



UNIVERSITY OF PAVIA
Department of Earth and Environmental Science

Using current regulations and practices to develop a certification scheme for native seed production in Europe



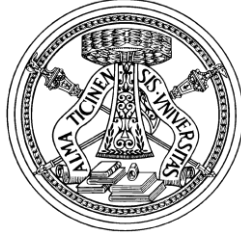
Holly Abbandonato

Academic Supervisor: Graziano Rossi (UNIPV)

Tutors: Hugh W. Pritchard (Royal Botanic Gardens, Kew, UK)

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Academic Year 2016-2017



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Doctor of Research in Earth and Environmental Sciences
CYCLE XXX – Curriculum NASSTEC (2014-2017)

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CERTIFICATION

I, Holly Abbandonato, declare that this thesis, submitted in partial fulfilment of the requirements for the award Doctor of Philosophy, in the School of Earth and Environmental Sciences, University of Pavia, is wholly my own work unless otherwise referenced or acknowledged. This document has not been submitted for qualifications at any other academic institution. This thesis contains work prepared for publication, some of which has been co-authored.

Holly Abbandonato

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Cover photograph: *Daucus* sp. growing on the edge of a temperate forest used to symbolically represent native seed policy for ecological restoration. Photo courtesy of H. Abbandonato.

LIST OF PAPERS

Paper 1:

Abbandonato H, Pedrini S, Pritchard HW, De Vitis M, Bonomi C (in press.) Native seed trade of herbaceous species for restoration: a European policy perspective with global implications. Restoration Ecology, DOI:10.1111/rec.12641

Paper 2:

De Vitis M, Abbandonato H (2017) Nature Correspondence. Nature, submitted.

Paper 3:

Abbandonato H, De Vitis M, Pritchard HW (2017) Native seed community preferences for seed quality and certification for ecological restoration. Restoration Ecology, *manuscript*.

Paper 4:

Abbandonato H, Liu U, Squire G, Iannetta PPM, Pritchard HW (2017) Applying Standard PREanalytical Codes to the marketing of herbaceous native seeds for ecological restoration. Plant Biology, special issue: Natural capital from native seeds, *manuscript*.

Paper 5:

De Vitis M, Abbandonato H, Dixon K, Laverack G, Bonomi C, Pedrini S (2017) The European native seed industry - characterization and perspectives in grassland restoration. Sustainability, DOI:10.3390/su9101682

1 ABSTRACT

2 To meet the large-scale restoration needs in Europe such as the UN Convention on Biological
3 Diversity, the EU Biodiversity Strategy to 2020, industrial reclamation projects, or to provide
4 seed at a local level for greening or re-vegetation, an increasing quantity of high quality native
5 seed is required. However, growth in the native seed market, supported by further native seed
6 production and improved technology, is needed so that revegetation is possible in an economic
7 and efficient way. Critically, various ecological and socio-economic aspects require additional
8 research and development since successful restoration is multi-disciplinary. For the first time,
9 key practical challenges were investigated holistically within the native seed sector. Current
10 quality standards in European policy, between disciplines and species, were considered and
11 recommendations formulated to advance this sector and improve native seed policy and
12 certification for the future.

13

14 The first approach combined environmental policy with seed biology and ecology by reviewing
15 the current state of native seed production regulations in Europe. Current native seed policies
16 were found to be not well-enforced or practically applicable to the regulation of the seed supply
17 for the developing native seed market in the majority of European countries; and the sale of
18 uncertified native seed was identified as potentially undermining restoration practices. Further
19 measures need to be introduced to ensure product quality and transparency while still
20 maintaining genetic diversity. These aspects should improve existing regulations or the use of an
21 *ad hoc* policy should be designed for the marketing of native seed supplemented by an
22 intersectoral strategy to deliver seeds of high quality in Europe (**Paper 1 & 2**).

23

24 Due to the variability in global seed quality standards and the intersectoral division in needs, the
25 second analysis examined both the grower's and user's preferences on native seed quality and
26 certification standards using a socio-ecological bottom-up approach. A global survey was sent
27 out to over 1340 native seed users and stakeholders. All user groups selected origin as the most
28 important seed quality measure and that native seeds should be certified nationally/federally by
29 governmental agencies (**Paper 3**).

30
31 Whilst certification standards on seed quality have been available for crops for many years, the
32 native seed sector has no internationally accepted standards for germination and storage.
33 Therefore, an examination of certification applicability was designed based on a bio-banking
34 technique, called SPREC (Standard PREanalytical Codes). Using a DEXi multi-attribute
35 decision tree to understand the processes of native seed quality, the new labelling system was
36 applied to five wild widespread and commonly produced native species. The labelling system
37 and quality assessment was created called U-SeeD (User-based, SPREC and DEXi) certification
38 that can be used for both wild and produced species, within a developing and developed market
39 that meets the needs of the native seed community (**Paper 4**).

40
41 There is little published information on the native seed market in Europe, and the average cost
42 and weight of seed bought and sold per member state was investigated using publically available
43 data and a survey of the native seed community. This characterization of the herbaceous native
44 seed market revealed an uneven distribution of native producers across Europe and permitted an
45 assessment of production costs (field management before multiplication had the highest
46 costliness) and the frequency of major customers for seed producers (**Paper 5**).

47 In conclusion, the various market analyses undertaken, in relation to the availability of quality
48 seed, the development and transfer of scientific knowledge, and the suitability of policy and
49 certification standards, all emphasise the importance of future collaboration between a wide
50 range of stakeholders, far beyond current practice.

51

52 INTRODUCTION

53 In the last decade the perception of conservation has evolved into people and nature as separate
54 entities that affect one another and relate, especially with matters of climate change, resiliency
55 and adaptability (Mace 2014). This is well reflected in current initiatives such as the UN's
56 Convention on Biological Diversity Aichi Biodiversity Targets which contain multidimensional
57 goals that integrate society and the environment (Mace 2014). However, these targets are
58 multifaceted and challenging to measure (Aronson & Alexander 2013), and more than half of
59 European countries have not been able to make positive progress on restoration targets since the
60 baseline assessment (CBD 2010). This means that while we are aware of environmental
61 degradation, implementation to meet them is not so straightforward. In the last decade, 10/14
62 biomes have decreased in productivity, and over 13,000 species of vascular plants have
63 naturalised outside their native range (RBGK 2016). Plant conservation initiatives lag behind
64 animal projects as socially plants and the environment are not well-noticed, resulting in plant
65 blindness (Balding & Williams 2016). Clewell and Aronson (2007) stressed the importance of a
66 multi-value model to successfully carry out restoration which incorporated ecological, socio-
67 economic, cultural and personal values. Yet, restoration values are often underestimated
68 particularly in Europe. In many countries, budgets and legislation for restoration are limited or
69 non-existent, there is a lack of integration between sectors and little demand from the public

70 (SER 2016). The fear of losing jobs over environmental protection has been documented in one
71 third of the U.S. population; however, this myth is largely unfounded (Goodstein 1994). There
72 are trade-offs when land is protected at a local level, and pollution control policies have
73 increased net employment and this can positively impact jobs in fisheries and tourism sectors for
74 example (Goodstein 1994).

75 Europe has already committed to the EU Biodiversity Strategy to 2020 which uses the Natura
76 2000 Network to protect and restore 15% of degraded ecosystems using a control and command
77 or top-down approach; however, rural landowners in many member states were not in agreement
78 of this (Keulartz 2009).

79
80 The question arises of how can we meet this restoration demand and prevent the loss of
81 biodiversity and protect ecosystems services. One of the many strategies is to use native seeds.
82 Seeds are the most convenient propagation strategy since they are more affordable, easily carried
83 in large quantities, survive under long storage regimes, and can withstand hostile micro-
84 environments (Veteto & Skarbø 2009). The multi-value approach was not only useful for
85 problem-solving larger policy issues (Bouwma et al. 2010), but it could address some of the
86 native seed production challenges for restoration. In the past century, an intentional increase in
87 seeds has been used to re-establish wild plants for restoration purposes (Bradshaw 1997; Muller
88 et al. 1998) at various scales (small, broad scale) and funding programs (local, governmental,
89 private) (Broadhurst et al. 2016). Seeds even have cultural and social values. Social
90 anthropologists have found cultural memories associated with cultivation and the properties of a
91 seed consist of learned experiences, sensory embodiments, and social learning especially among
92 farmers (Ellen & Platten 2011). Seeds are easily exchanged creating a cultural mechanism for

93 seed dispersal even among growers. However, purchasing herbaceous native seeds for
94 restoration greatly depends on the member state. We know that over one-third of European
95 countries are without a native seed industry, and thus monitoring and quality control is scarce.
96 In contrast, the use and production of agricultural seeds in Europe is highly regulated and strictly
97 monitored. Rules from the European Commission for propagating seeds include everything from
98 labeling, marketing to inspecting seeds for use in agriculture, vegetable, fruit, fodder, forest, and
99 ornamental species. Yet, very few policies exist for the use and production of native herbaceous
100 plant species in Europe outside the fodder directives (EU Commission 1966; EU Commission
101 2010), especially for restoration purposes.

102

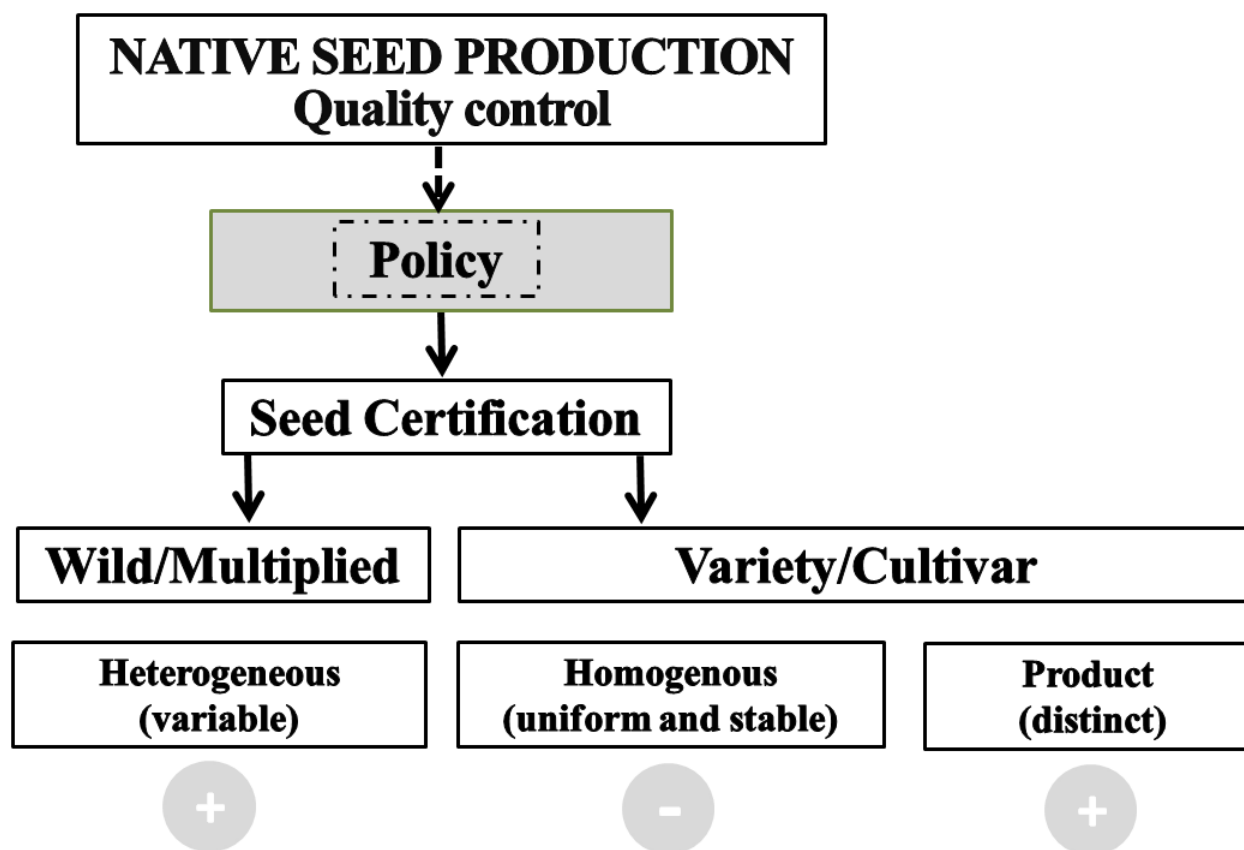
103 Furthermore, very little is available in the scientific literature on native seed quality, nor is there
104 a consensus on how to define seed quality for restoration (Hampton and Hill 2011; Baskin &
105 Baskin 2014). Existing certification schemes greatly vary per country and continent, with no
106 current review on efficacy of native seed standards and requirements.

107

108 One method used for *ex situ* conservation in mega diverse countries is Biospecimen Science
109 which considers both the quality of a biological sample, but also tracks the processing steps
110 (Harding et al. 2013). Not only are herbaceous species found in biodiverse hotspots (Wilson et
111 al. 2012), but collected and multiplied seeds go through a series of processing steps, e.g., timing
112 of collection, drying, storing, cleaning which can affect both quality and genetic diversity since
113 they do not follow agricultural standards of distinctness, uniformity, and stability. This is one
114 technique that could be used to evaluate seed quality and processing in a simple, transparent and
115 efficient way.

116 **OVERALL OBJECTIVES**

117 This PhD examined the current regulating systems applicable to native seed production in
118 Europe with the aim of supporting and improving certification schemes for restoration
119 programmes requiring high quality and large quantities of herbaceous native seed. Desk-based
120 and experimental studies set the groundwork for developing a certification scheme called U-
121 SeeD in Europe with applications abroad.



122
123
124 **Figure 1.** Quality control schematic applied to the marketing of native seeds which can be
125 implemented through policy (legally binding is symbolized by the grey square) rules at a
126 supranational or national level or through direct certification schemes (without policy indicated
127 by the dotted line) which is often participatory or contract-based. *Wild/multiplied*: box ensures
128 that the seed are variable to preserve genetic diversity, usually with a known origin specified.

129 *Variety/Cultivar*: box indicates the UPOV Convention's harmonized tests for a variety (DUS
130 Testing: distinctness, uniformity, stability). The + boxes can be suitably applied to native seed,
131 whereas the - box is incongruously applied to native seed.

132

133 Legally-binding policies applicable to the marketing of native seeds across Europe were
134 critically reviewed pertaining to the global demand for ecosystem restoration to feature the state
135 of regulations and future developments. Other unique certification schemes (e.g., optional,
136 contract-based, participatory) implemented in more developed countries exist, but with little
137 standardization or agreement on seed quality procedures. A survey of the native seed community
138 set the groundwork for native seed quality and certification for the first time. With applied
139 restoration being a largely interdisciplinary field, quantifying the values of researchers, industry
140 and practitioners enabled the design of an *ad hoc* certification scheme (U-SeeD) that could meet
141 the needs all parties involved (producers, researchers, users). To apply certification efficiently
142 and ensure transparency, a new labelling system was designed using DEXi taking a biomedical
143 and *ex situ* conservation technique: SPREC and agricultural quality labeling standards for eggs
144 (ECE/TRADE/C/WP.7/2009/14). Seed quality and genetic diversity was examined by testing the
145 labelling system on wild seed from the Millenium Seed Bank database (RBG Kew, UK) and on
146 two produced widespread species, *Papaver rhoeas* and *Silene vulgaris* sourced from three native
147 seed producers in Europe.

148

149 Within the NASSTEC project, I worked on two co-authored papers: one on the native seed
150 market (De Vitis et al. submitted); and the other on the restoration species pool (Ladouceur et al.
151 2017). As a contribution to the first co-authored paper, I co-designed a survey on seed quality,

152 certification and quantification of the native seed market. To the second co-authored paper, I
153 contributed the fodder and conservation species data (germination and production availability)
154 currently listed under native seed policies (66/401/EEC and 2010/60/EU) to compare with
155 indicator species. For both papers, I discussed the policy perspectives and contributed to the
156 writing of the manuscripts (see Other Publications and Appendix).

157

158 RESULTS AND DISCUSSION

159 The native seed trade of herbaceous species in Europe is not functioning as well as it could be.
160 To address this, the policy framework applicable to the marketing of native seeds in the EU was
161 reviewed to determine its suitability for ecological restoration (**Paper 1**).

162 Although there an urgent need to meet ambitious restoration targets in Europe, to contribute to
163 global targets (Aichi Biodiversity, Global Strategy for Plant Conservation, EU Biodiversity
164 strategy) and human well-being (Sustainable Development Goals), the possibility exists that the
165 native seed sector for the production of herbaceous species is not sufficiently developed to
166 deliver this ambition yet. One possible hindrance is that the policy framework for the trade in
167 native seeds is neither practical nor supportive. In this context it is important to evaluate the
168 current ‘ready-made’ policy frameworks in Europe regarding the native seed supply of

169 herbaceous species. The results of the analysis of current seed policies reveal a generally,
170 unsatisfactory framework for both producers and users. Initially, such policies were designed for
171 species used as animal feed and apply distinctiveness, uniformity, and stability seed rules; traits
172 that do not follow the genetic heterogeneity of native species required for ecological restoration.
173 Until recently, more suitable certification standards were designed to multiply fodder seed for the
174 preservation of the natural environment to facilitate the Natura 2000 network; however, due to

175 the disparateness of the seed market in Europe this policy is rarely practical and does not
176 encompass all herbaceous native species often resulting in unregulated seed sales. A
177 consequence of these findings is the recommendation that a new or adapted native seed policy
178 should be constructed through a participatory or bottom-up approach. Such a policy could
179 stimulate the native seed trade with concomitant impacts on the speed of improving ecosystems
180 services.

