g.*, inaccurate background subtraction. However, we should note that the peak position and intensity is quite robust in respect to different data reduction strategies. The nature of this peak will be discussed below.

The experimental $G(r)$ curves of figure 2 show that Ir and IrO_2 phases are present in both samples; moreover, according to PDF, the most abundant phase is IrO_2 (WF~0.76 for both samples). Conversely, the diffraction peaks of rutile IrO_2 appear only in the pattern of P2 sample (figure 1). This suggests that IrO_2 exists as an amorphous phase in P1 sample. Accordingly, the determined mean “crystallite dimension” of IrO_2 ($D_V \approx 7$ Å, Table S2) has to be considered as an indication of the extent of short-range order within it.

3.2 XPS

In order to confirm the absence of metallic Ir on the material surface thus excluding any relevant contribution in the electrochemical response, the surface chemical speciation of Ir was investigated by means of XPS. In particular, the $4f_{7/2,5/2}$ doublet was considered and the relevant results are reported in Fig 3.

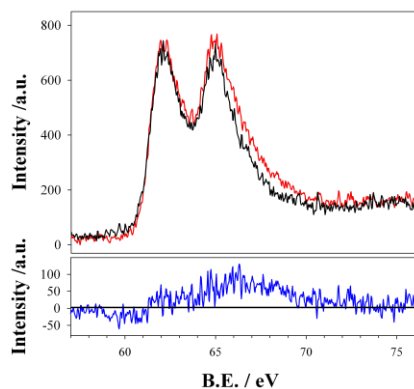


Figure 3: Normalized XPS spectra ($4f_{7/2,5/2}$) of P1 (black line), P2 (red line) and their difference (blue line, bottom box) as a function of binding energy (BE). The intensity of difference was scaled by a factor 3 for better readability.

In both materials, XPS reveals the presence of Ir oxides but not of metallic Ir, as the relevant peaks at 60.7 and 63.7 eV³² are missing.

Both spectra present the shape typically observed on IrO_x ³³ or IrO_x -based materials,^{34,35} with unsymmetrical peaks, likely due to the co-presence of different oxidation states of Ir. The spectra are well overlapping: this indicates that the surface composition for the two composites is almost identical. In fact, both peaks ($4f_{7/2}$:62.7 eV and $4f_{5/2}$:65.7 eV) fall very close to the value reported for IrO_2 (with B.E. for the $4f_{7/2}$ peak ranging from 61.1 to 62.9)³⁶ thus suggesting the predominance of this speciation, in agreement with both XRD and TEM data (see below). Further peak elaboration for fine surface composition determination is out of the aims of this work and can easily give rise to artifacts. However, as suggested in,^{33,34} a direct and fine comparison can be effectively carried out by subtraction. The differential spectra (multiplied by a factor 3 in figure 3) well evidences an increase of spectral weight for the calcined sample (P2) at the highest B.E.: between 61 eV and 64 for the $4f_{7/2}$ peak and up to 71

eV for the $4f_{5/2}$ peak. This points to a higher average oxidation state of Ir for the calcined sample, likely due to the oxidation of residual Ir(III) from the precursor (IrCl_3).

3.3 Morphology by TEM

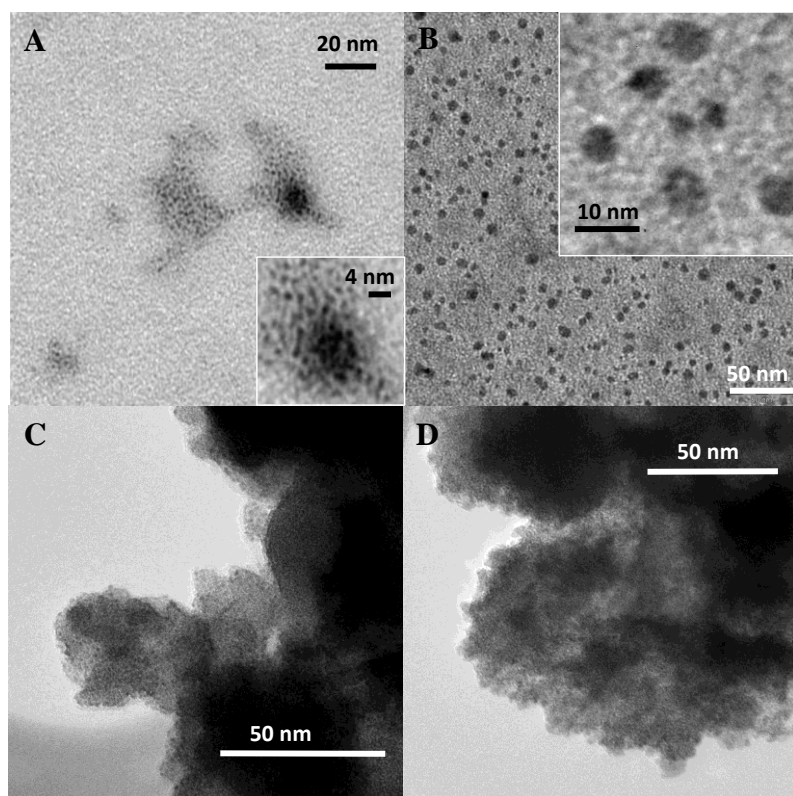


Figure 4: TEM images of (A) as prepared IrO_x nanoparticles; (B) after the dialysis procedure; (C) after drying at 80°C (that is P1); (D) after calcination (that is P2)

Figure 4 reports TEM pictures of the synthesized nanoparticles after different synthetic steps.

As previously reported,¹⁹⁴⁹ the facile synthesis of IrO_x nanoparticles leads to well-defined and well-dispersed nanoparticles of less than 2 nm in diameter (figure 4A and inset). After dialysis, but before drying, the nanoparticles agglomerate of about 5-10 nm (figure 4B and inset).

Interestingly, drying leads to considerable aggregation with formation of a more electron dense, inner component (dark particles in figure 4C) covered by a less dense “skin”, the latter eventually prevailing after calcination (figure 4D).

In order to elucidate the amorphous/crystalline nature of the particles shown in figure 4, HR-TEM images have been collected and are shown in figure 5.

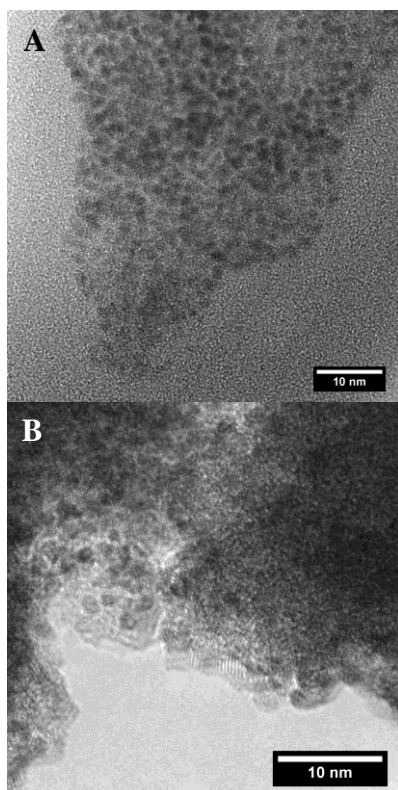


Figure 5: HR-TEM images of P1 (A) and P2 (B).

As evident, P1 is rich in crystalline nanoparticles (probably of Ir, since XRD demonstrates that IrO_x is amorphous in this sample, see section 3.1), whereas in sample P2 the whole composite is made of nanocrystals with a high degree of agglomeration, as particularly evident from the crystalline planes evident in the outer shell.

3.4 Electrochemistry

All the applied potential values are quoted versus the reversible hydrogen electrode (RHE).

Cyclic voltammetry of el_1 and el_2 closely resemble the well-known features of IrO₂ that we recently proved by FEXRAV.^{24,24} Figure 6 shows CVs of el_1 and el_2 in comparison with the one recorded on IrO_xNPs, that is an electrode made of IrO_x nanoparticles,^{19,19} (as shown in figure 4a) before the purification/reduction step.

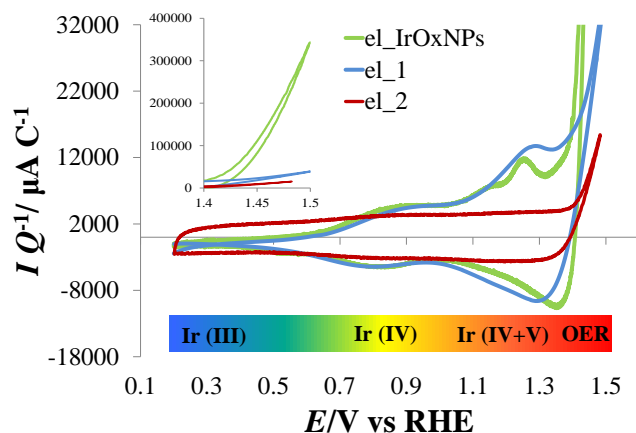


Figure 6: Cyclic voltammograms of el_1 (blue line), el_2 (red line) and el_IrO_xNPs (green line) in aqueous 0.5 M H₂SO₄. Because of different loadings and for the sake of comparison (el_1 and el_2: 1 mg_(Ir)cm⁻²; el_IrO_xNPs: 0.01 mg_(Ir)cm⁻²) currents have been normalized for the voltammetric charge. CVs are recorded at 2 mVs⁻¹. The inset displays the highest I window in the OER region. The color bar at the bottom aims to identify the Ir speciation prevalent in the potential window of interest, as highlighted in previous works.^{11,24}

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The CV of all the materials show peaks or bumps relevant to solid state redox transitions associated to the following generalized equation:



This corresponds to a transition Ir(III) to Ir(IV) between 0.6 and 1.0 V and from Ir(IV) to Ir(V) for $E > 1.0$ V, as marked with a color bar at the bottom of the figure.²⁴²⁴

For the sake of a better comparison, in figure 6 the currents were normalized by the amount of charge Q , obtained by integration of the peak related to the transition Ir(III) \rightarrow Ir(IV) visible in all curves (between 0.4 V and 1.1 V vs. RHE at 2 mVs^{-1}).

The behavior of the three materials displays significant differences; ~~in particular~~: i) el_2 shows the central bumps (Ir (III) to (IV)) only, and the pseudocapacitive characteristics are almost completely covered by double layer capacitance; ii) el_IrOxNPs and el_1 have rather similar CV shapes but the former shows two different peaks for the Ir(IV) \rightarrow Ir(V) transition. It is possible that the peak at 1.14 V vs. RHE for el_2 is masked by the double layer capacitance.

The determination of the number of available sites allows calculating the Turn-Over Frequencies (T.O.F.) in dependence on the applied potential starting from steady state I/E characteristics adopting the following equation:³⁷

$$\text{T.O.F.} = \frac{I_{ss}}{n_s n F} \quad (4)$$

where n_s is the number (in moles) of sites (again, determined by integrating the less anodic CV bump), I_{ss} is the corresponding oxygen evolution current (from steady state I/E curves) and $n = 4$ is the number of electrons per mole of O_2 .

The values of T.O.F. obtained from steady state I/E curve are reported in figure 7. In all cases, after an initial region at which the material shows no activity (vertical lines until about $\eta = 0.10$ - 0.12 V) straight lines are obtained in a range of two orders of magnitude of T.O.F. At higher T.O.F. values, the slopes of $\eta/\log(\text{T.O.F.})$ lines increase as typically observed for gas evolving catalysts. This has been attributed to either a change of mechanism, or to the change of rate determining step, or to the saturation of the surface by reaction intermediates or to the blockage of the surface by bubbles. In the present case, the use of a carbon support (needed for *in-situ* XAS, being transparent to X-rays) can be also at the bases of this slope increase, being it unstable at the highest potentials.