181 The first key step in bringing about change in this sector is to secure the backing of the policy
182 makers. Only in this way can the likelihood of implementation be increased. Transfer of
183 knowledge from research (desk-based, laboratory-based or field-based) to policy officials
184 requires the use of many means of communication. In short, opinion articles can be very
185 effective. For example, those of Merritt and Dixon (2011) on restoration seed banks, and of
186 Cortina-Segarra et al. (2016) on using biodiversity to speed restoration of EU ecosystems. **Paper**
187 **2** (correspondence) took this approach. To meet the ecological restoration activities in the
188 coming years the argument was made that most European countries have few native seed
189 producers. The exceptions being Austria, Germany and France, where there are more companies
190 in operation and where producers and researchers have collaborated to create supportive tools,
191 such as native seed certification standards and seed transfer zones. Even in these countries,
192 meeting the demand for native seeds for restoration remains a challenge because of: (1) the
193 application of restrictive policies and inappropriate standards developed within the agricultural
194 sector; (2) the lack of a European-wide strategy aimed at facilitating and strengthening
195 coordination of production and intersectoral collaboration to deliver native seeds of high quality.
196 The USA has a National Seed Strategy for Rehabilitation and Restoration and a similar approach
197 in Europe should provide coordination between producers and users with the goal of restoring

198 plant communities. But for this to happen in Europe existing policies on collection, production
199 and use of native seeds must be adapted. Any changes in standards should be based on existing
200 scientific knowledge and inputs from the community of users (particularly the native seed
201 industry and local end users) through a consultative participatory approach. This process is
202 necessary to assist the emerging sector of native seed production which can only benefit future
203 restoration and climate change obligations.

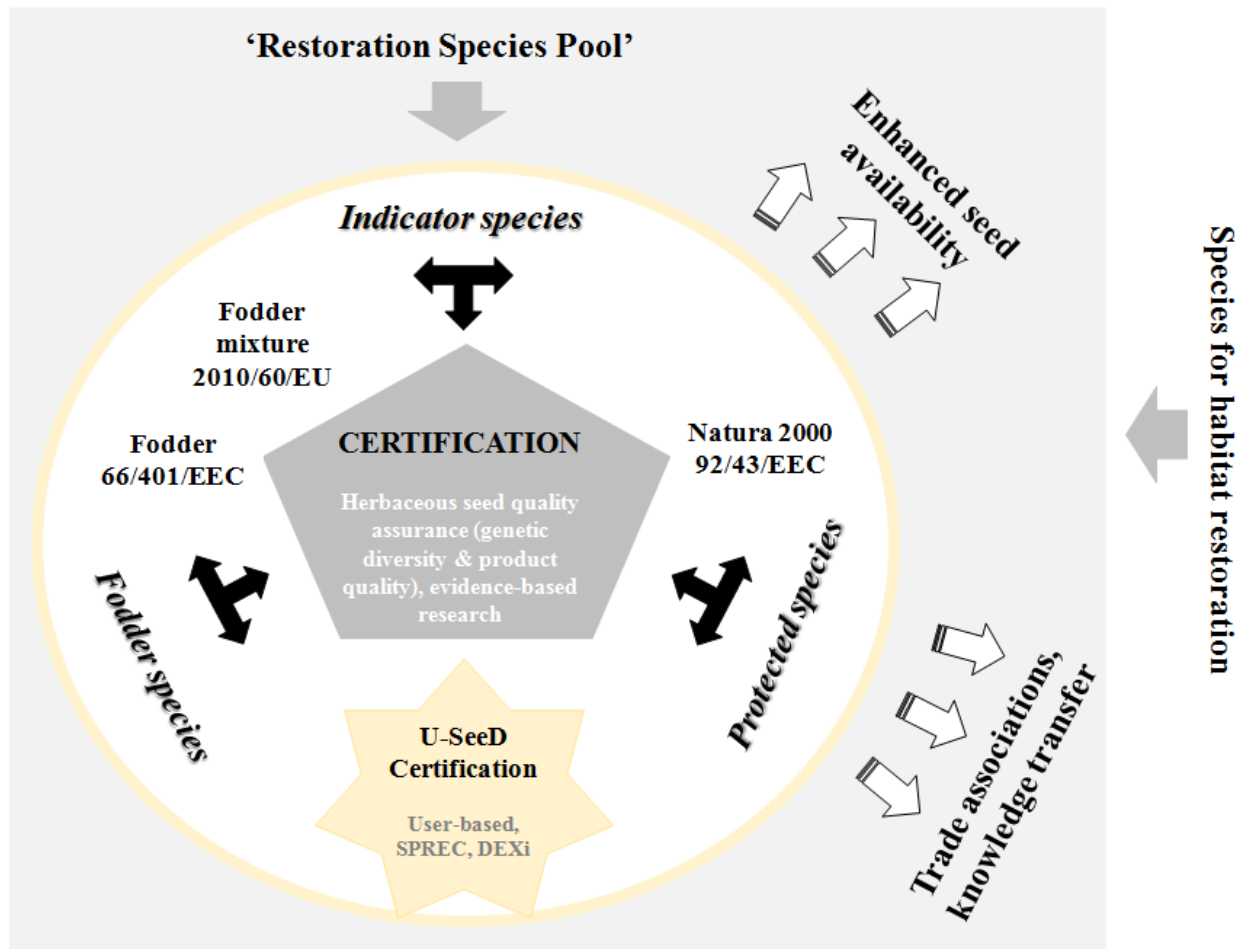
204
205 Policy developments are only as good as the evidence base used to design them. Such evidence
206 needs to be well-grounded in the needs of native seed community on seed quality and
207 certification for ecological restoration, as without the community will likely not fully comply
208 with the emerging policy. **Paper 3** focuses on this topic. One challenge was how to balance the
209 delivery of habitat restoration using wild-harvested seed as opposed to (or complementary with)
210 using farmed seed. To better understand this challenge, a survey on seed quality and
211 certification was sent out in five languages (English, French, German, Italian, Spanish) to more
212 than 1300 native seed users. The users represented different sectors of the native seed
213 community: land managers, researchers and trade professionals. It was found that all members of
214 the native seed community shared a similar perspectives on the importance of knowing the seed
215 quality of the material used, had a high interest in the clear reporting of seed lot origin, and the
216 need for a compulsory certification system at a national/federal level run by governmental
217 agencies. However, the responses varied among groups; in particularly, land professionals
218 primarily chose origin and provenance, whereas research and trade both chose origin, viability,
219 purity and germination. Whilst the research and trade professionals' views were generally
220 aligned, land professionals were primarily concerned about meeting restoration projects on time

221 with source-identified seed. This study provides the first look at native seed user and stakeholder
222 perspectives that can be used to generate and inform seed production policy bettering current and
223 future seed quality certification systems to come.

224

225 To help support the restoration targets in Europe and regulate the native seed sector, we devised
226 a labelling framework for the marketing of native seed for ecological restoration. To understand
227 what defines “quality” for this purpose we consulted the survey on the native seed community
228 and developed a user and full code, and quality assurance rank in **Paper 4** for wild and
229 commonly produced native species: *Daucus carota*, *Hypericum perforatum*, *Lotus corniculatus*,
230 *Papaver rhoeas* and *Silene vulgaris*. Using a DEXi model, a production system on native seed
231 quality was developed for wild and produced species that defined seed quality as maintaining
232 genetic diversity, but also product quality as a result of processing, handling and seed properties.
233 A label was then designed using a Standard PREanalytical Codes (SPREC) commonly applied to
234 biomedicine and *ex situ* conservation to track sample quality and processing. Overall wild seed
235 lots demonstrated high quality under the newly created U-SeeD certification (**Fig. 2**); however,
236 this was primarily due to the vast information available and seeds samples of decent quality in
237 germination, viability and purity. Produced seed lots showed more variation from low to high
238 quality. This was primarily due to the lack of information on genetic diversity, such as date of
239 harvesting, origin, provenance and seed lot on the seed packages. This study provides a
240 simplistic and transparent certification system with seed standards designed for ecological
241 restoration accounting for both genetic diversity and product quality to facilitate a growing
242 herbaceous native seed marketplace.

243



244
 245 **Figure 2.** Certification of herbaceous native species requires a seed quality definition and
 246 understanding through evidence-based research what the users (researchers, producers and
 247 practitioners) need. Current EU directives attempted to regulate both product quality taking an
 248 agricultural viewpoint and a genetic resources viewpoint; however, in the end created a
 249 mismatched set of directives that are trying to fit the growing herbaceous seed sector. We know
 250 that the “Restoration Species Pool” (RSP) includes indicator, fodder and protected species; yet
 251 this separation based on policy primarily is not working for regulating purposes and we proposed
 252 a new U-Seed (User-based, SPREC and DEXi) certification that is an easy and transparent
 253 labelling system that promotes future seed enhancements, the formation of trade associations and
 254 knowledge transfer to advance restoration targets using marketed herbaceous native seed.

255 Understanding the dynamics of the native seed industry sets the groundwork for improvements
256 and new research development. **Paper 5** investigated the European native seed market with
257 emphasis on characterizing the industry, funding schemes for restoration, seed zones, outreach
258 and current seed purchases by cost and weight. The majority of companies were found in
259 countries with greatest decline in species-rich grasslands, such as Great Britain, France and
260 Germany; however, businesses are typically SMEs or family run consisting of 1-9 employees.
261 Furthermore, countries with seed zones and certification system already in place had pre-formed
262 trade associations that are largely responsible for their design. Participants were also in favour in
263 being part of knowledge sharing network. This follows suit with the policy and participatory
264 recommendation. Responses from the survey indicated that on average 3 600 kilograms of
265 herbaceous seeds with an average expenditure of € 17 600 occurred annually in Europe. Species
266 with seed biology data and producer availability are primarily fodder species) rather than
267 indicator or conservation status species; and improving the restoration species pool (Ladouceur
268 et al. 2017) will facilitate market growth and help to meet the restoration demand, protect
269 biodiversity, and ecosystem services.

270

271 CONCLUSION

272 The findings of this PhD research programme demonstrate the complexity of native seed
273 production for restoration in relation to the marketplace, science support, the production
274 environment and policy frameworks. One clear outcome is an understanding that seed quality
275 and certification regulations do profoundly impact on access to and the use of marketed seed.
276 Appreciating this is important as ultimately seed quality affects seed performance and plant
277 establishment for restoration. With the increasing need for high quality native seeds to meet

278 restoration targets, especially herbaceous species, regulations need to be both more practical and
279 beneficial for the users and producers to facilitate restoration practices. A route forward is
280 identified that depends on changes in policy and certification, and the creation of practical
281 solutions taking an interdisciplinary approach, preferably through the establishment of a
282 functioning trade association.

283

284 Overall, herbaceous native seed policy for ecological restoration is impractical on the scale
285 needed. New regulations should be implemented at a national level that begins by certifying seed
286 origin, enforced by governmental agencies. In Europe, native seed producers when present are
287 largely private SMEs. As the market develops, other seed quality attributes that directly
288 measures seed quality could be implemented; however, a species registry or handbook providing
289 information on germination, viability, and purity could be beneficial in conjunction. Using bio-
290 analytical coding (e.g. SPREC) with DEXi, it is shown how a simple bar-coding method could
291 be designed to meet these needs to ensure transparency to consumers who require it, while not
292 causing a vulnerability to seed producers in a developing market.

293

294 **FUTURE WORK**

295 This study is not without its limitations. All in all, the studied topics are original and
296 unprecedented, and thus have some short-comings, such as finding sufficient published scientific
297 material (mainstream and grey literature) and esteemed advice, including from experts that span
298 seed science, production and business practice. In addition, tracking local policy measures of the
299 EU directive 66/401/EEC in member states proved extremely difficult since many reports were
300 unavailable or challenging to locate due to the language barriers. Most of these challenges were

301 overcome to a certain extent. At the experimental level, further research is clearly needed on
302 testing the effect of certified fodder seed and seed mixtures in the lab and field to determine
303 precisely which authorisation requirements could be useful or impractical experimentally.

304

305 I decided to explore the field of social sciences to try and find a welcomed solution to native seed
306 policy and certification for restoration that would satisfy and quantify the needs of native seed
307 users (research, production, restoration); however, due to the disparateness of professions and
308 sectors this may have resulted in an underestimation of user preferences. Creating and sustaining
309 a native seed network in Europe would be very promising for similar studies on this topic to
310 better find and access professionals.

311

312 Field emergence from ‘farm’ produced seed of *Papaver rhoeas* and *Silene vulgaris* grown in
313 three countries was omitted from this thesis due to very low germination in the transects. It could
314 be due to a number of confounding factors such as dormancy, competition, and environmental
315 conditions such altitude, temperature, precipitation, and soil type. Germination results in the lab,
316 were challenging to compare due to the variability in seed age, number of generations multiplied,
317 seed size, and storage regime. Future research should compare quality aspects (seed mass
318 variability, germination speed, etc.) of produced seed lots with wild accessions to examine which
319 species and traits may be more vulnerable to inadvertent human selection. Nematodes were
320 present in one *Silene* seed accession and were identified to be two bacterial feeders: *Plectus* sp.
321 and *Panagrolaimus* sp. which are climate sensitive and can influence moss substratum (Barbuto
322 & Zullini 2006). Little is known or required on native seed health or phytosanitation. Work in
323 this field is greatly lacking and could have implications on the native seed trade as well as

324 movement between countries and seed zones.

325

326 Lastly, testing the novel bio-specimen code (SPREC) label and weighting system on numerous
327 and families and species could aid in creating a native seed registry on seed biology, but also
328 create modifications and/or limitations for future use of U-Seed.

329

330

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337 his invaluable mentorship and academic supervision throughout these last three years. Thanks
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339 occasions, where I was able to better understand the inner workings of native seed harvesting,
340 processing, and production. I am grateful for the support I received from my external adviser Dr.
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345

346

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436 OTHER PUBLICATIONS

437 The following publications and activities transpired during my enrollment as a Marie-Curie Early
438 Stage Researcher and PhD candidate from October 2014 to September 2017. A manuscript on
439 climate change and plant phenology was submitted in a special issue from my Master's thesis on
440 plant ecology and is now undergoing the re-submission process. I co-authored an article in The
441 Mediterranean Garden Society with Marcello De Vitis. I contributed to six NASSTEC handbook
442 chapters on policy, germination protocols, fit-for-purpose seed, certification, the Mediterranean
443 pilot project, and the state of the native seed industry and restoration species pool. I participated
444 in seven conferences and prepared 10 presentations in the various forms: oral presentation,
445 poster, workshop, and panel. I completed a number of educational outreach events both online, in
446 person and on TV. I was the Early Stage Researcher Representative for NASSTEC from
447 1/11/2014 to 1/07/2016.

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527

528 NASSTEC display at Europe Day (14/05/2016);

- 529 NASSTEC display at Researcher's Night (25/09/2016);
- 530 NASSTEC display at Ecsite Annual Conference (12/05/2015);
- 531 NASSTEC display at engres Marie Sklodowska Curie Conference (18/11/2014); and,
- 532 NASSTEC interview by Trentino TV - Mille Nature Ambiente Trentino (8/12/2014).

Paper 1:

Abbandonato H, Pedrini S, Pritchard HW, De Vitis M, Bonomi C (in press.) Native seed trade of herbaceous species for restoration: a European policy perspective with global implications. Restoration Ecology, DOI:10.1111/rec.12641

533 **Native seed trade of herbaceous species for restoration: a European policy perspective with**
534 **global implications**

535 **Running Head: Herbaceous native seed policies for restoration**

536

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547 **Type:** Policy Article

548 **Author contributions:**

549 HA, CB conceived the purpose of the study reported; HA was the lead writer and designer; HA,
550 MDV designed the figures and tables; and manuscript revision was given by SP, HWP, MDV,
551 CB.