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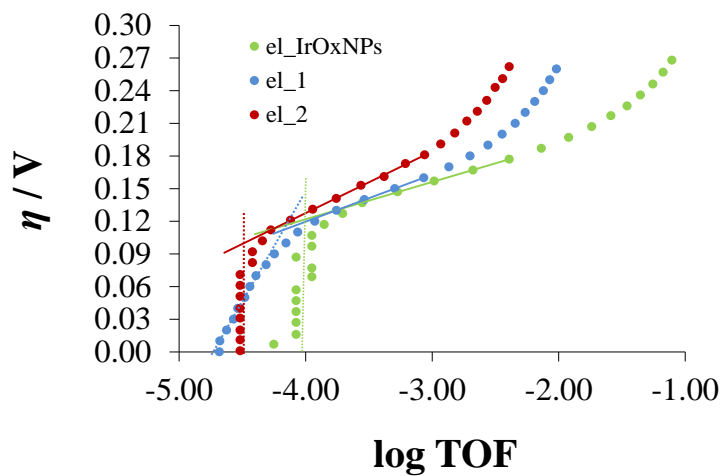


Figure 7: Tafel plot (overpotential versus logarithm of turnover frequency) of the three materials considered in 0.5 M H₂SO₄. Continuous lines represent Tafel lines while dotted lines are meant as extrapolation of the background currents and used for the geometrical estimation of the onset potential.

Figure 7 also reports the straight lines corresponding to the Tafel region (dashed lines) as well as lines used for a geometry construction: the intersection of the two is used to estimate the reaction onset potential, E_{OER} .

The values of Tafel line slopes, TOF at 1.4 V and E_{OER} for the three materials are reported in the Table 1.

Table 1: Tafel line slope (b), turnover frequency at 1.4 V (RHE), and onset potential for the three materials here considered.

	$b/\text{mV dec}^{-1}$	$T.O.F.$ (@1.4V), s^{-1}	E_{OER}/V
el_IrOxNPs	34.8	$4.1 \cdot 10^{-3}$	1.35
el_1	43.3	$1.4 \cdot 10^{-3}$	1.34
el_2	56.6	$6.2 \cdot 10^{-4}$	1.32

Very interestingly, contrary to previous findings,³⁸ the Tafel slope b is strongly dependent on the hydration follows the trend $\text{el_IrOxNPs} < \text{el_1} < \text{el_2}$ and is likely correlated to the hydration degree of the material. IrOx_NPs represent the most hydrate material, followed by el_1 (treated at 80 °C) and thus by el_2 (treated at 450 °C). Notwithstanding this, potential for the reaction onset does not change appreciably.

~~Notwithstanding this, potential for the reaction onset does not change appreciably.~~

The T.O.F. values were calculated at 1.4 V for the sake of comparison with previous work³⁷: in particular el_1 exhibits the same value obtained for IrO₂ nanoparticles obtained by the sol-gel method: $1.3 \cdot 10^{-3} \text{ s}^{-1}$.³⁷

3.5 EXAFS and XANES

Figure 8 shows Ir-L_{III} edge EXAFS signals of the two samples recorded at 1.0 V (potential value at which almost all iridium is present in the form as Ir(IV), see²⁴) and the corresponding Fourier transforms (FT). Oscillations are well visible above the noise level up to $k=11 \text{ \AA}^{-1}$. The EXAFS spectrum of an IrO₂ standard sample and the corresponding FT is also shown for reference. IrO₂ is characterized by a well-defined peak at ca. 2 \AA , corresponding to the six O neighbours in the rutile structure. The peaks between 3.4 and 3.8 \AA are mainly due to Ir and O atoms that are the Next Nearest Neighbors (NNN) of Ir.

The spectra of the two samples considered in this work are quite different when compared to that of IrO₂. Starting the discussion again from the EXAFS FT, we note that the peak in EXAFS FT situated at distances around 1.8 \AA can be attributed to the 2+4 oxygen atoms surrounding Ir in a distorted

octahedral arrangement. The peak that can be found between 2.8 and 3 Å is due to the presence of an Ir coordination shell and the peak around 3.5 Å can be explained by the presence of 4 oxygen atoms in the third shell surrounding Ir in iridium oxide.

The peaks at smaller distances ($r < 1.8$ Å) suggest the presence of heavy atoms as NN (Nearest Neighbours). In fact, backscattering functions of heavy atoms are characterized by non-monotonous trends that result in low frequencies in the EXAFS spectra, thus yielding peaks at small distance values in the EXAFS FT.