552 **Abstract**

553 **With the need to meet ambitious restoration targets, an improved native seed sector for the**
554 **production of herbaceous species with a practical and supportive policy framework is**
555 **recognized. We evaluated the current ‘ready made’ policy frameworks in Europe**
556 **regarding the native seed supply of herbaceous species and found them to be, generally,**
557 **unsatisfactory for both producers and users. Initially, such policies were designed for**
558 **fodder seed and relate to distinctness, uniformity, and stability; traits that do not reflect the**
559 **genetic heterogeneity of native species required for ecological restoration. Until recently,**
560 **more suitable certification standards were designed to multiply fodder seed for**
561 **preservation of the natural environment; however, due to the disparateness of the seed**
562 **market in Europe this policy is rarely practical and fails to encompass all herbaceous**
563 **native species often resulting in unregulated seed sales. We recommend a new or adapted**
564 **native seed policy constructed through a participatory or bottom-up approach and**
565 **supported through the formation of widely-based trade associations. Such a policy could**
566 **stimulate the native seed trade with concomitant impacts on the speed of improving**
567 **ecosystems services.**

568

569 **Key words: bottom-up approach, certification, fodder seed, native seed production, seed**
570 **policy, seed quality.**

571

572 **Implications for Practice**

- 573 • When multiple stakeholders are involved, a participatory or bottom-up approach should
574 be used to adapt or devise a new native seed policy for restoration.
- 575 • Native seed policy should start by being applicable to all species to prevent the sale of
576 seeds of unknown origin and quality.
- 577 • Member states can modify regulations based on the development of their seed market.
- 578 • Native seed regulations need to focus on protecting genetic integrity by applying
579 certification procedures that are not agriculturally based (distinctness, uniformity, and
580 stability).
- 581 • Quantitative restrictions in seed policies limit market expansion and do not facilitate the
582 demand for large quantities of herbaceous native seed for ecological restoration.

583

584 **Introduction**

585 Policy steps to protect biodiversity ensure ecosystem resilience, and combat environmental
586 change is at the forefront of United Nations and other institutional initiatives. The connection
587 between ecosystem services and society (Target 14), and the restoration of 15% of degraded
588 ecosystems around the world (Target 15) has been emphasized in the UN Convention on
589 Biological Diversity (CBD) Strategic Plan for Biodiversity 2011-2020 (CBD 2015; CBD 2016).
590 However insufficient progress of the targets by European members states has occurred since the
591 mid-term assessment (**Table 1**; CBD 2012), even after implementing the European Union's
592 Biodiversity Strategy to 2020 (EU Commission 2015). Since the baseline assessment, grasslands,
593 croplands and urban ecosystems have continued to decline (EU COM/2015/0478). The Global
594 Strategy for Plant Conservation has ensured protection of c. 10% in situ by area of terrestrial
595 ecosystems, and 66 countries now have seed banks for native plant conservation (CBD 2014);

596 however, the availability of seed material is limited for restoration efforts (Bekessey et al. 2010).
 597 Vast quantities of native seed are required for large-scale restoration and demands cannot be met
 598 by relying solely on wild resources (Merritt & Dixon 2014). Seed supply costs vary and can
 599 impose financial constraints on restoration practices (Broadhurst et al. 2016), since seed yield
 600 and quality (including dormancy) fluctuates with inter and intra-variability in pollen flow,
 601 natural disturbances and climate variability (Broadhurst et al. 2016; Merritt & Dixon 2014).
 602 Preference towards using a few core species and/or non-native seed mixtures (Broadhurst et al.
 603 2016; Tischew et al. 2011), also increases the risk of hybridization with natural populations
 604 inducing changes in genetic diversity (Schröder & Prasse 2013). There is the need to identify
 605 ‘local’ seed production areas (SPA) or seed zones (Durka et al. 2016; Nevill et al. 2016) so that
 606 plant material is adapted to the site conditions (Broadhurst et al. 2016; Bischoff et al. 2010;
 607 Hufford & Mazer 2012; Tischew et al. 2011), since seeds multiplied in dissimilar environments
 608 from the restoration site may not be considered “restoration-ready” (Chivers 2016).

609 **Table 1.** International and European targets for ecological restoration to be implemented through
 610 national actions and reporting.

<i>Organizer</i>	<i>Strategy</i>	<i>Target</i>
United Nations Convention on Biological Diversity (CBD)	Strategic Plan for Biodiversity 2011-2020	<p>Target 14 “By 2020, ecosystems that provide essential services, including services related to water, and contribute to health, livelihoods and well-being, are restored and safeguarded, taking into account the needs of women, indigenous and local communities, and the poor and vulnerable.”</p> <p>Target 15 “By 2020, ecosystem resilience and the contribution of biodiversity to carbon stocks has been enhanced, through conservation and restoration, including restoration of at least 15 per cent of degraded</p>

ecosystems, thereby contributing to climate change mitigation and adaptation and to combating desertification.”

United Nations Convention on Biological Diversity (CBD)	Global Strategy for Plant Conservation (GSPC)	Target 4 <i>“At least 15 per cent of each ecological region or vegetation type secured through effective management and/or restoration.”</i> Target 8 <i>“At least 75 per cent of threatened plant species in ex situ collections, preferably in the country of origin, and at least 20 per cent available for recovery and restoration programmes.”</i>
EU Commission	EU Biodiversity Strategy to 2020	Target 2 <i>“By 2020, ecosystems and their services are maintained and enhanced by establishing green infrastructure and restoring at least 15 % of degraded ecosystems.”</i>

611 However, much of the native seed market in Europe regarding herbaceous species is unregulated
612 and poor seed quality is a common occurrence (Haslgrübler et al. 2013; Laverack et al. 2007;
613 Marin et al. 2017; Ryan et al. 2008). In the UK, the native seed market is estimated to grow to
614 120-140 tons and be worth £ 9-17 million by 2019/2020 (UK Native Seed Hub 2011).

615 Whilst the projected need globally to restore 150 million hectares of disturbed or degraded land
616 by 2020 requires U.S. \$18 billion investment per year, the benefit to the global economy would
617 be c. U.S. \$84 billion (Menz et al. 2013). An analysis of more than 200 studies indicates that the
618 cost-benefit ratio of ecological restoration is as high as 35:1 for grasslands (De Groot et al.
619 2013). Whilst the economic case to intervene and restore native vegetation is strong, the current
620 policy environment in Europe appears insufficient to stimulate the expansion of native seed
621 production of herbaceous species.

622

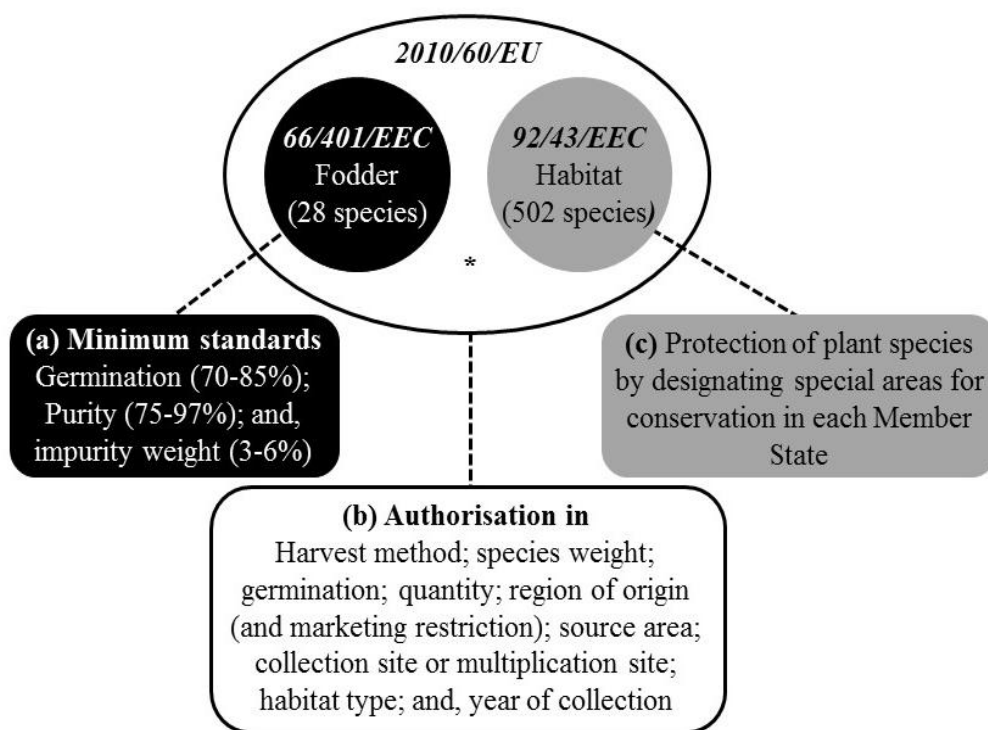
623 Our aim in this commentary has been to: 1) evaluate existing policies regulating the trade of
624 native herbaceous seeds; 2) examine alternative seed directives; and 3) suggest how policy can
625 evolve to better enable the native seed trade to adequately support internationally agreed
626 ecological restoration targets.

627

628 **Herbaceous Native Seed Policy in Europe**

629 Historically, seed quality assurance policies were designed around the “truth in labeling” concept
630 to protect the farmer from negative externalities (Copeland & McDonald 2001). These focus on
631 the commercialization of a product, but can be influenced by international agreements on
632 Intellectual Property, biosafety, and business regulations (Louwaars 2008). In Europe, seed
633 policies in the agricultural sector (i.e., varieties) are based on the certification of minimum
634 standards. Legislation that affects native seed in Europe includes the protection of habitats and
635 species (EU Commission 1992) and fodder (EU Commission 1966; EU Commission 2010) with
636 no specialized or comprehensive inclusion of native seed for restoration (**Fig. 1**).

637



638

639 **Figure 1.** Seed quality policy requirements applicable to the marketing of native species in
 640 Europe. (a) Corresponds to the directive 66/401/EEC for fodder plant species certified as
 641 commercial seed (the lowest certification) using the minimum standards; (b) corresponds to the
 642 directive 2010/60/EU requiring authorisation in fodder seed mixtures to preserve the natural
 643 environment; and, (c) corresponds to the directive 92/43/EEC designating specific protected
 644 areas for at risk species.

645 *Species not listed, but can be certified as commercial seeds in 66/401/EEC include conservation
 646 varieties from 2008/62/EC and other species under comparable source areas rules.

647 The EU Directive on the conservation of habitats and species (92/43/EEC) covers 502 species of
 648 vascular plants with conservation status (**Table 2**; EU Commission 1992). These species are
 649 prioritized for action under the Natura 2000 European ecological network implementing the

650 goals of the EU Biodiversity Strategy and ultimately the CBDs Aichi Biodiversity Targets to
651 restore 15% of degraded land. But in the EU, insufficient seeds of these species are
652 commercially available, and germination data is not freely accessible in comparison to indicator
653 and fodder species (Ladouceur et al. 2017). This may be due to economic reasons (hard to
654 produce) and access (e.g. need for collection permits). Nonetheless, this convergence of factors
655 has resulted in four times more restoration outside than within the Natura 2000 network (Dickie
656 2016).

657

658 The EU directive on the marketing of fodder plant seed (66/401/EEC) is the primary EU
659 regulation applicable to native seeds (Table 2; EU Commission 1966). It covers 24 species and
660 four genera (*Agrostis*, *Lolium*, *Poa*, *Vicia*) of grasses and legumes and requires minimum
661 standards of seed germination (<75 - 85%), seed purity (<75 - 97%), and restrictions on the
662 presence of weed seed. Of the species listed, 48% are native to European grasslands (Ladouceur
663 et al. 2017), provide important ecosystem services, and occur in extremely biodiverse habitats
664 (Bischoff et al. 2006). The directive impacts the native seed industry even though it was
665 designed for fodder quality assurance. Unlike crop varieties, the seeds of native species rarely
666 reach minimum seed standards for germination and purity, due to their natural heterogeneity
667 (Broadhurst et al. 2016; Lesica & Allendorf 1999) and do not easily conform to the agricultural
668 sector requirements of distinctiveness, uniformity and stability (DUS). Although no standardized
669 definition of seed quality for native seeds exists, there is agreement that seed for restoration
670 purposes should be sourced locally to maintain genetic integrity (**Fig. 2**).

671

672 **Table 2.** European directives applicable to the marketing of native seed.

<i>Legislation</i>	<i>Organizer</i>	<i>Number</i>	<i>Title</i>	<i>Website</i>
Directive	European Economic Community (EEC)(EEC)	66/401/EEC	Council Directive of 14 June 1966 on the marketing of fodder plant seed	http://eur-lex.europa.eu/legal-content/en/ALL/?uri=CELEX:31966L0401
Directive	European Economic Community (EEC)	92/43/EEC	Council Directive of 21 May 1992 on the conservation of natural habitats and of wild fauna and flora	http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=celex%3A31992L0043
Directive	European Union (EU)	2010/60/EU	Commission Directive of 30 August 2010 providing certain derogations for marketing of fodder plant seed mixtures intended for use in the preservation of the natural environment	http://eur-lex.europa.eu/legal-content/EN/ALL/?uri=CELEX%3A32010L0060

673

674 The EU directive on fodder plant seed mixtures (2010/60/EU) for the “conservation of genetic
675 resources” is the first regulatory attempt to harmonize agricultural production and
676 conservation/restoration needs (**Table 2**; EU Commission 2010). It includes fodder species listed
677 under Directive (66/401/EEC), species with special habitat concerns (92/43/EEC), conservation
678 varieties (2008/62/EC) and other species required for preservation of natural and semi-natural
679 habitats (**Fig. 1**). Seed used must be from “source areas” listed in the Natura 2000 network or
680 areas under comparable rules. A quantitative restriction limits the total yearly production of seed
681 for preservation mixtures to not exceed 5% of the total weight of fodder seed certified from

682 Council Directive 66/401/EEC per member state. This ceiling, originally set to protect the fodder
683 variety industry from unfair competition, could severely limit the growth of the native seed
684 market. This directive is not actively used in many European countries as most native species are
685 not categorized as fodder or sourced exclusively from Natura 2000 areas. This directive does
686 provide unique labeling requirements and, for the first time, labeling specifications of origin and
687 provenance (**Fig. 1**). However, further labeling obligations that enable comparison with
688 agricultural seed lots are often too demanding for a nascent industry, as knowledge of native seed
689 quality (germination, dormancy breaking treatments, viability, purity), particularly of the most
690 threatened species, may be lacking in many countries (Ladouceur et al. 2017; Wade et al. 2016).
691 Today, one-third of Europe is without an herbaceous native seed industry. In more developed
692 markets, independent native seed certification schemes exist, such as those operated in Austria,
693 France, and Germany. However, the strict enforcement of regulations of native seed lots in less
694 developed markets could stimulate unregulated seed sales of non-certified seeds.

695

696 **Alternative Seed Policies with a Lighter Touch**

697 With the European Union's demonstrable interest in protecting genetic resources and
698 biodiversity, it is recommendable to develop policies that support the sustainable trade of
699 herbaceous native seeds for large-scale restoration. Certification and labeling requirements must
700 be simple when a policy has an EU-wide application (**Fig. 2**), taking into account relevant
701 economic, political and technological factors in each member state (Tripp 2002).

702

703 Closest in essence to the market needs for native seed supply and demand, are the forestry and
704 landraces directives which have specialized procedures relating to the reproduction of plant
705 material while still protecting biodiversity. For example, the EU Directive on the marketing of
706 forest reproductive material (1999/105/EC) stresses the importance of genetic and phenotypic
707 suitability, and external quality standards of reproductive material (EU Commission 1999).
708 Source identified tree seeds must be from a single region of provenance and identity must be
709 labeled on the certificate. A national register for basic material is required by each member state
710 and a supplier's label must also include purity, germination or viability, seed weight and live
711 seed. The OECD (2016) forest seed and plant scheme uses similar minimum requirements with
712 approval on origin, population size, and adaptation and resistance for source-identified seed.

713

714 Conservation varieties or landraces (2008/62/EC) are considered to be plant genetic resources
715 and biodiversity for varieties of agricultural species (EU Commission 2008). Member states have
716 the flexibility to decide DUS to be used for in situ conservation based on Directive 2003/90/EC
717 and are exempt from official certification. This basic and limited form of regulation enables
718 member states to decide species-specific quality criteria.

719

720 Even more liberal is the legislation for the marketing of ornamental plants (98/56/EC), requiring
721 only the tracking of processes and materials, i.e. an audit trail. However, these species may end
722 up being used in restoration if other seeds are not available and, as evidenced recently, can
723 contribute the spread of diseases, e.g., ash die back in the UK (Thomas 2016). In contrast, the

724 International Seed Testing Association uses accredited laboratories to issue certificates of quality
725 for agricultural, flower and tree seed lots in the trade based on purity and viability (ISTA 2009).

726

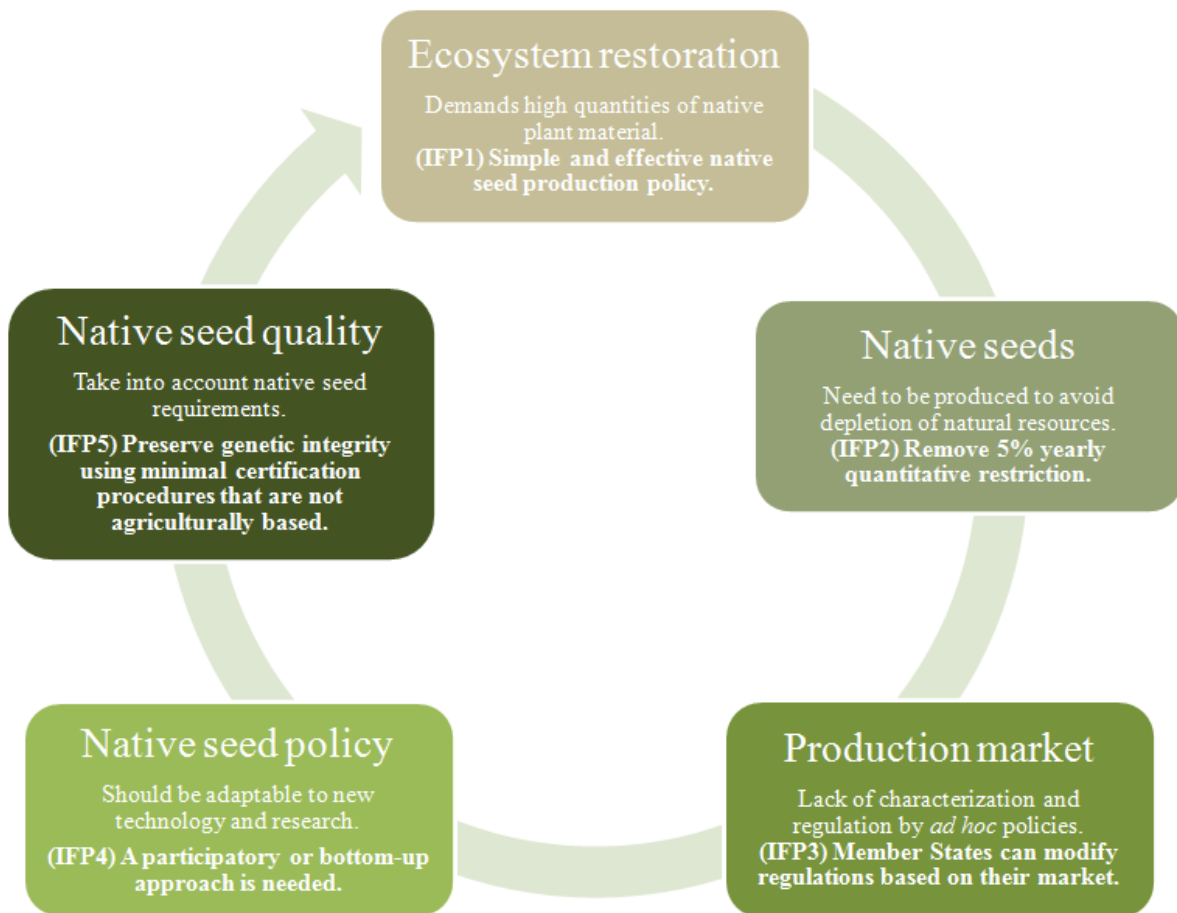
727 Herbaceous native seeds should also be considered as genetic resources, and be assigned similar
728 protection, particularly as temperate grasslands in Continental Europe are considered
729 conservation hotspots due to their high species richness (Wilson et al. 2012). The need for a
730 lighter legislative framework is illustrated by Germany's ambition, under the Nature Protection
731 and Landscape Conservation Act, to exclusively use native plant material for all restoration
732 projects (§40 (4) Nr.51 vom 06.08.2008) by March 2020. The German native seed market is
733 expecting a ten-fold growth and will likely exceed the 5% fodder quota ([http://ser-](http://ser-
734 insr.org/webinars/2016/11/17/native-seed-production-in-germany)

735

736 **Closing the Gap between Users and Producers**

737 Our review of the policy arena suggests that a more pragmatic policy for native seed quality
738 assurance is needed that does not follow the DUS principles, but accounts for the genetic
739 diversity while still ensuring basic product quality to prevent negative externalities, such as
740 disease or the loss of genetic biodiversity (**Fig. 2**). We see this to some degree in the United
741 States, as the Federal Seed Act demands that all seed batches sold present a purity and
742 germination label (Jones & Young 2005) and wild collected native seeds can be certified as
743 source-identified (i.e., with origin on the label) (Young et al. 2003). Furthermore, the Bureau of
744 Land Management's National Seed Strategy for Rehabilitation and Restoration is currently
745 characterizing federal policies, tools and storage facilities aiming to "put the right seed in the

746 right place at the right time” (Oldfield & Olwell 2015; PCA 2015). As noted, the
 747 (re)establishment of the plant community is critical to initiating ecosystem change towards the
 748 desired trajectory (SER 2004). Such an initiative falls squarely behind the new, 2015 sustainable
 749 development goals (<http://www.sustainabledevelopment2015.org/>), including actions to protect
 750 the planet. There is an urgent need for Europe to follow this lead.



751

752 **Figure 2.** Implications for practice (IFP) at-a-glance. (1) **Ecosystem restoration.** The need for a
 753 well-developed native seed industry stems from the urgent need to restore ecosystems on a large-
 754 scale to protect ecosystem services and maintain biodiversity. (2) **Native seeds.** The need to use
 755 and multiply native herbaceous seeds to preserve genetic integrity, and maintain ecosystem
 756 resilience over the long-term. (3) **Production market.** Is dynamic and unique in each member

757 state; however, using a one-size fits all policy to regulate native seeds is not satisfactory while
758 the market is still under developed in many member states. (4) **Native seed policy**. Top-down
759 policies that exclude users and follow agriculturally based standards (DUS) are problematic for
760 the native seed industry. A revised or new flexible policy that considers the needs of the users
761 and producers would be beneficial. (5) **Native seed quality**. To protect the buyer and seller, a
762 simple product quality scheme needs to be determined for native seeds that is not agriculturally
763 based and takes a user approach.

764 Action can be taken at a number of levels, as current restoration activity supports about 10,000
765 jobs, although the potential is 25,000 jobs to meet the Natura 2000 15% target of restored land;
766 however, this activity is not well documented (Dickie 2016). The Common Agricultural Policy
767 (CAP) is offering farmers additional payments conditional on landscape greening improvements
768 (2013/1307/EU), an initiative that could greatly benefit from an expanded herbaceous native
769 seed industry. However, the full potential of these economic and environmental opportunities,
770 including job creation will only be realized through improved intersectoral efforts.

771

772 Policy development involving diverse stakeholder groups using a participatory approach helped
773 the implementation process of Natura 2000 after a top-down approach was originally taken
774 (Keulartz 2009). The European Commission is now looking to solve multiple land use concerns
775 from the Natura 2000 sites by building a toolkit using member state experiences (Bouwma et al.
776 2010). More widely, recent successes in restoration planning and implementation have
777 combined ecological, economic, and cultural considerations, including FAOs' Great Green Wall

778 of Africa, the Satoyama initiative, and the Ecological Restoration Alliance of Botanic Gardens
779 (IPSI 2016; Sacande & Berrahmouni 2016; Sharrock et al. 2014).

780

781 Finally, to improve or create a new policy that takes into account genetic diversity and product
782 quality, there is a need to define herbaceous seed quality among users and determine what type
783 and level of regulation is favoured in this growing marketplace (**Fig. 2**). To provide ample policy
784 support, the identification of key issues in supply and demand, linking communities,
785 stakeholders, practitioners, and researchers is needed (Jalonen et al. 2014) and could be
786 determined using a participatory or bottom-up approach. Most likely, this could be facilitated by
787 the establishment of a native seed trade association that unites producers in Europe,
788 commissions research, embraces public engagement, promotes education and collectively
789 negotiates legislations that address the needs of the native seed market. Emphasis on regulatory
790 frameworks that includes both landscape restoration and seed production goals will only be
791 pertinent and effective if they are devised and implemented by both producers (farmers, retailers,
792 etc.) and users (NGOs, government bodies, charities, researchers, etc.).

793

794 **Conclusion**

795 This commentary examined how EU-wide policies regulate the herbaceous native seed trade in
796 Europe, primarily by considering herbaceous species for restoration as animal feed (fodder).

797 While the recent fodder mixture directive (2010/60/EU) does consider the preservation of genetic
798 resources, it is still not functional for native seed businesses, consequently limiting seed

799 availability and the capability to perform large-scale restoration. To regulate native seeds on an

800 EU-wide level, a supportive policy is required that maintains genetic integrity and product
801 quality, but does not strictly follow the agricultural model of DUS. We propose that the current
802 policy directive (2010/60/EU) is either modified or replaced by an *ad hoc* policy underpinning
803 the needs of the seed users and producers regarding seed quality and certification to facilitate
804 both local and large-scale ecosystem restoration using herbaceous species in the coming years.

805

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813

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1037

1038 Native seed production in Europe is predicted to play a pivotal role in the coming years to enable

1039 the acceleration of ecological restoration activities so that the 15% restoration target of the

1040 European Union’s Biodiversity Strategy 2020 is delivered (*Nature* **535**, 231; 2016). However, in

1041 most European countries, the native seed production sector has few, if any, producers.

1042 Exceptions are, for example, in Austria, Germany and France, where more companies have been

1043 established and where producers and researchers have collaborated to create supportive tools,

1044 such as native seed certification standards and seed transfer zones. Even in these countries,

1045 meeting the demand for native seeds for restoration remains a challenge. Two issues largely

1046 contribute to this: (1) the application of restrictive policies and inappropriate standards developed

1047 within the agricultural sector, and (2) the lack of a European-wide strategy aimed at facilitating

1048 and strengthening coordination of production and intersectoral collaboration to deliver native

1049 seeds of high quality. In the USA, a National Seed Strategy for Rehabilitation and Restoration

1050 was designed to provide coordination between producers and users with the goal of restoring

1051 plant communities. In Europe, the need for a similar strategy for the seed sector is urgent and

1052 must incorporate revision of existing policies covering collection, production and use of native

1053 seeds. We believe that these standards should be based on existing scientific knowledge and
1054 inputs from the community of users (particularly the native seed industry and local end users)
1055 through a consultative participatory approach. This process is necessary to assist this emerging
1056 sector of native seed production which is essential to meet future restoration and climate change
1057 obligations.

1058

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Paper 3:

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1063 **Native seed community preferences for seed quality and certification for**
1064 **ecological restoration**

1065 Running head: Native seed quality and certification

1066

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1074

1075 **Type:** Research Article

1076

1077 **Author contributions:**

1078 HA conceived the purpose of the study reported; HA, MDV created and circulated the survey; all

1079 authors discussed the findings; and HA drafted the manuscript with inputs from HWP and MDV.

1080

1081 **Abstract**

1082 **To meet the global and European restoration targets, native seed production is essential**
1083 **since we cannot rely solely on wild collected native seed. To better understand the**
1084 **challenges associated with the use of native seed produced for restoration, a survey on seed**
1085 **quality and certification was sent out in five languages (English, French, German, Italian,**
1086 **Spanish) to approx. 1340 native seed users to investigate the needs of the native seed**
1087 **community (land, research, trade professionals) on a global scale. We found that the native**
1088 **seed community shared similar perspectives on the importance of knowing the seed quality**
1089 **of the material used, a high interest in the clear reporting of seed lot origin, and finally the**
1090 **need for a compulsory certification system at a national/federal level run by governmental**
1091 **agencies. However, the responses varied among groups; in particular, land professionals**
1092 **primarily chose origin and provenance, whereas research and trade both chose origin,**
1093 **viability, purity and germination. Whilst the research and trade professionals' views were**
1094 **generally aligned, land professionals were primarily concerned about meeting restoration**
1095 **projects on time with source-identified seed. This study provides the first look at native**
1096 **seed user and stakeholder perspectives that can be used to create and inform seed**
1097 **production policy improving current and future seed quality certification systems to come.**

1098

1099 **Key words: land, native seed stakeholders, native seed users, research, seed origin, seed**
1100 **testing, trade.**

1101

1102

1103 **Implications for Practice**

1104 • Ecological restoration is a multidisciplinary field and quantifying the needs of users and
1105 stakeholders groups can aid in informing policy and regulation decisions in a developing
1106 market.

1107

1108 • User and stakeholder groups agree that traded native seeds should always contain a
1109 certified label of origin when used for ecological restoration.

1110

1111

1112 • Native seed certification should consider other selected seed quality attributes, such as
1113 viability, germination, and purity as more research becomes available on applied native
1114 seed biology.

1115

1116 **Introduction**

1117 The perception of conservation has been shifting over the last 50 years, from species to
1118 ecosystems; to “people and nature” as separate entities (Mace 2014) that work together to create
1119 sustainable alternatives that are resilient, adaptable and integrative. Evidence of this is present in
1120 the UN’s Convention on Biological Diversity which delineated 20 Aichi biodiversity targets
1121 which includes both social and ecological requirements (Mace 2014; CBD 2010; Tolvanen et al.
1122 2011; Cortina et al. 2016); and among them targets 14 and 15 specifically on ecosystem
1123 restoration. With the increasing global demand to restore degraded habitats and ecosystems,
1124 caused by intensive agricultural, mining natural resources, natural disasters, etc. (Neville et al.
1125 2016; Tischew et al. 2011; PCA 2015), the restoration of natural or semi-natural habitats is often

1126 required to conserve ecosystem services and protect biodiversity for future generations (CBD
1127 2010; SER 2014). However, restoration practices are not meeting the demand in the majority of
1128 European ecosystems, such as grasslands, croplands, heathlands and urban ecosystems (EU
1129 COM/2015/0478). There is an urgent need for a reinvigorated native seed industry to provide
1130 multiplied wild seed for restoration purposes, since wild populations cannot support this demand
1131 alone (Merritt & Dixon 2011; Nevill et al. 2016). The use of fresh seeds for restoration is often
1132 impractical and thus artificial storage (Silveira et al. 2014) and/or multiplication is necessary
1133 (Tischew et al. 2011; Ladouceur et al. 2017).

1134

1135 Current policies for the marketing of native seed in Europe are either too restrictive, based on
1136 varietal standards or is lacking in many countries (Abbandonato et al. unpublished); and that a
1137 revised or *ad hoc* policy is recommendable for herbaceous native seed. Awareness of product
1138 quality control is extremely valuable for the marketing of seeds (Louwaars 2008; Copeland &
1139 McDonald 2001; Young et al. 2013) to prevent the buyers and sellers from negative externalities,
1140 e.g. mislabelled seed, poor performing seed, presence of weed seed, etc. For centuries, humans
1141 have been moving plants outside of their natural range with over 13, 168 naturalised vascular
1142 species often resulting in the spread of invasive species ultimately resulting in the loss of
1143 biodiversity (Kew 2016).

1144 Native seed quality is not frequently or consistently defined in the scientific literature and has
1145 various meanings; from purity, to species and end use, to genotype and pre-storage environment
1146 (Haslgrüber et al. 2013; Hampton & Hill 2002; Baskin & Baskin 2014). High variability in the
1147 quality of germination and purity was found in nine wildflower species seeds sold online in the
1148 UK (Ryan et al. 2008). However, low germination may not necessarily represent poor quality

1149 seeds, but seeds that require a dormancy breaking stimulus (Laverack et al. 2007; Marin et al.
1150 2016); and high germinating seeds may have lost dormancy due to human selection after
1151 numerous multiplied generations (Chivers 2016; Qu et al. 2005) or changes in dormancy post-
1152 harvest handling of the seed lot. This complexity in germination alone highlights the urgent need
1153 to increase the characterization of wild species' seed biology across a range of families for
1154 restoration purposes. The use of high quality native seeds is critical for field emergence, but it
1155 requires accurate seed testing (Elias et al. 2006). Recently, the ISTA/AOSA Native/Wild Species
1156 Working Group has been created to compile existing seed testing information and to write a
1157 handbook on native/wild species.

1158 Although native seed quality control can be costly and time consuming generally (Tilley et al.
1159 2011), new techniques are being developed in the USA to meet the USDA's Federal Seed Act's
1160 (FSA) germination and purity requirements, such as the pop test (Tilley et al. 2011) or in Europe
1161 the conductivity test (Marin et al. 2016). Native seed certification varies and has been used on
1162 multiple scales (primarily supra-nationally by the European Commission); however these
1163 regulations do not function well for herbaceous seeds, as varietal agricultural standards of
1164 distinctness, uniformity and stability are applied or the labelling requirements are too revealing
1165 in a relatively young market (Abbandonato et al. unpublished). In contrast, forest reproductive
1166 material legislation is more flexible allowing a local approach to be taken per species throughout
1167 the countries in the European Union (EU Commission 1999). Nonetheless, national, provincial
1168 and local certification schemes for native herbaceous seeds have been implemented in some
1169 countries, such as the U.S.A. (AOSCA, FSA), Australia (ASF, RIAWA), Austria (G-Zert,
1170 REWISA, RGF), Germany (RegioZert, VWW-Regiosaaten), France (Végétal local), Italy (Flora
1171 Autoctona), and Switzerland (CPS).

1172 What is also needed is an understanding of the demands of researchers, industry, and restoration
1173 practitioners regarding certification and labelling standards. User and stakeholder groups greatly
1174 vary between sector and profession; however, the native seed community largely shares similar
1175 goals to protect biodiversity and ecosystem services by restoring, rehabilitating, reclaiming or
1176 greening landscapes, habitats or ecosystems. To date, little agreement exists on how the native
1177 seed market should be regulated, and there is an ongoing debate between countries and
1178 stakeholder groups, especially for native herbaceous species (Abbandonato et al. unpublished;
1179 SALVERE 2012; Tischew et al. 2011). We aim to contribute to the debate by providing evidence
1180 of how various stakeholder and user groups perceive native seed quality and certification through
1181 a wide consultation with a range of professionals in different sectors of the industry. Such an
1182 approach helps to limit conflict between stakeholders and to inform native seed policy (Keulartz
1183 2009; Abbandonato et al. unpublished). We determined if seed quality and certification was
1184 important to all stakeholder groups and their preferences for how to use and enforce certification
1185 for native seeds on a global scale.