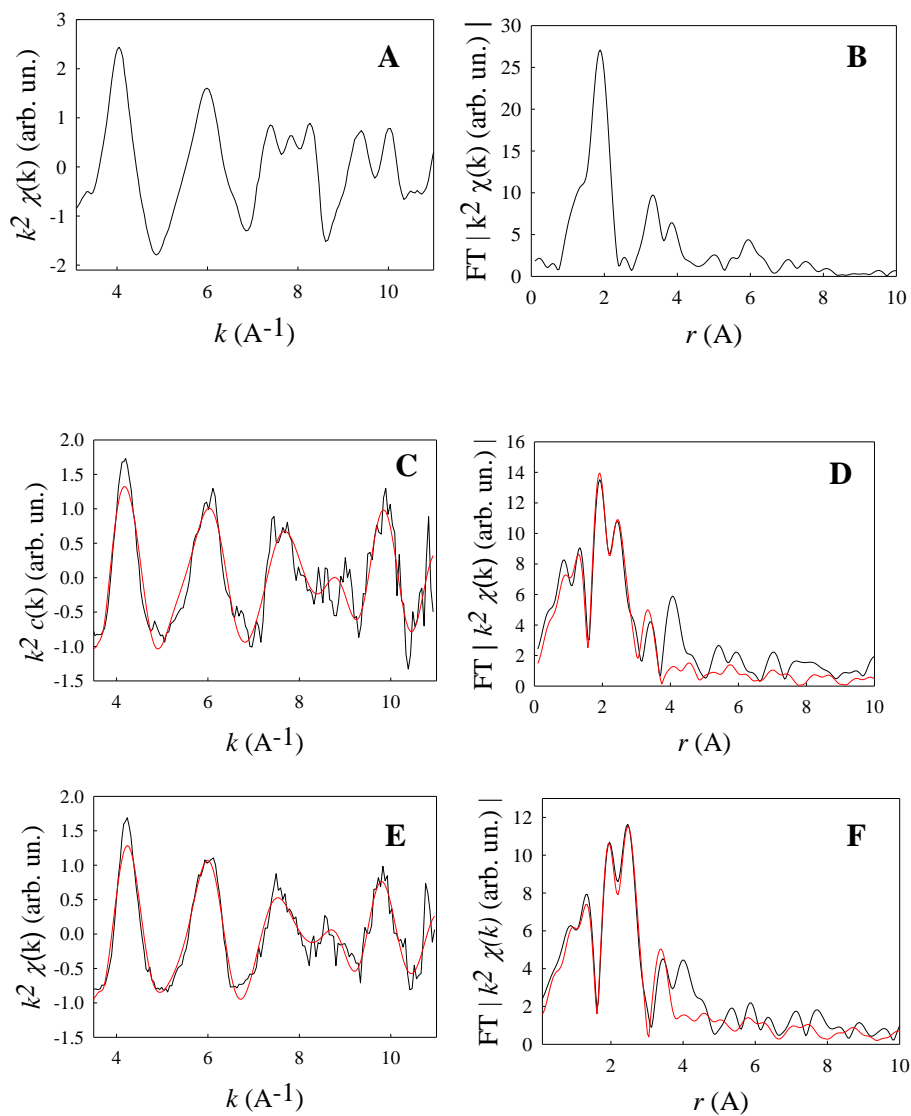


Figure 8: Ir-L_{III} edge EXAFS signals and corresponding FT of IrO₂ (a and b) and of sample (e1_1) (c, d) and sample (e1_2) (e and f). The experimental signal is the black line while the fit according to the model described in the text is represented by the red line.

Accordingly, and on the basis of X-rays diffraction results, the structural model used for the EXAFS fitting is composed of two clusters: one referred to a IrO_x phase and the other to metallic Ir. The energy shift between the origins of the EXAFS of the two clusters, reflecting in turn the different screening of the core electron by the valence electrons, is set at 2 eV. The IrO_x cluster is made up of four coordination shells whereas the metallic Ir cluster is made up of one shell, as presented in table 2. The best fit is shown in figure 8 as red lines and the final values are reported in table 2.

Table 2. EXAFS fitting parameters for spectra shown in figure 7. ((a): sample el_1, (b): sample el_2), *r*: distances; σ^2 : distance variances; the coordination numbers *N* have been kept constant to their crystallographic values.

a) SAMPLE el_1 1.0 V					b) SAMPLE el_2 1.0 V				
CLUSTER 1 IrO_x, multiplicity: 0.75					CLUSTER 1 IrO_x, multiplicity: 0.75				
Shell	N	Atom	r(Å)	$\sigma^2(\text{Å}^2)$	Shell	N	Atom	r(Å)	$\sigma^2(\text{Å}^2)$
1	2	O	1.72(6)	4(2) x 10 ⁻²	1	2	O	1.72(5)	4(1) x 10 ⁻²
2	4	O	1.96(1)	1(1)x10 ⁻³	2	4	O	1.98(1)	3(1)x10 ⁻³
3	2	Ir	2.97(4)	1(4)x10 ⁻³	3	2	Ir	2.8(2)	2(4)x10 ⁻²
4	4	O	3.52(4)	0(5) x 10 ⁻³	4	4	O	3.56(2)	0(1) x 10 ⁻³
CLUSTER 2 Metallic Ir, multiplicity: 0.25					CLUSTER 2 Metallic Ir, multiplicity: 0.25				
Shell	N	Atom	r(Å)	$\sigma^2(\text{Å}^2)$	Shell	N	Atom	r(Å)	$\sigma^2(\text{Å}^2)$
1	12	Ir	2.63(2)	6(3) x 10 ⁻³	1	12	Ir	2.64(1)	4(1) x 10 ⁻³
<i>F</i> : 11.1 %					<i>F</i> : 7.5 %				

Quite interestingly, fitting parameters for the two samples kept at lower ($E < 1.0$ V) or higher ($E > 1.0$ V) potential values (1.0V being the potential at which the concentration of Ir(IV) is at its maximum) are quite similar to each other and do not show any significant difference if compared to results presented above for applied potential equal to 1.0 V (see Supporting Information, figure S6).

The fittings according to this structural model are also quite good, as supported by the GOF (Goodness Of Fit) *F*, that is around 10 % or less for both samples at all the investigated potentials.