1186

1187 **Methods**

1188 To evaluate seed quality and certification needs for native seeds, we designed and administered a
1189 web-based survey to native seed users. The request for participation was made globally. To
1190 formulate and define the survey questions, the current literature on native seed quality and
1191 certification was reviewed in scientific articles, reports, policy documents, and books. Four
1192 native seed producers and one plant material center were visited in Italy, the United Kingdom,
1193 Spain, Germany, and the USA to identify production challenges in native seed quality and
1194 certification.

1195 The online survey was distributed using SurveyMonkey (<https://www.surveymonkey.com>) and
1196 consisted of three sections and thirteen questions on participant details (n=5), seed quality (n=3),
1197 and certification (n=5) (S1). The survey was written in English and then translated into four other
1198 languages (Italian, Spanish, French and German). All questions administered were worded
1199 neutrally and concisely. Nominal answer choices were randomized, and all questions in the seed
1200 quality and certification sections contained a “Don’t know” option to prevent false positives or
1201 skipping questions. The survey was circulated to approx. 1340 native seed users by email
1202 containing a hyperlink to the survey platform in each language and was circulated in August and
1203 October 2016. The survey was left open for 6 months and was then closed at the end of January
1204 2017.

1205 Response options on native seed quality measures were defined to prevent misinterpretation
1206 (**Fig. S1**). Seed quality attributes were reviewed in certification schemes applied under various
1207 levels and enforcement in numerous countries including the European Union and the USA
1208 (**Table 12**). A list of 27 attributes was compiled and then narrowed down to 18 that were
1209 measurable and directly related to seed quality (**Table S1**). To define these attributes, existing
1210 certification schemes, books and seed quality publications were used (AOSA 2002; Baskin &
1211 Baskin 2014; Bewley et al. 2013; Copeland & McDonald 2001; EU Commission 2010; Hanson
1212 1985; ISTA 2009; NRCS 2009). When appropriate, these definitions were simplified to be easily
1213 comprehensible by all participants (**Fig. S1**).

1214 A pool of end-users were identified through a web-based inquiry using the keywords “native”
1215 and “seed” separately and together, and were translated into 15 other languages (Bulgarian,
1216 Croatian, Czech, Danish, Dutch, French, Finnish, German, Greek, Hungarian, Italian,
1217 Portuguese, Romanian, Slovenian, Spanish), and by contacting researchers in the field of native

1218 seed science and restoration (for further details, see De Vitis et al. unpublished). The following
1219 international groups and networks agreed to forward the survey at a global scale: Kew's UK
1220 Native Seed Hub ([https://www.kew.org/science/data-and-resources/seeds/kews-uk-native-seed-](https://www.kew.org/science/data-and-resources/seeds/kews-uk-native-seed-hub)
1221 [hub](https://www.kew.org/science/data-and-resources/seeds/kews-uk-native-seed-hub)), International Network for Seed Based Restoration section of the Society for Ecological
1222 Restoration (<http://ser-insr.org/>), International Seed Testing Association (ISTA)/Association of
1223 Official Seed Analysts (AOSA) Native/wild species working group, Native Seed Science,
1224 TEchnology, and Conservation (NASSTEC) network (<https://nasstec.eu/home>), and the Native
1225 Seed Network (<http://nativeseednetwork.org>).

1226

1227 *Data Analysis*

1228 In total 263 responses were received; however, two types of responses: 1) from users who
1229 indicated that they did not work with native seeds (n=21); and from users that did not presently,
1230 but planned to in the future (n=15) were removed. Of the resulting 227 responses, data was
1231 excluded from respondents that only completed 39% (5/13 questions – participant details only)
1232 of the survey and left the remaining blank (n=32) and responses from 195 participants were
1233 analyzed. All survey questions allowed a single response, except for the type of species used
1234 (**Table 4**), seed quality attributes (**Fig. 2**), and pre-existing certification schemes which were
1235 multi-response (**Table 12**).

1236 All analyses were performed in *R Statistical Computing Language and Platform* version 3.3.3 (R
1237 Core Development Team 2016). A Likelihood ratio test was used for the three-way contingency
1238 tables to determine associations between profession and sector for nominal categorical response
1239 variables (certification type, certification level, certification enforcement), and ordinal response
1240 variables (seed quality and certification importance). A log-linear model was chosen due to the

1241 smaller sample size and a joint independence model [AB][C] (\sim response + profession | sector) fit
1242 best to all nominal and ordinal response variables (Friendly 2000; Kuruppumullage &
1243 Sooriyaracchi 2011). Three-way log-linear mosaic plots of joint independence and the *logit* (log
1244 odds) and summary statistics were created using the package *vcd*.

1245 A multiple marginal independence (MMI) test using a Bonferroni post hoc was used to
1246 determine the associations between the single response categorical variable (profession) with the
1247 multiple response categorical variable (seed quality attributes). This was used to prevent false
1248 positives when testing multiple comparisons (Bilder & Loughin 2004). The package *MRCV* was
1249 used to create marginal positive response plots, summary statistics, and a marginal table
1250 including positive response frequencies and counts.

1251

1252 **Results**

1253 *Participant details*

1254 The majority of respondents were from the private (43%) and public (40%) sectors followed by
1255 NGOs (17%) (**Table 1**). Respondents were pre-dominantly from the European Union (80%)
1256 since the majority of stakeholder contacts collected were from Europe (**Table 2**). Due to the
1257 small sample size in any one country, all country data was pooled together. The three categories
1258 of professions consisted of “land” (28%), “trade” (26%), and “research” (46%) (**Table 3**). For
1259 profession and sector, respondents who chose “Other, please specify” were investigated using the
1260 additional comments section and the institutional email (if provided) to see which profession
1261 (n=19) or sector (n=13) the participant belonged to (**Fig. S2**). Respondents who stated they
1262 worked in more than one sector or profession (n=4) were randomly assigned to one of their given
1263 sectors or professions (**Fig. S2**). Participants (i.e. most professions) worked primarily with

1264 herbaceous seeds (54%), followed by native trees and shrubs (34%), and agricultural
1265 varieties/cultivars (12%) (**Table 4**).

1266

1267 **Table 1.** Number of participants by sector surveyed from July 26th to January 31st, 2017.

1268

<i>Sector</i>	<i>Number of participants</i>
Nongovernmental organization/non-profit	34
Public	78
Private	83
Total	195

1269

1270 **Table 2.** Number of participants by country surveyed from July 26th to January 31st, 2017.

1271 European Union and non-European Union sub-totals are 155 and 40 countries

1272

<i>Countries</i>	<i>Number of participants</i>
<i>Austria</i>	3
<i>Belgium</i>	9
<i>Bulgaria</i>	1
<i>Denmark</i>	1
<i>Estonia</i>	2
<i>Finland</i>	2
<i>France</i>	27
<i>Germany</i>	22
<i>Greece</i>	2
<i>Italy</i>	19
<i>Netherlands</i>	2
<i>Portugal</i>	7
<i>Romania</i>	1
<i>Slovakia</i>	1
<i>Spain</i>	17
<i>Sweden</i>	2
<i>United Kingdom</i>	37
<i>Australia</i>	4
<i>Canada</i>	1
<i>Ireland</i>	3
<i>Lebanon</i>	2
<i>New Zealand</i>	1
<i>South Africa</i>	1
<i>Switzerland</i>	1
<i>Tunisia</i>	2
<i>United States of America</i>	25
Total	195

1273

1274 **Table 3.** Number of participants by category and profession surveyed from July 26th to January
 1275 31st, 2017.

1276

<i>Categories</i>	<i>Profession</i>	<i>Number of participants</i>
Land (n=54)	Consultant	8
	Land manager	4
	Landscape contractor	4
	Restoration/conservation practitioner	32
	Technician/fieldworker	6
Trade (n=50)	Farmer	4
	Nursery	16
	Seed producer	28
	Seed retailer	2
Research (n=89)	Botanic Garden	1
	Researcher	65
	Seed analyst	17
	Student	6
Total		195

1277

1278

1279 **Table 4.** Type of native seed used by participants per category (land, trade, research) (n=195).

1280

<i>Profession</i>	<i>Agricultural*</i>	<i>Native Herbaceous</i>	<i>Native Trees and Shrubs</i>	<i>Total</i>
Land	8	47	33	88
Trade	5	38	26	69
Research	23	80	46	149
Total	36	165	105	306

1281 *cultivars/varieties

1282

1283 *Seed quality*

1284 The majority of participants from the private sector and trade professions were significantly
1285 more likely to select seed quality to be “yes, always” important ($L = -4.14, P < 0.01$). This was
1286 also true in the public sector and research professions ($L = -3.59, P < 0.001$) (**Table 5**). Moderate
1287 associations between “yes, always” with private/trade and public/research were apparent;
1288 “never” was chosen by NGO/trade professional; and “in most cases” by NGO/land using a
1289 likelihood ratio test corresponding to the shaded positive residuals ($P < 0.001$) (**Fig. 1**).

1290 Participants from private/research were under represented as shown by a strong shaded negative
1291 residual (**Fig. 1**).

1292 All three groups of professions (land, research, trade) positively chose the seed quality attribute
1293 “origin” with a marginal frequency of 96%, 87%, and 86% respectively (**Table 6**); however
1294 “viability” ($P = 0.001$) and “none” ($P < 0.001$) were significantly associated with profession
1295 under the multiple marginal independence test using a Bonferroni adjusted p-value (**Table 7**).

1296 Trade (82%) and research (80%) professions selected “viability” after “origin”, whereas land
1297 (50%) chose it in one fifth of cases. Land (48%) also chose “none” whereas trade (6%) and
1298 research (13%) rarely selected it. Almost half of all land professionals (48%) still chose “origin”
1299 (96%) while selecting “none”. Overall, origin, viability, germination, purity and provenance
1300 were successively chosen the most frequently by all professions (**Fig. 2**).

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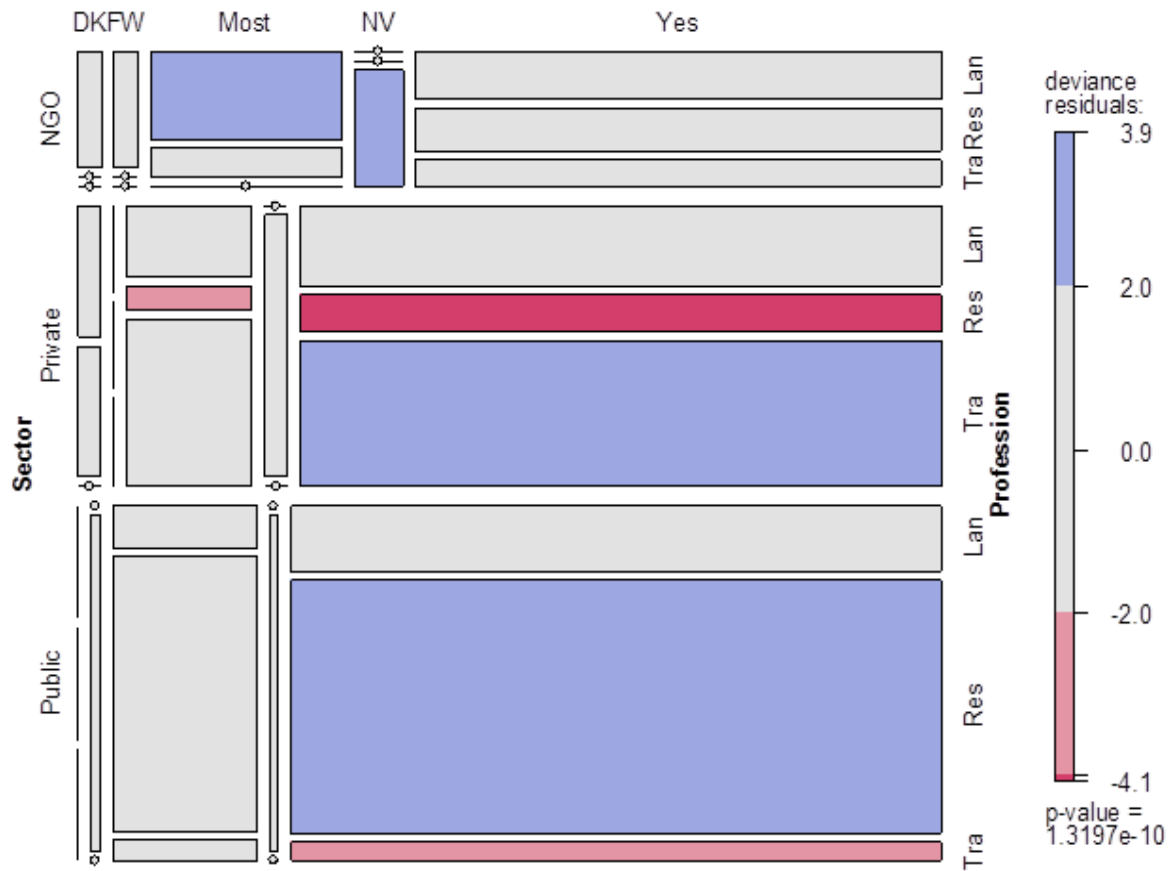
1306 **Table 5.** Log-linear model (log odds) testing the association between seed quality importance
 1307 (Yes, always – Yes, In most cases – Most, In few cases – Few, Never – NV, Don't know – DK)
 1308 between profession and sector.

1309

	<i>Land</i>			<i>Research</i>			<i>Trade</i>		
<i>NGO</i>	<i>L</i>	Std. Error	<i>P</i>	<i>L</i>	Std. Error	<i>P</i>	<i>L</i>	Std. Error	<i>P</i>
DK:FW	0.00	1.16	1.0000	0.00	2.00	1.0000	0.00	2.00	1.0000
FW:Most	-1.47	0.91	0.1050	-1.61	1.55	0.2989	0.00	2.00	1.0000
Most:NV	2.57	1.47	0.0805	1.61	1.55	0.2989	-1.61	1.55	0.2989
NV:Yes	-2.94	1.45	0.0420	-2.83	1.46	0.0515	-0.79	0.76	0.3013
<i>Public</i>									
DK:FW	0.00	2.00	1.0000	-1.10	1.63	0.5011	0.00	2.00	1.0000
FW:Most	-1.61	1.55	0.2989	-2.20	0.86	0.0107	-1.10	1.63	0.5011
Most:NV	1.61	1.55	0.2989	2.20	0.86	0.0107	1.10	1.63	0.5011
NV:Yes	-3.37	1.44	0.0192	-3.59	0.83	0.0000	-2.20	1.49	0.1405
<i>Private</i>									
DK:FW	1.10	1.63	0.5011	1.10	1.63	0.5011	0.00	2.00	1.0000
FW:Most	-1.95	1.51	0.1981	-1.10	1.63	0.5011	-2.71	1.46	0.0637
Most:NV	1.95	1.51	0.1981	-0.51	1.03	0.6209	2.71	1.46	0.0637
NV:Yes	-3.56	1.43	0.0132	-1.22	0.72	0.0890	-4.14	1.43	0.0037

1310

1311



1312

1313 **Figure 1.** Log-linear joint independence mosaic display of certification importance (Yes, always

1314 – Yes, In most cases – Most, In few cases – Few, Never – NV, Don't know – DK), profession

1315 (Trade – Tra, Research – Res, Land – Lan) and sector using a likelihood ratio test.

1316

1317 **Table 6.** Marginal table of positive responses between profession and seed quality attributes [*n*
 1318 (%)]. Subscripts indicate attributes by order chosen by more than 60% for each profession.