In all cases the IrO_x cluster is characterized by a distorted octahedral geometry since the two apical oxygen atoms are situated at a smaller distance if compared to the four equatorial oxygen atoms: this is quite typical of EIROF in acidic environment.³⁹ In addition, the two short Ir-O bond distance could be

at least in part at the origin of the peak in the PDF's found at ca. 1.55 Å. Due to the highly hydrated form of the IrO_x shell, it is quite straightforward to assign the short Ir-O distance to coordination with oxide ions, while the long Ir-O distance is assigned to the coordination with OH⁻ (or H₂O). Indeed, this is similar to what found for fivefold coordinated Ru in K₂Ru[O₃(OH)₂] with three oxygen atoms at 1.755 Å and 2 OH⁻ at 2.037 Å⁴⁰ or six-fold coordinated Os in K₂[OsO₂(OH)₄] with two oxygen atoms at 1.77 Å and OH⁻ at 2.03 Å.⁴¹

It is also worth pointing out that EXAFS studies on Anodic Iridium Oxide Films (AIROF),⁴² Sputtered Iridium Oxide Films (SIROF)⁴³ and Electrodeposited Iridium Oxide Films (EIROF)⁴⁴ reported a decrease of the Ir-O distance (fitted as a single distance) with increasing applied potential. However, in AIROF⁴² and EIROF⁴⁴ this is invariably accompanied with a concomitant increase of the corresponding Debye-Waller factor, and/or with very large figures for those parameters, while in SIROF a decrease in the coordination number is observed.⁴³ It should be noted that in EXAFS the coordination numbers are intrinsically poorly determined as they are strongly correlated with the Debye-Waller factors. In our model, keeping the coordination numbers constant, both the short and long Ir-O distance do not show a sensible variation with the applied potential and the corresponding Debye-Waller factors do not vary (within the experimental error) and are also quite small (see Supporting Information, figure S6). This suggests that increasing the applied potential induces in the film local reorganisations by increasing (decreasing) the number of short (long) distances (which is hardly directly visible by EXAFS due to the intrinsic poor sensitivity to coordination numbers, as mentioned above), rather than a simple contraction of the whole coordination IrO_x polyhedra. This possibility to accommodate different bonds with different ligands (oxide and hydroxide ions) at a single Ir site is probably one of the main reasons for which the hosting of Ir in different oxidation states is facile in EIROF³⁹ and in the present cases.

Finally, we remark that the amount of iridium oxide is larger than metallic Ir and remains almost the same in all the potential range investigated and for both samples.

The XANES spectra of the two samples investigated in this work are shown in figure 9-, [that reports also those of IrO₂ and metallic Ir for reference.](#)

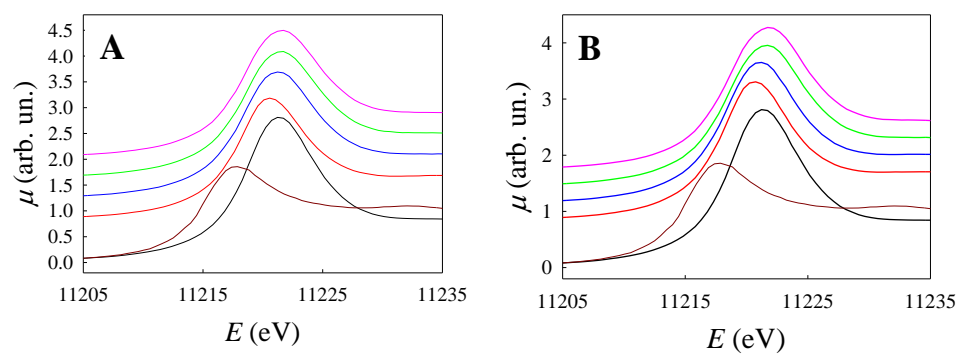


Figure 9: Ir L_{III} edge XANES spectra of eI₁ (A) and eI₂ (B). Spectra were acquired at 0.2 V (red line), 1.0 V (blue line), 1.3 V (green line) and 1.5 V (pink line). The brown line is the spectrum of Metallic Ir and the black line is the spectrum of bulk IrO₂, shown for reference.

~~The spectra of IrO₂ and metallic Ir are also shown for reference.~~ In the XAS spectrum at the Ir-L_{III} edge, transitions from the 2*p* to continuum states give rise to a step like structure, and transitions to localized 5*d* empty states to a peak called White Line (WL). The position of the WL can be used for the determination of the oxidation state⁴⁵. At reducing potentials (0.3 V), a shift towards lower energy of the main peak indicates a reduction of Ir, possibly to a state close to Ir(III). As mentioned above, at 1 V, beside the presence of metallic Ir, the position of the main WL indicates that Ir is present mainly as Ir(IV). For more oxidizing conditions, *i.e.* for 1.3 and 1.5 V, the shift of the main WL towards energies higher than 11221.3 eV and the considerable spectral weight at lower energies indicate the presence of both Ir(III) and Ir(V) species, in agreement with what reported previously on electrodeposited (highly hydrated) iridium oxide films (EIROF)⁸.

We can note that the XANES spectra at 1 V can be fitted as linear combinations of the spectra of metallic Ir and IrO₂ (see figure S7), with weights that are in perfect agreement (25% and 75%, respectively) with those obtained by EXAFS. This suggests that the Ir and the IrO_x shell are not interacting: therefore, the presence of the metallic Ir core has no relevance on the catalytic mechanisms, that can thus better discussed starting from difference spectra ($\mu_{(\text{sample})} - \mu_{(\text{metal})} \times 0.25$). Figure 10 shows, after re-normalization, these differences, along with their second derivatives. In the second derivatives,

the WL gives a negative peak, while the step originating from the transitions to continuum states gives almost no contribution, allowing a finer identification of the pertinent oxidation states and electronic structure^{41,42}.

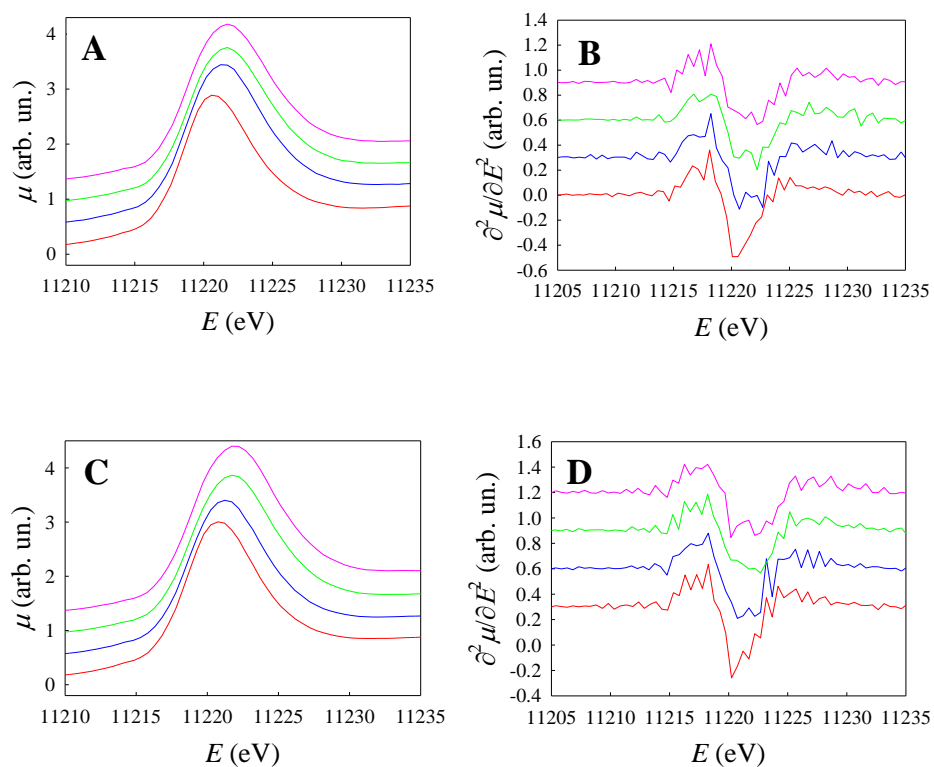


Figure 10: XANES spectra (A,C) and their second derivatives (B,D) of e1_1 (A,B) and e1_2 (C,D) after the subtraction of metallic iridium contribution. Spectra were acquired at 0.2 V (red line), 1.0 V (blue line), 1.3 V (green line) and 1.5 V (pink line).

It is apparent that, increasing the applied potential, the WL first shifts to increasing energies (from 0.3 to 1 V in the applied potential) and then largely increases in FWHM while shifting towards higher energies (for applied potential larger than 1 V). This is in nice agreement with our previous findings on

EIROF,⁸ with the remarkable exception that no crystal field splitting is detected at 1.3 V, indicating that in these materials the IrO₆ octahedra are more interacting than in EIROF. Note that a similar effect of hydration on the extension of structural unit interaction was observed by XAS on Ru oxides of different hydration degrees.⁴⁶ In the present case, the Ir-metal subtracted XANES spectra were then fitted by the proper combination of lorentzian and arctg curves,^{47,8} as shown in figure S7. This allows to directly assessing the Ir oxidation state(s) in both materials as a function of the applied potential.^{8,45} The results are shown in figure 11, demonstrating the presence of both Ir(III) and Ir(V) for $E \geq 1.3V$.

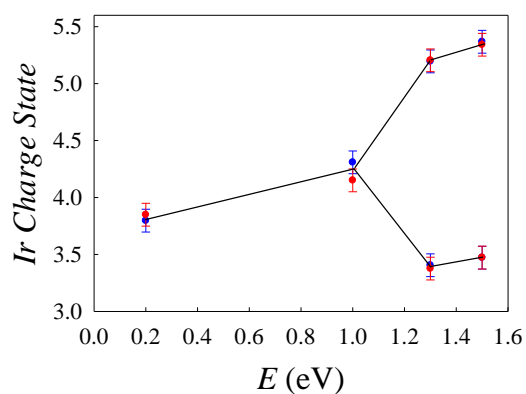


Figure 11: Ir oxidation state values as derived from the fitting of XANES spectra for el_1 (blue dots) and for el_2 (red dots).

An alternative way to eliminate the contribution of metallic Ir (provided its passivation by the IrO_x shell) is the determination of $\Delta\mu$, a way often used to characterize the nature of adsorbed species. Concerning the electrochemical applications of XAS, this method was extensively adopted for studying metal nanoparticles used as catalysts in fuel cells.⁴⁸

$\Delta\mu$ spectra, that are reported in Fig 12, are obtained through $\Delta\mu = \mu_E - \mu_{ref}$, where μ_E and μ_{ref} are the absorption coefficient at the potential of interest and in a reference condition, respectively. In the present case, the reference condition is 1.0 V, *i.e.* when the presence of Ir(IV) is at its maximum.²⁴

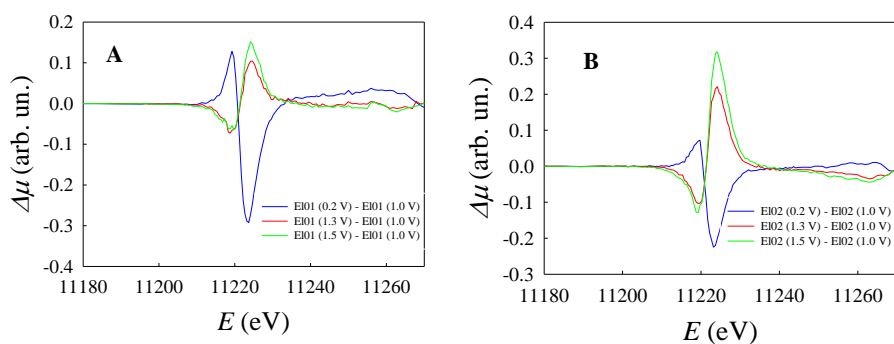


Figure 12: $\Delta\mu$ spectra for el_1 (A) and el_2 (B).