<i>Profession</i>	<i>Seed quality attributes</i>					
	<i>Collection date</i>		<i>Harvest date</i>		<i>Origin</i>	
Land (n=54)	30	(56)	20	(37)	52	(96) ¹
Research (n=91)	49	(54)	41	(45)	79	(87) ¹
Trade (n=50)	32	(64) ⁶	28	(56)	43	(86) ¹
	<i>Provenance</i>		<i>Generations Multiplied</i>		<i>Seed lot</i>	
Land	35	(65) ²	16	(30)	26	(48)
Research	52	(57)	41	(45)	46	(51)
Trade	29	(58)	20	(40)	32	(64) ⁵
	<i>Purity</i>		<i>Storage</i>		<i>Moisture Content</i>	
Land	24	(44)	23	(43)	15	(28)
Research	66	(73) ⁴	45	(50)	23	(25)
Trade	36	(72) ³	31	(62) ⁶	19	(38)
	<i>Viability</i>		<i>Seed health</i>		<i>Germination</i>	
Land	27	(50)	20	(37)	29	(54)
Research	74	(81) ²	40	(43)	70	(77) ³
Trade	41	(82) ²	27	(54)	35	(70) ⁴
	<i>Germination rate</i>		<i>Pure live seed</i>		<i>Dormancy</i>	
Land	17	(32)	9	(17)	16	(30)
Research	31	(34)	28	(31)	45	(50)
Trade	13	(26)	12	(24)	22	(44)
	<i>Dormancy type</i>		<i>Seed vigour</i>		<i>Seed pre-treatment</i>	
Land	18	(33)	9	(35)	15	(28)
Research	35	(39)	26	(29)	32	(35)
Trade	16	(32)	11	(22)	15	(30)
	<i>None</i>					
Land	26	(48)				
Research	12	(13)				
Trade	3	(6)				

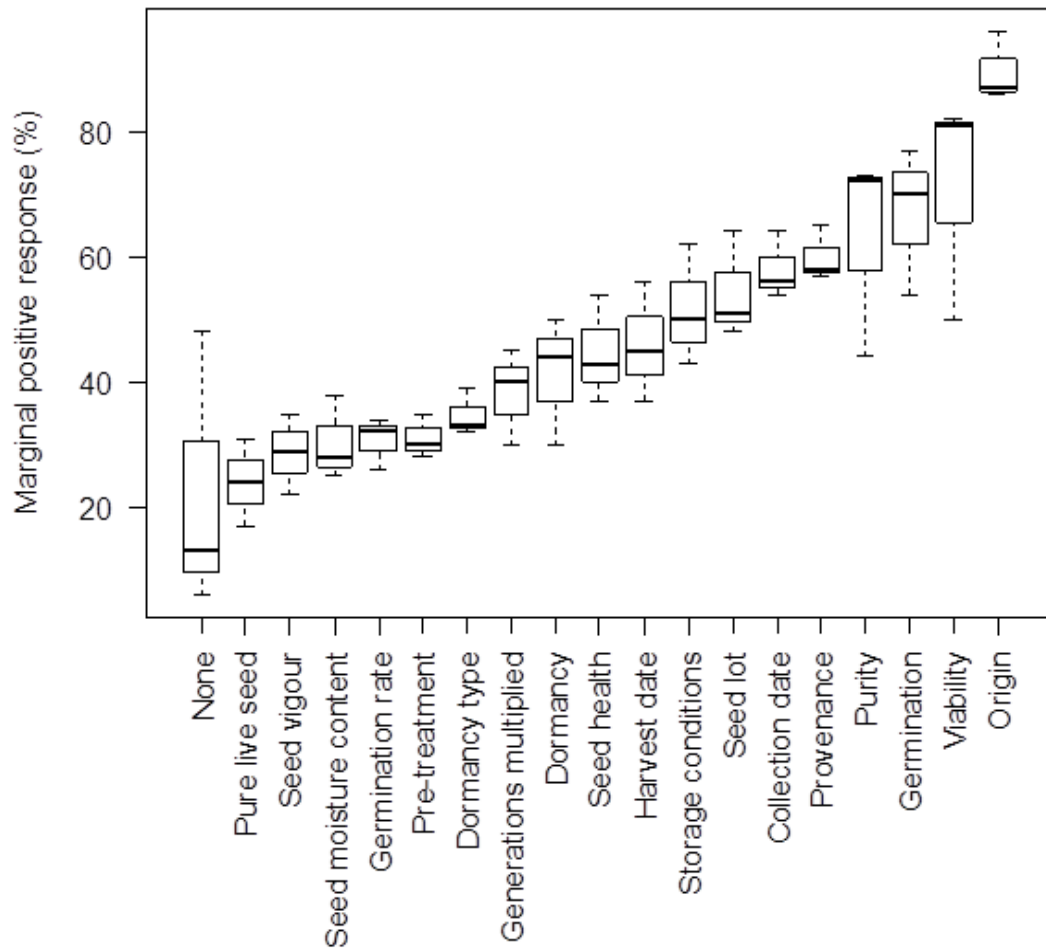
1320 **Table 7.** Marginal multiple independence (MMI) test statistics of seed quality attributes chosen
 1321 by profession (n=195).

<i>Attribute</i>	X^2_S	$X^2_{S,I,J}$	C^2_M
Collection date		1.41	1.000
Harvest date	115.8	3.79	1.000
Origin		3.90	1.000
Provenance		0.89	1.000
Generations multiplied		3.38	1.000
Seed lot		3.15	1.000
Purity		13.29	0.026
Storage		4.03	1.000
Moisture		2.60	1.000
Viability		19.66	0.001
Seed health		3.05	1.000
Germination		8.55	0.264
Germination rate		0.98	1.000
Pure live seed		3.63	1.000
Dormancy		5.50	1.000
Dormancy type		0.73	1.000
Seed vigour		2.21	1.000
Pre-treatment		0.95	1.000
None		34.09	0.000

1322 X^2_S : The modified Pearson statistic

1323 $X^2_{S,I,J}$: A matrix containing the individual Pearson statistics

1324 C^2_M : Bonferroni adjusted p-value



1325

1326 **Figure 2.** Overall percent frequency of marginal positive responses for seed quality attributes

1327 including all professions (n=195).

1328 *Certification*

1329 Similar to responses on seed quality importance, the majority of participants from the private
1330 sector and trade profession were significantly more likely to choose a preference for certification
1331 to be “yes, always” important ($L = -2.00, P < 0.01$). This was also true in the public sector and
1332 research profession ($L = -4.40, P < 0.01$), but public/research also largely selected “in most
1333 cases” ($L = -2.05, P < 0.01$) (**Table 8**). Moderate associations were found between “yes, always”
1334 and NGO/land professionals, private/trade, and public/research; “never” with private/trade; “in
1335 most cases” with public/research; and, “in few cases” with NGO/land, private/trade, and
1336 public/research corresponding to the positive shaded residuals ($P < 0.001$) (**Fig. 3**).

1337 Participants from the public sector and research profession were significantly more likely to
1338 chose “compulsory” certification ($L = 1.23, P < 0.001$) (**Table 9**). In addition, moderate
1339 associations between compulsory certification and NGO/land, private/trade, and public/research
1340 existed, but also between participatory certification and private/trade; and between “don’t know”
1341 and public/research corresponding to the positive shaded residuals ($P < 0.001$) (**Fig. 3**).

1342 Participants from the private/research profession were under represented as shown by a strong
1343 negative shaded residual (**Fig. 4**).

1344 Participants from the private/trade and public/research professions were significantly more likely
1345 to chose “national/federal” certification level ($L = -2.64$, and $L = -2.69$ respectively, $P < 0.01$)
1346 (**Table 10**). Moderate associations corresponding to the positive shaded residuals were found
1347 between “supranational” certification and private/trade and public/research; followed by
1348 “provincial/state” and NGO/research; “private” with private/trade, “national/federal” with
1349 NGO/land, private/trade, and public/res and lastly “don’t know” with public/res ($P < 0.001$) (**Fig.**
1350 **5**).

1351 **Table 8.** Log-linear model (log odds) testing the association between certification importance
 1352 (Yes, always – Yes, In most cases – Most, In few cases – Few, Never – NV, Don't know – DK)
 1353 between profession and sector.

1354

	<i>Land</i>			<i>Research</i>			<i>Trade</i>		
<i>NGO</i>	<i>L</i>	Std. Error	<i>P</i>	<i>L</i>	Std. Error	<i>P</i>	<i>L</i>	Std. Error	<i>P</i>
DK:FW	-2.20	1.49	0.1405	-0.51	1.03	0.6209	1.10	1.63	0.5011
FW:Most	0.59	0.79	0.4562	-0.79	0.76	0.3013	-1.10	1.63	0.5011
Most:NV	1.61	1.55	0.2989	2.40	1.48	0.1045	0.00	1.15	1.0000
NV:Yes	-3.14	1.44	0.0300	-1.61	1.55	0.2989	-1.10	0.94	0.2439
<i>Public</i>									
DK:FW	0.51	1.03	0.6209	1.22	0.72	0.0890	0.00	2.00	1.0000
FW:Most	-0.85	0.98	0.3853	-2.05	0.67	0.0022	-1.61	1.55	0.2989
Most:NV	1.95	1.51	0.1981	3.66	1.43	0.0105	1.61	1.55	0.2989
NV:Yes	-3.04	1.45	0.0354	-4.39	1.42	0.0020	-1.95	1.51	0.1981
				1.22	0.72	0.0890	0.00	2.00	1.0000
<i>Private</i>									
DK:FW	-0.34	0.83	0.6845	-0.59	0.79	0.4562	-0.37	0.61	0.5487
FW:Most	-0.62	0.66	0.3505	0.59	0.79	0.4562	-0.27	0.52	0.6067
Most:NV	2.56	1.47	0.0805	1.61	1.55	0.2989	1.22	0.72	0.0890
NV:Yes	-3.04	1.45	0.0354	-2.20	1.49	0.1405	-2.00	0.67	0.0030

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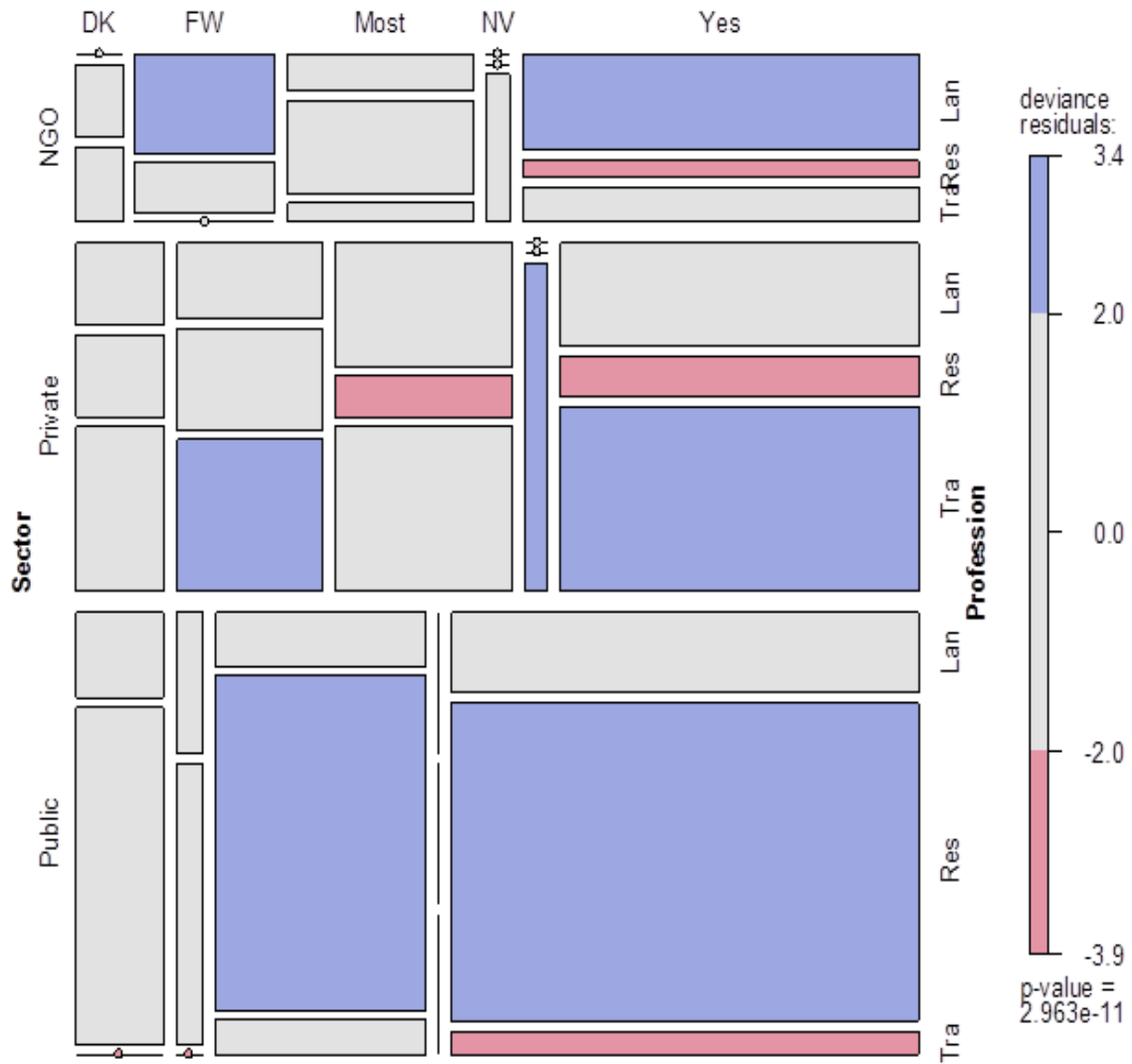
1356

1357 **Table 9.** Log-linear model (log odds) testing the association between certification type
 1358 (Compulsory – CP, Contract-based – CT, Don’t know – DK, None – N, No response – NR,
 1359 Other – O, Participatory – P) between profession and sector.

1360

	<i>Land</i>			<i>Research</i>			<i>Trade</i>		
<i>NGO</i>	<i>L</i>	Std. Error	<i>P</i>	<i>L</i>	Std. Error	<i>P</i>	<i>L</i>	Std. Error	<i>P</i>
CP:CT	0.75	0.57	0.1916	0.00	0.89	1.0000	1.95	1.51	0.1981
CT:DK	2.20	1.49	0.1405	-0.34	0.83	0.6845	0.00	2.00	1.0000
DK:N	0.00	2.00	1.0000	1.95	1.51	0.1981	-1.10	1.63	0.5011
N:NR	0.00	2.00	1.0000	0.00	2.00	1.0000	0.00	1.15	1.0000
NR:O	-1.10	1.63	0.5011	-1.10	1.63	0.5011	1.10	1.63	0.5011
O:P	-0.85	0.98	0.3853	-0.51	1.03	0.6209	-1.61	1.55	0.2989
<i>Public</i>									
CP:CT	1.85	0.88	0.0357	1.22	0.35	0.0005	0.00	0.89	1.0000
CT:DK	0.00	1.15	1.0000	-0.09	0.43	0.8312	1.61	1.55	0.2989
DK:N	1.10	1.63	0.5011	3.14	1.44	0.0300	0.00	2.00	1.0000
N:NR	-1.10	1.63	0.5011	-2.20	1.49	0.1405	-1.10	1.63	0.5011
NR:O	0.00	1.15	1.0000	1.10	0.94	0.2439	1.10	1.63	0.5011
O:P	-0.85	0.98	0.3853	-1.73	0.89	0.0502	0.00	2.00	1.0000
<i>Private</i>									
CP:CT	1.00	0.63	0.1103	-1.95	1.51	0.1981	0.97	0.50	0.0529
CT:DK	0.34	0.83	0.6845	-0.45	0.68	0.5086	0.79	0.76	0.3013
DK:N	1.61	1.55	0.2989	1.30	0.92	0.1584	0.00	0.89	1.0000
N:NR	-1.10	1.63	0.5011	1.10	1.63	0.5011	0.00	0.89	1.0000
NR:O	-0.51	1.03	0.6209	0.00	2.00	1.0000	0.51	1.03	0.6209
O:P	-0.59	0.79	0.4562	-1.95	1.51	0.1981	-2.12	0.86	0.0141

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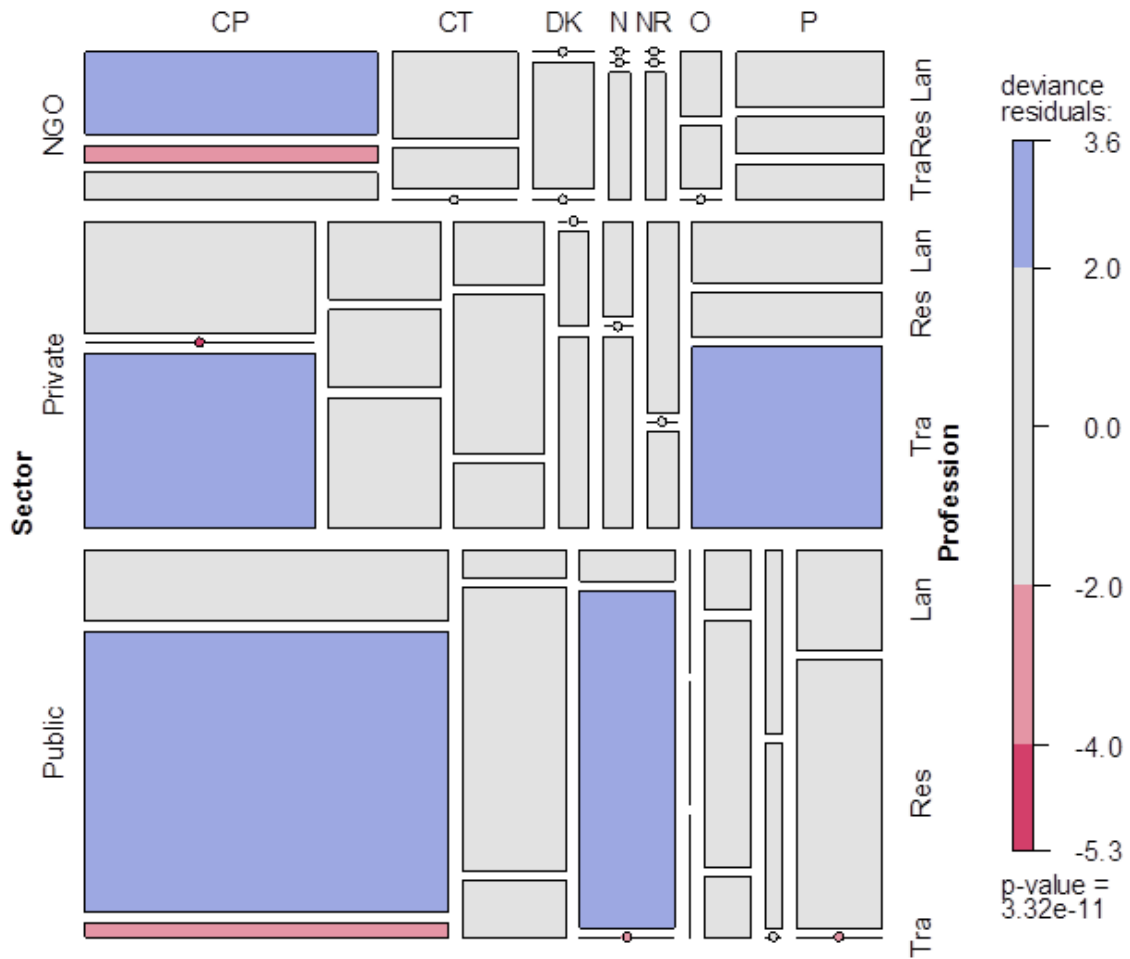
1363 **Figure 3.** Log-linear joint independence mosaic display of certification importance (Yes, always

1364 – Yes, In most cases – Most, In few cases – Few, Never – NV, Don't know – DK), profession

1365 (Trade – Tra, Research – Res, Land – Lan) and sector using a likelihood ratio test.