In both cases, $\Delta\mu$ shows a dramatic shift of the white line towards lower (0.2 V) or higher (1.3-1.5 V) energies. This in turn indicates that the amount of residual Ir(IV) is relatively low: in particular, the $\Delta\mu$ spectra suggest that the Ir(IV) \rightarrow Ir (III) transition at 0.2 V is almost complete, while for the Ir(IV) \rightarrow Ir (V) at 1.3 and 1.5 V, residual Ir(IV) or even Ir(III) cannot be ruled out. At $E=0.2$ the $\Delta\mu$ spectra are similar for the two samples, whereas at the highest potential values a higher differences are observed for el_2 (Figure 12-B).

~~In addition, for $E=0.2$ the $\Delta\mu$ spectra are similar for the two samples, whereas at the highest potential values a higher differences are observed for el_2 (Figure 12 B).~~

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4. DISCUSSION

The experimental results showed in section 3 give a clear representation of the IrO_x/Ir particles structure and morphology and the relevant electrochemical features.

XRPD and EXAFS demonstrated the success of the joint purification-and-reduction strategy by evidencing the presence of metallic Ir nanoparticles, while PDF and EXAFS analysis highlighted that IrO₂ and Ir coexist in both samples. Crystalline order in IrO₂ seems to be more extended along the c axis than in the ab plane, probably because along the former direction the Ir-O octahedra are edge-sharing. The IrO₂:Ir ratio, that is determined to be *ca.* 75:25 by both PDF and EXAFS/XANES, is not

modified neither by the introduction of the calcination step nor by the application of relatively high potentials.

This interesting result unavoidably leads to the conclusion that Ir particles are included within the IrO₂ shell that acts as protective layer. This was confirmed by XPS, ~~that clearly demonstrates the absence of metallic Ir on the surface.~~

XRPD gives important information on the dimension of IrO₂ and Ir domains: while in the non-calcined sample (P1) IrO₂ exists as an amorphous phase and the Ir phase is made of strained 2.7 nm particles, calcination leads to crystallization of IrO₂ and to an enlargement of the Ir domains.

In P2, XRPD shows the existence of crystalline IrO₂ with domains that have an average diameter of about 4 nm. The crystallization of IrO₂ during the calcinations step is confirmed by XPS, that shows an increase of the spectral weight at higher binding energies.

TEM and HRTEM confirm the results suggested by XRD and XPS: in P1, amorphous IrO_x embeds and passivates Ir nanoparticles— ~~wherease After after~~ calcination, ~~i.e. in (P2)~~, iridium oxide particles crystallize and masks the metallic core concerning the electron transmission.

For what concerns the electrochemical properties, CVs showed the typical characteristics of hydrous (Ir_NPs and e1_1) and low-hydration (e1_2) Ir oxide.

In all cases, and excluding the portion relevant to OER, the integration of forwards and backwards scans lead to very similar quantities of charge, meaning that no irreversible processes are present. This is in turn another confirmation of the absence of metallic Ir at the surface. ~~In fact;~~ there are no evidences in the existing literature (including the most recent results by FEXRAV)²⁴²⁴ of the reduction of IrO₂ to Ir in the considered potential window.

I/E steady state curves interestingly showed a marked dependency of Tafel line slopes on the material nature and in turn on the hydration degree. ~~In fact;~~ Ir_NP represent amorphous, highly hydrated materials (analogous to electrodeposited iridium oxide films, *i.e.* with all Ir atoms participating to the electrochemical processes), ⁴⁹ e1_1 also contain amorphous IrO_x (as demonstrated by XRPD) but with a lower degree of hydration having been treated at 80 °C. ~~Finally;~~ e1_2 underwent a calcination that leads to the lowest hydration.

Here we show that *b*, the Tafel slope, follows the trend Ir_NP < e1_1 < e1_2 meaning that the hydrated nanoparticles have a higher intrinsic activity. This is likely bound to the observation of Kim *et al.*⁵⁰ that the pH sensitivity is higher (higher mV/pH slopes) for hydrous IrO_x than for thermally-treated films. We can suggest that the amount of water trapped within the catalyst has a positive influence on the reaction mechanism, likely enhancing the formation of vicinal Ir(V) sites. ~~In turn, and~~ this can be

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directly related either to the hydration itself or to the higher mobility and defectivity of Ir centers (or both).

In parallel, losing hydration means reducing the percentage of electrochemically active Ir centers. It has been proved by us⁵¹ and by Fierro *et al*⁵² that, for heat-treated (high crystallinity-low hydration) IrO₂ particles, only 1-2 % of Ir atoms participates to the electrochemical phenomena while, for hydrous IrO_x layers, the percentage is very close to 100%.^{51,54}

~~As a consequence, high hydration oxides have been adopted by some of us for introducing the Fixed Energy X-Ray Absorption Voltammetry and to prove the existence of a Ir(III) to Ir(V) catalytic cycle of Ir during OER.^{11,24} In both cases we used X ray absorption that, for the high penetration depth of X-rays, is a bulk technique: in hydrous materials the whole mass of electrode undergoes the electrochemical phenomena “imposed” by the potentiostat. At the same time, and as mentioned in the introduction, hydrous materials are not useful for most industrial applications because of their poor stability. This is why we attempted X Ray absorption experiments of lower hydration materials, el_1 and el_2.~~

As mentioned, this low surface to bulk ration of X-ray absorbers hindrances e anylectrochemical XAS studies on low hydration oxides. We stepped over this issue by the here descibed, synthetic strategy of including a non-oxidized Ir core, that allowed to observe the presence of more than one oxidation state, likely Ir(III) and Ir(V), after subtracting the contribution of metallic Ir from the XANES spectra for applied potentials ≥ 1.3 V.

Quite interestingly, and thanks to our synthetic strategy of including a non oxidized Ir core, the co-presence of more than one oxidation state, likely Ir(III) and Ir(V), was observed after subtracting the contribution of metallic Ir from the XANES spectra for applied potentials ≥ 1.3 V. This result explains why the onset potential E_{OER} of materials with different hydration are rather similar, and is in quite fair agreement with our previous results on EIROF^{11,24,24}, with the warning that for the EIROF material at 1.3 V, a single oxidation state was detected (Ir(IV)), together with crystal field splitting for the 5d states.^{11,24,24} This can occur only when the IrO₆ octahedra are non-interacting^{53,54}, a condition bound to the hydrous/amorphous nature of EIROF. In the present case, XRPD results imply a strong connectivity for sample P2 of the IrO₆ octahedra, at least along the c axis. As PDF and EXAFS outcomes for samples P1 and P2 (el_1 and el_2) are very similar, it is safely assumed that the conclusion of a strong connectivity also holds for sample P1 (el_1). This high connectivity may switch on the interaction between the IrO₆ octahedra, thus allowing band formation and preventing crystal field splitting to be

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evident. The interaction among octahedra and its dependence on the oxide hydration degree was previously observed in Ru oxides⁴⁶ and has a key role in the material behavior, since hydration (and thus ion mobility through the layer) and octahedra interaction (giving rise to electronic conductivity) have a counterbalanced effect in the final transport of species participating to pseudocapacitive phenomena and to the OER.

However, the choice between good ionic or electronic conductivity can be adjusted by a correct material design: ~~Nanoparticles might in fact~~ promote both a high surface area (number of active sites) exposed to the electrolyte and a good interaction between the octahedra, the latter leading to the formation of electron energy bands and thus improving electric conductivity. *el_1* and *el_2* respond to this demand, as well demonstrated by the fact that any potential change leads to redox activity of the large majority of Ir sites (Figure 12) thanks to the small dimension of the Ir-O domains. This in turn allowed observing the oxidation states assumed by Ir at the different applied potential, showing a net prevalence of Ir(III) at 0.2 V and of Ir(V) at 1.3 and 1.5. In addition, a closer inspection of the white lines for $E = 1.3$ and 1.5 V evidenced the presence of two oxidation states, as highlighted for EIROF¹¹ likely bound to the existence of different speciation of Ir in the OER catalytic cycle. However, this view has now to be reviewed in the framework of materials that possess interacting octahedra and that can be hardly drawn as a molecular-like catalyst. Octahedra interaction makes then the electrons and holes formed at 1.3 V itinerant (quasi-free); they can be detected by XANES just thanks to the very fast (*ca.* 10^{-12} s) timescale of the technique. Increasing the potential to 1.5 V allows the formation of more Ir(V): now Ir(V) can be present on vicinal sites at the surface, thus starting the catalytic cycle as proposed by Steegstra *et al.* (54).

In operando EXAFS also demonstrates that the IrO_x cluster parameters do not change under the application of increasing potentials, and this includes those at which oxygen evolution occurs. This important result, that was recently partially shown also in the case of time-resolved experiments of hydrous IrO_x,^{39,49} suggests that the IrO cluster can accommodate different oxidation states without an appreciable change of the structural parameters. This in turn suggests that a small reorganization energy exists for the IrO cluster during OER: ~~this can be a~~ prerequisite for the well-known high activity of IrO₂.

It has to be highlighted that the same set of data provided (i) the evidence of the participation of iridium in the catalytic cycle of water oxidation, and (ii) the connection between the high turnover frequency and the low reorganization effort for the catalytic site to accommodate the state of charge that has to be assumed to complete the cycle.

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3 CONCLUSIONS

In this work, three IrO₂-based materials with different hydration degree have been compared in terms of electrocatalytic activity toward the oxygen evolution reaction (OER).

The synthesis of Ir/IrO₂ powders was carried out with a single step reduction + purification procedure to attain a high surface/bulk ratio of Ir-O centers, allowing to carry out in-operando XAS experiments.

Electrochemical characterization has been performed in parallel to morphological and structural (XRD, also with PDF and TEM) and surface (XPS) analyses.

PDF and EXAFS analysis highlighted that IrO₂ and Ir nanoparticles coexist in both samples. The IrO₂:Ir ratio (*ca.* 75:25), is not modified neither by the introduction of the calcination step nor, in-situ, by application of a relatively high potentials.

This was confirmed by TEM images that demonstrate the effectiveness of the adopted synthetic method: the Ir particles are passivated by the IrO_x layer present around them.

Very interestingly, only the Ir-O shell (and not the metallic Ir core) is sensitive to the applied potential.

This allowed to carry out in-operando XAS experiments, which confirmed the Ir(III)-Ir(V) catalytic cycle previously observed on hydrous IrO_x. This represents a breakthrough, because extends the mechanism proposed earlier by us to high stability materials, such as those adopted at the industrial level.

Notwithstanding the similarity of hydrous/non-hydrous materials in terms of general mechanism, Tafel slope decreases with increasing hydration degree. This can be ascribed to either an higher ability of hydrous materials in generating active (vicinal) Ir(V) centers or to an improved proton mobility.

In addition to the observation of the catalytic cycle, that represents by itself an important outcome, we observe that the morphology of IrO₂ clusters does not change appreciably under OER conditions. This can be at the basis of low reorganization energies that in turn might be at the basis of the well-known activity of IrO₂.

Associated content

Supporting Information Available: Rietveld Refinement and Williamson-Hall analysis on XRPD patterns of samples P1 and P2; Williamson Hall plots for metallic Ir phases in P1, P2

samples and for IrO₂ phase in P2 sample; Real space Rietveld analysis of the PDF data pertinent to P1 and P2 samples; Interatomic distances for the first and the second coordination shells of oxygen atoms for el_1 and el_2 and their Debye Waller Factors for el_1 and el_2. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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The authors declare no competing financial interest

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