1366

1367



1368

1369 **Figure 4.** Log-linear joint independence mosaic display of certification type (Compulsory – CP,
1370 Contract-based – CT, Don’t know – DK, None – N, No response – NR, Other – O, Participatory
1371 – P), profession (Trade – Tra, Research – Res, Land – Lan), and sector using a likelihood ratio
1372 test.

1373

1374 **Table 10.** Log-linear model (log odds) testing the association between certification level (Don't
1375 know – DK Supranational – EU, Federation/Association/NGO – Fed., Municipal – MN,
1376 National/Federal – N/F, None, No response – NR, Provincial/state – P/S, Private – PR, Regional
1377 - RG) between profession and sector.

1378

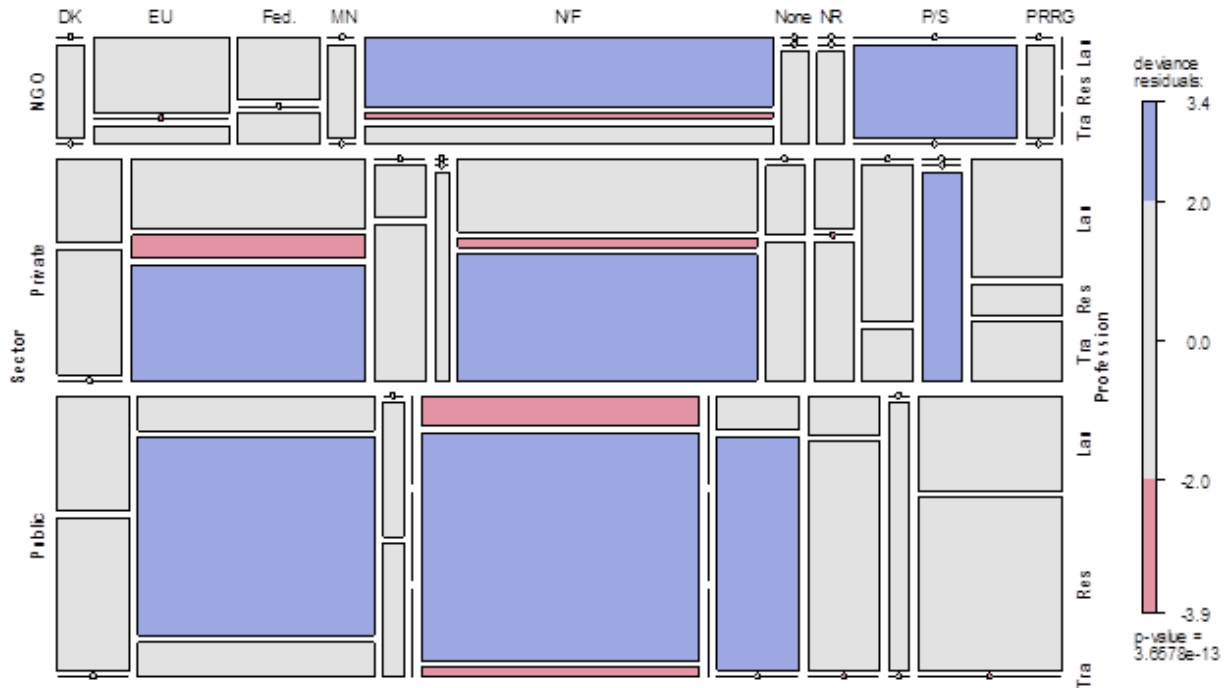
	<i>Land</i>			<i>Research</i>			<i>Trade</i>		
<i>NGO</i>	<i>L</i>	Std. Error	<i>P</i>	<i>L</i>	Std. Error	<i>P</i>	<i>L</i>	Std. Error	<i>P</i>
DK:EU	-2.20	1.49	0.1405	1.10	1.63	0.5011	-1.10	1.63	0.5011
EU:Fed.	0.59	0.79	0.4562	0.00	2.00	1.0000	0.00	1.15	1.0000
Fed.:MN	1.61	1.55	0.2989	-1.10	1.63	0.5011	1.10	1.63	0.5011
MN:N/F	-3.14	1.44	0.0300	0.00	1.15	1.0000	-1.95	1.51	0.1981
N/F:None	3.14	1.44	0.0300	1.10	1.63	0.5011	0.85	0.98	0.3853
None:NR	0.00	2.00	1.0000	0.00	2.00	1.0000	0.00	1.15	1.0000
NR:P/S	0.00	2.00	1.0000	-2.56	1.47	0.0805	1.10	1.63	0.5011
P/S:NR	0.00	2.00	1.0000	1.47	0.91	0.1055	0.00	2.00	1.0000
PR:RG	0.00	2.00	1.0000	1.10	1.63	0.5011	0.00	2.00	1.0000
<i>Public</i>									
DK:EU	0.00	0.76	1.0000	-1.36	0.53	0.0102	-1.95	1.51	0.1981
EU:Fed.	1.95	1.51	0.1981	2.46	0.85	0.0039	0.85	0.98	0.3853
Fed.:MN	0.00	2.00	1.0000	1.10	1.63	0.5011	1.10	1.63	0.5011
MN:N/F	-1.95	1.51	0.1981	-3.85	1.43	0.0071	-1.10	1.63	0.5011
N/F:None	1.95	1.51	0.1981	3.85	1.43	0.0071	1.10	1.63	0.5011
None:NR	-1.10	1.63	0.5011	-2.71	1.46	0.0637	0.00	2.00	1.0000
NR:P/S	0.00	1.15	1.0000	0.14	0.54	0.7894	0.00	2.00	1.0000
P/S:NR	1.10	1.63	0.5011	0.96	0.74	0.1992	0.00	2.00	1.0000
PR:RG	-2.40	1.48	0.1045	-1.34	0.71	0.0604	0.00	2.00	1.0000
<i>Private</i>									

DK:EU	-0.96	0.74	0.1992	0.34	0.83	0.6845	-3.04	1.45	0.0354
EU:Fed.	2.56	1.47	0.0805	0.51	1.03	0.6209	1.10	0.62	0.0751
Fed.:MN	0.00	2.00	1.0000	1.10	1.63	0.5011	0.85	0.98	0.3853
MN:N/F	-2.83	1.46	0.0515	-1.10	1.63	0.5011	-2.27	0.86	0.0082
N/F:None	2.83	1.46	0.0515	0.00	1.15	1.0000	1.76	0.68	0.0103
None:NR	-1.10	1.63	0.5011	1.10	1.63	0.5011	0.00	0.89	1.0000
NR:P/S	1.10	1.63	0.5011	-1.95	1.51	0.1981	0.51	1.03	0.6209
P/S:NR	0.00	2.00	1.0000	1.95	1.51	0.1981	-0.85	0.98	0.3853
PR:RG	-2.20	1.49	0.1405	-1.10	1.63	0.5011	0.34	0.83	0.6845

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1383 **Figure 5.** Log-linear joint independence mosaic display of certification level (Don't know – DK
1384 Supranational – EU, Federation/Association/NGO – Fed., Municipal – M, National/Federal –
1385 N/F, None, No response – NR, Provincial/state – P/S, Private – PR, Regional - RG), profession
1386 (Trade – Tra, Research – Res, Land – Lan) and sector using a likelihood ratio test.

1387

1388 Participants from the public sector and research profession were significantly more likely to
1389 chose “governmental agency” for certification enforcement ($L = -1.84$, $P < 0.001$) and it was
1390 chosen the most frequently in all three sectors (**Table 11**). Moderate associations between
1391 NGO/land and public/research and “governmental agency” were found; followed by “germplasm
1392 bank” for public/research; and lastly “private companies” with private/trade corresponding to the
1393 positive shaded residuals ($P < 0.001$) (**Fig. 6**). For pre-existing certification schemes, “not
1394 applicable” was chosen by one third of participants (37%), especially by the land (25%) and

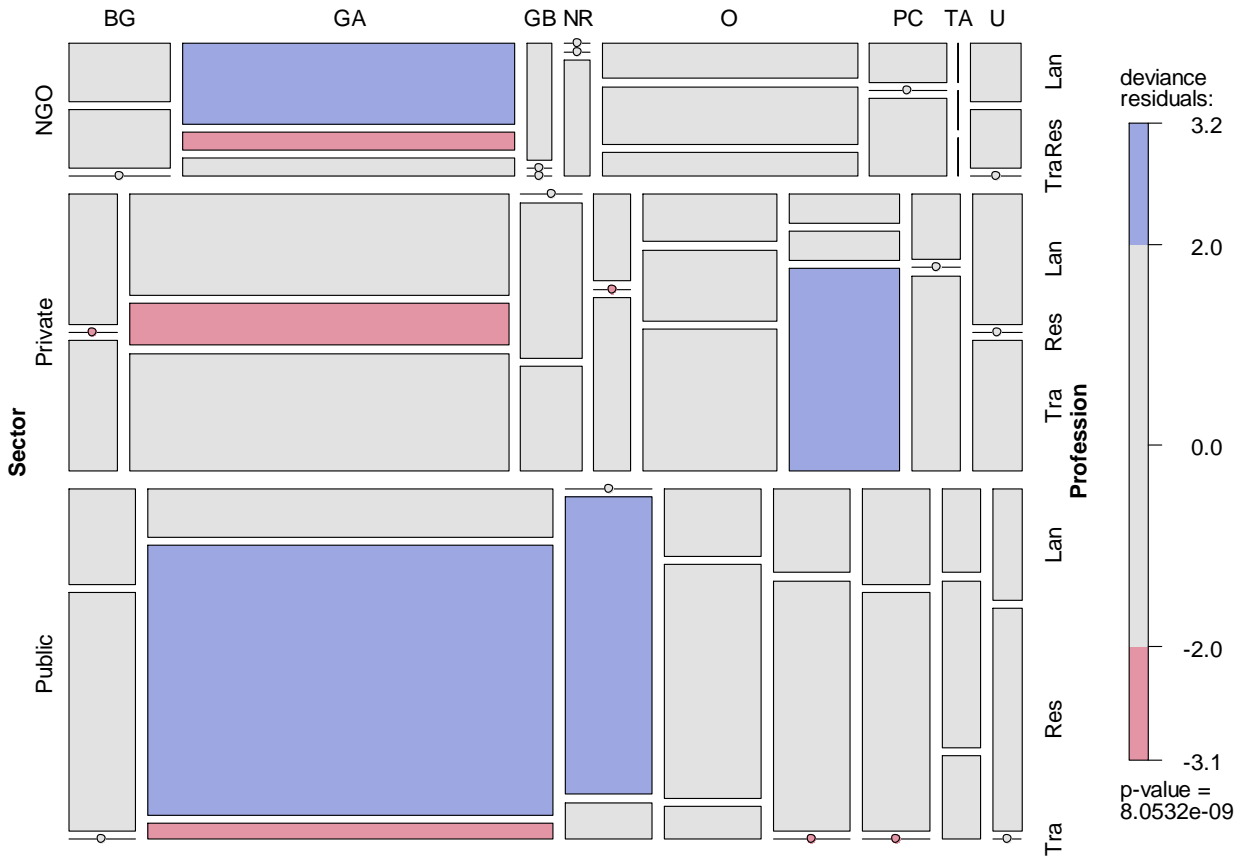
1395 research (68%) professions. The certification scheme selected most often was the EU directive
 1396 (99/105/EC) for source-identified forest reproductive material (18%), mostly chosen by trade
 1397 professionals (70%). However, responses were minimal and greatly varied among certification
 1398 schemes (**Table 12**).

1399
 1400 **Table 11.** Log-linear model (log odds) testing the association between certification enforcement
 1401 (Botanic Garden – BG, Governmental Agency – GA, Germplasm banks – GB, No response –
 1402 NR, Other – O, Private companies – PC, Trade associations – TA, Universities – U) between
 1403 profession and sector.

	<i>Land</i>			<i>Research</i>			<i>Trade</i>		
<i>NGO</i>	<i>L</i>	<i>Std. Error</i>	<i>P</i>	<i>L</i>	<i>Std. Error</i>	<i>P</i>	<i>L</i>	<i>Std. Error</i>	<i>P</i>
BG:GA	-1.34	0.71	0.0603	0.00	0.89	1.0000	-1.61	1.55	0.2989
GA:GB	1.85	0.88	0.0357	1.61	1.55	0.2989	1.61	1.55	0.2989
GB:NR	1.10	1.63	0.5011	0.00	2.00	1.0000	-1.10	1.63	0.5011
NR:O	-1.95	1.51	0.1981	-2.40	1.48	0.1045	-0.51	1.03	0.6209
O:PC	0.84	0.98	0.3853	2.40	1.48	0.1045	0.00	0.89	1.0000
PC:TA	1.10	1.63	0.5011	0.00	2.00	1.0000	1.61	1.55	0.2989
TA:U	-1.10	1.63	0.5011	-1.10	1.63	0.5011	0.00	2.00	1.0000
<i>Public</i>									
BG:GA	-0.96	0.74	0.1992	-1.84	0.46	0.0000	-1.61	1.55	0.2989
GA:GB	2.57	1.47	0.0805	1.40	0.38	0.0002	0.51	1.03	0.6209
GB:NR	-1.61	1.55	0.2989	0.13	0.50	0.8027	0.00	1.15	1.0000
NR:O	0.00	0.89	1.0000	0.14	0.54	0.7894	1.10	1.63	0.5011
O:PC	0.00	0.89	1.0000	0.17	0.58	0.7731	0.00	2.00	1.0000
PC:TA	0.51	1.03	0.6209	0.79	0.76	0.3013	-1.10	1.63	0.5011
TA:U	0.00	1.15	1.0000	0.00	0.89	1.0000	1.10	1.63	0.5011
<i>Private</i>									
BG:GA	-1.61	0.69	0.0202	-2.40	1.48	0.1045	-1.76	0.69	0.0102
GA:GB	3.22	1.44	0.0256	0.45	0.68	0.5086	1.76	0.69	0.0102
GB:NR	-1.10	1.63	0.5011	1.95	1.51	0.1981	0.00	0.89	1.0000
NR:O	-0.51	1.03	0.6209	-1.95	1.51	0.1981	-0.96	0.74	0.1991
O:PC	0.51	1.03	0.6209	0.85	0.98	0.3852	-0.14	0.54	0.7894
PC:TA	0.00	1.16	1.0000	1.10	1.63	0.5011	0.76	0.65	0.2391
TA:U	-0.51	1.03	0.6209	0.00	2.00	1.0000	0.34	0.83	0.6845

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1407 **Figure 6.** Log-linear joint independence mosaic display of certification enforcement (Botanic
1408 Garden – BG, Governmental Agency – GA, Germplasm banks – GB, No response – NR, Other –
1409 O, Private companies – PC, Trade associations – TA, Universities – U), profession (Trade – Tra,
1410 Research – Res, Land – Lan) and sector using a likelihood ratio test

1411 **Table 12.** Pre-existing native seed certification schemes in Europe and the United States used (produced, bought, or sold) by
 1412 participants from each category (land, trade, research) (n=195).

Profession	<i>ABCert</i>	<i>AOSCA (Association of Official Seed Certifying Agencies) Source-identified seed (yellow label)</i>	<i>AOSCA (Association of Official Seed Certifying Agencies) Source-identified seed (green label)</i>	<i>AOSCA (Association of Official Seed Certifying Agencies) Source-identified seed (blue label)</i>	<i>Bio-Suisse</i>	<i>Bioverita</i>	<i>DAFM (Department of Agriculture, Food and the Marine)</i>	<i>Demeter</i>	<i>European Directive 66/401/EEC Basic, commercial or certified fodder seed</i>	<i>European Directive 99/105/EC Source-identified forest reproductive material (yellow label)</i>	<i>European Directive 2010/60/EU Preservation mixtures</i>	<i>Flora Locale</i>	<i>OECD (Organisation for Economic Co-operation and Development) Seed Scheme</i>	<i>REWISA (Regionale Wildpflanzen & Samen)</i>	<i>VWW Regio-saaten/ RegioZert</i>	<i>Not applicable</i>	Total
Land	3	1	1	1	0	0	0	1	6	5	7	9	0	1	2	18	55
Trade	3	5	5	5	1	0	1	2	9	16	4	5	0	0	2	5	63
Research	1	7	4	3	0	2	0	1	4	2	6	2	1	0	0	48	81
Total	7	13	10	9	1	2	1	4	19	23	17	16	1	1	4	71	199

1413 **Discussion**

1414 Overall, native seed users and producers had very similar perspectives on seed quality and
1415 certification for all questions in terms of frequency of response. Even though the sectors and
1416 countries varied, all participants agreed that native seed quality and certification was always
1417 important (**Fig. 1 & 3**), in particularly regulating the origin of the material being made available
1418 (**Fig. 2**); and that certification should be compulsory at the national/federal level and enforced by
1419 governmental agencies (**Fig. 4, Fig. 5 & Fig. 6**).

1420 We found significant associations in seed quality and certification needs from participants based
1421 in private companies and public research institutions. With the majority of the native seed market
1422 uncharacterized in Europe until recently (De Vitis et al. unpublished), we provide a perspective
1423 on the variation in the native seed sector for ecological restoration with respect to the profession
1424 of users and stakeholder groups.

1425 Research professionals in the public sector had strong opinions shown by the significant
1426 associations in seed quality and certification importance, the need for compulsory certification to
1427 be implemented at a national/federal level and enforced by governmental agencies. However,
1428 more than half of researchers who responded did not use certified native seeds in their work
1429 likely since research on produced seeds instead of wild seed is less common, and a quarter of
1430 participants selected “don’t know” for certification type. Multiplied seeds have been used in
1431 restoration-related research (Bischoff et al. 2010; Marin et al. 2017); however, many herbaceous
1432 species are not commercially available (Ladouceur et al. 2017), thus using wild collections for
1433 research is more common practice. The few that did, have primarily used source-identified seed
1434 from the AOSCA or the EU directive (2010/60/EU) on fodder seed mixtures. A moderate

1435 association was found among NGOs and provincial/state level certification and among private
1436 trade professionals which may be in part due to the need for a regulated system that can still
1437 account for biogeographical differences, and that does not limit the species produced or the areas
1438 where they can be sourced. Supranational or national/federal level regulations could be too
1439 restrictive in countries where the trade or land market is not yet well-developed nor are native
1440 seed traits well-studied.

1441 Seed quality attributes chosen by more than 60% of research professionals were origin, viability,
1442 germination and purity. In the scientific literature on seed quality, the following aspects are
1443 widely measured and reported: germination capacity, seedling growth, storage, soil seed banks,
1444 origin, viability and germination (Bischoff et al. 2010; Haslgrübler et al. 2013; Marin et al. 2017;
1445 Silveira et al. 2014; Wennström et al. 2002); however, relatively little seed germination data is
1446 freely available on herbaceous species of high restoration value, such as protected or indicator
1447 species, when it comes to European grasslands of conservation concern (Ladouceur et al. 2017).
1448 One review found the purity and viability were the most important seed quality tests to prevent
1449 weed seed and poor seedling establishment (Elias et al. 2006).

1450 The highest level of seed quality and certification importance was positively associated with
1451 private/trade professionals, similar to the public/research group. However, “never” was
1452 moderately associated with NGO/trade on seed quality and private/trade for certification quality.
1453 This difference in opinion was due to some participants concern in the cost and time needed to
1454 certify seeds that may be impractical for smaller businesses to meet. A nurseryman stated in the
1455 additional comments section: “There is too much nonsense and red tape already we don't need
1456 more. Thank you”.

1457 Both compulsory and participatory certifications types were associated with private/trade
1458 professionals. Although a compulsory certification scheme may be desired, following
1459 agricultural minimum standards may be impossible for most species since native seeds do not
1460 behave in a uniform or stable manner. Supranational and national/federal levels of certification
1461 were favoured, but to be conducted by trade associations. This would enable trade professionals
1462 to have a say in how the seeds should be certified and provide them a unified voice for policy is
1463 critical for the future success of the market. A greater level of research on native seed quality and
1464 production could provide industry with further knowledge for development.

1465 Professionals in the land category were predominantly NGOs and private companies; however
1466 the log-odds were only significant for research and trade professionals whereas only moderate
1467 associations from the log-linear models were found overall (likely due to the smaller sample size
1468 and widespread distribution among sectors) in comparison to the other professions. NGO/land
1469 professionals said that seed quality was important “in most cases” since time constraints to meet
1470 projects may not facilitate the use of seeds of high quality; however many land professionals did
1471 stress the importance of locally sourced seed being paramount in their restoration seed selection
1472 decision. This was seen more clearly when land professionals chose “none” as well as additional
1473 seed quality attributes, in particular, “origin”. Certification was always considered to be
1474 important and “in few cases” by NGO/land professionals. Compulsory certification conducted by
1475 governmental agencies was largely favoured; however, it was evident from additional comments
1476 provided by some land professionals that there is concern that certification process would raise
1477 the price of native seeds.

1478 Existing certification schemes for native seed vary widely. The Federal Seed Act (7 U.S.C. 1551-
1479 1611) regulates interstate and foreign commerce of agricultural seeds, including grass and forage

1480 focused on origin, germination and purity. The AOSCA has developed certification labels for
1481 pre-variety germplasm that can be source-identified, selected or tested. This state seed
1482 certification program helped to ensure site-adapted native seed and in the early 90s drastically
1483 increased the sales of native shrubs in the western USA (Curran et al. 1997). Within Europe, the
1484 European Commission regulates the marketing of seed for forestry and fodder that enables
1485 member states to implement and enforce on a national level. These directives focus on numerous
1486 authorisation and labelling requirements for certification (Abbandonato et al. unpublished), but
1487 for trees a registry for each tree species is needed in addition. This registry could be useful in the
1488 future for herbaceous species to list the seed biology needs for each species per country. More
1489 specialized certification schemes created under the 2010/60/EU fodder mixtures denote seed
1490 zones, specific rules and limitations. For example, in Germany, the VWW-Regiosaat uses 22
1491 regions of origin and concise rules on species, sample retainment for auditing purposes,
1492 collection, reproduction (e.g. multiplied generations), documentation, and transfer and trade
1493 (VWW 2017). In Austria, REWISA uses similar rules corresponding to seed zones, propagation,
1494 processing, storage and distribution, and seed reserves (REWISA 2010).

1495 Our findings suggest that a compulsory and national/federal certification scheme is used to
1496 address origin and provenance (the needs of the customers) first; otherwise users (in particularly
1497 land professionals) may not be inclined to pay more for certified seeds of higher quality and
1498 resort to cheaper alternatives such horticultural or fodder varieties. This need seems to be met for
1499 forest species in Europe under the (1999/105/EC), but in countries where native seed
1500 certification does not exist, this could be the starting point, especially for herbaceous species. A
1501 simple certification scheme verifying origin and provenance would enable fast turnover rates for
1502 seed lots and increase jobs in certification enforcement. Further rules on origin could be made,

1503 such as distance between donor sites and even the implementation of seed zones. Other attributes
1504 selected by trade and research professionals such as viability, purity and germination can be
1505 participatory or under provincial/state regulation. Due to the known effects of environment and
1506 physiological interactions on seed weight and growth (Wennström et al. 2002), breeding and
1507 maturity (ENSCONET 2009), germination and dormancy (Silveira et al. 2014; Hampton & Hill
1508 2002) and more is needed on the seed testing of economically important native species (Curran
1509 et al.1997). Having access to data on these attributes may be important for implementation in the
1510 future after a working seed certification scheme is in place.

1511 This study consulted widely with native seed industry stakeholders. We found that native seed
1512 users and producers shared similar preferences on the importance of seed quality, the awareness
1513 of factors that can affect quality such as origin, and finally the need for a compulsory
1514 certification system at a national/federal level run by governmental agencies.

1515

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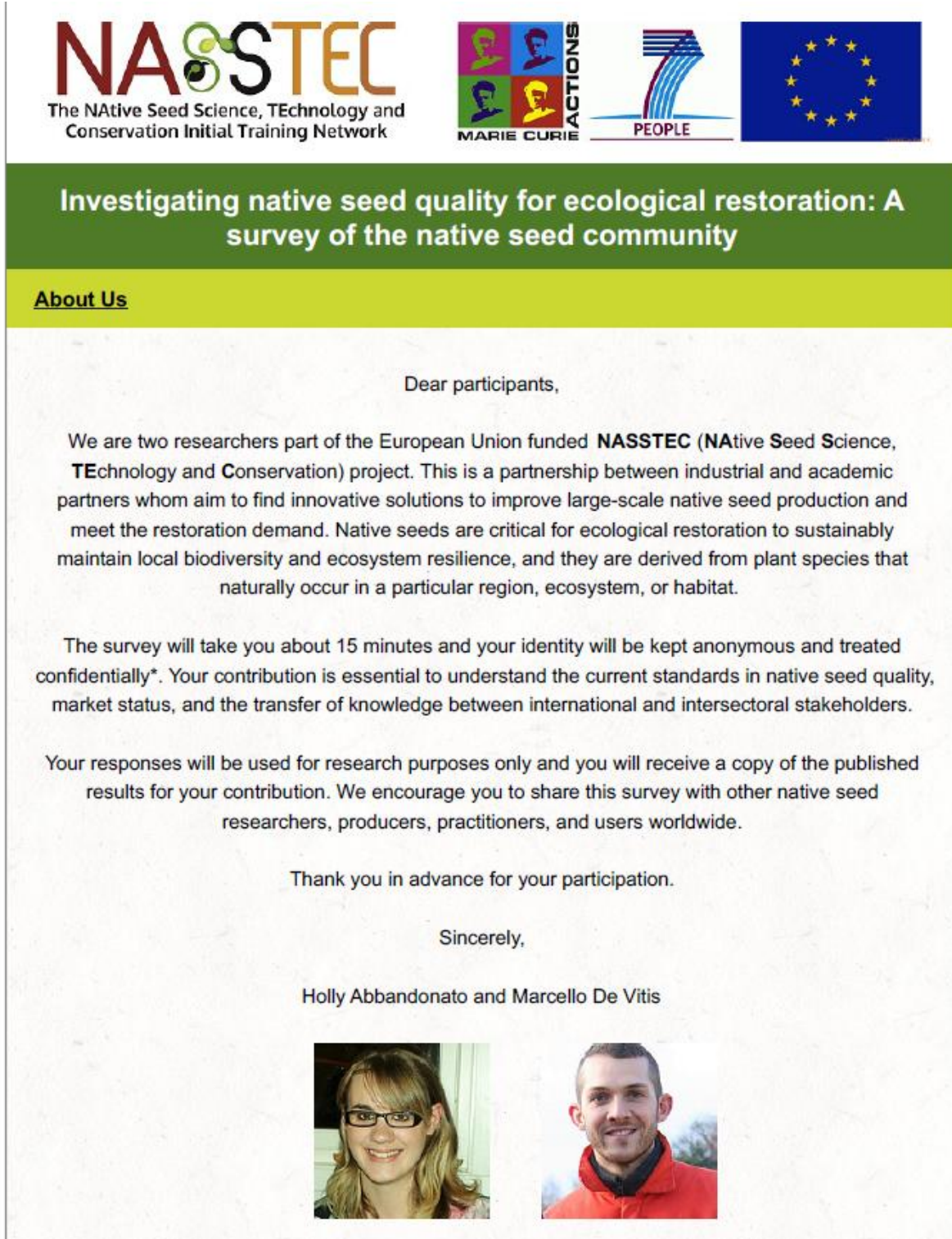
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1663 **Table S1.** Original list of seed quality measures

<i>Section</i>	<i>Seed Quality Measure</i>	<i>Used/removed</i>
HARVESTING METHODS		
	Collection date	Used
	Collection site/origin	Used
	GPS coordinates	Removed
	Multiplication site/provenance	Used
	Habitat type	Removed
	Ripening year	Removed
	Multiplied generations	Used
	Seed lot/accession	Used
SEED PROCESSING AND STORAGE		
	Quantity of seeds	Removed
	Seed weight/mass	Removed
	Purity	Used
	Storage conditions	Used
	Seed moisture content	Used
	Seed viability	Used
	Seed longevity	Removed
	Seed health	Removed
RESTORATION OR MULTIPLICATION		
	Germination	Used
	Pure Live Seed	Used
	Presence of dormant seeds	Removed
	Drought tolerance	Removed
	Seed vigour	Used
	Uniformity	Removed
	High seed yield	Removed

1664

1665 **Figure S1. Survey questionnaire**
1666



The image shows a survey questionnaire titled "Investigating native seed quality for ecological restoration: A survey of the native seed community". At the top, there are logos for NASSTEC (The Native Seed Science, Technology and Conservation Initial Training Network), Marie Curie Actions, and the European Union flag. The survey text is as follows:

Investigating native seed quality for ecological restoration: A survey of the native seed community

About Us

Dear participants,

We are two researchers part of the European Union funded **NASSTEC (NAtive Seed Science, TEchnology and Conservation)** project. This is a partnership between industrial and academic partners whom aim to find innovative solutions to improve large-scale native seed production and meet the restoration demand. Native seeds are critical for ecological restoration to sustainably maintain local biodiversity and ecosystem resilience, and they are derived from plant species that naturally occur in a particular region, ecosystem, or habitat.



The survey will take you about 15 minutes and your identity will be kept anonymous and treated confidentially*. Your contribution is essential to understand the current standards in native seed quality, market status, and the transfer of knowledge between international and intersectoral stakeholders.

Your responses will be used for research purposes only and you will receive a copy of the published results for your contribution. We encourage you to share this survey with other native seed researchers, producers, practitioners, and users worldwide.

Thank you in advance for your participation.

Sincerely,

Holly Abbandonato and Marcello De Vitis



1667

Investigating native seed quality for ecological restoration: A survey of the native seed community

Section 1. Participant Information

We would like to know the following information about **you** for our analyses.

* **1. Which sector are you *working* in?**

- Non Profit/Non Governmental Organisation (NGO)
- Private
- Public
- Other (please specify)

*** 2. Which of the following *best* describes your current profession?**

- Researcher
- Student
- Technician/Fieldworker
- Seed analyst
- Administration
- Land manager
- Consultant
- Restoration/Conservation practitioner
- Landscape contractor
- Farmer
- Nursery
- Seed producer
- Seed retailer
- Policy-maker
- Other (please specify)

*** 3. In which *country* is your profession or your main affiliation based?**

Section 2. Native Seed Quality Requirements

Native seed quality can be related to a combination of factors including the *genetic make-up* and the *methods* used to collect, store, process, and multiply the seeds (Baskin & Baskin 2014; Probert *et al.* 2006).

We would like to know which aspects of native seed quality are important to you.

*** 4. Do you use native seeds for your work?**

- Yes
- No
- No, but I plan to in the future

*** 5. Which of the following species do you work with? (check all that apply)**

- Native Trees and Shrubs
- Native Herbaceous (e.g. wildflowers, grasses)
- Agricultural (e.g. vegetables, wheat)
- Other (please specify)

*** 6. Is seed quality an important factor to you when using native seeds?**

- Yes, always
- In most cases
- In few cases
- Never
- Don't know

* 7. Which information related to *native seed quality* is important to you (check all that apply)?

- Collection Date** (date when the seeds were collected from the wild population)
- Harvesting Date** (date when the seeds were harvested after crop multiplication of the wild population)
- Origin** (specific location of the collected wild population)
- Provenance** (location where the seeds were multiplied, if different from the origin)
- Multiplied Generations** (Number of times a native seed crop has been multiplied since the original collection)
- Seed Lot** (Traceability of the seed lot to the collector/producer using an alphanumerical code)
- Purity** (percentage by weight of the target seeds, other seeds and inert matter)
- Storage Conditions** (the relative humidity and temperature of the dry room during storage)
- Seed Moisture Content** (represents the percentage of water maintained in a sealed package of seed before distribution)
- Seed Viability** (is a measure of how many live seeds are in a seed lot including dormant seeds which are seeds that may not germinate immediately, but are still alive)
- Seed Health** (the presence or absence of disease-causing organisms such as fungi, nematodes, bacteria, viruses and insects in a seed lot)
- Germination** (percentage of germinated seeds (radicle emergence) at optimal conditions)
- Germination Rate** (the speed at which seeds germinate at optimal conditions)
- Pure Live Seed** (A more precise measure of the percentage of live seed within a seed package (purity x viability)/100)
- Presence of Dormancy** (Seeds controlled by environmental conditions that regulate the timing of germination to optimize seedling survival and plant maturation in their natural environment)
- Type of Dormancy** (Classification of seed dormancy type, e.g. physiological, physical, morphological)
- Seed Vigour** (germination performance under unfavourable conditions)
- Seed Pre-treatments** (enhancement of seed performance, e.g. priming, pelleting, dormancy-breaking)
- Don't know
- Other (please specify)

* 8. What seed *quality* control activities do you perform? (check all that apply)

- Germination
- Viability (e.g. tetrazolium test, cut test, conductivity test)
- Purity
- Seed health
- None
- Other (please specify)

9. Additional Comments

1673

Section 3. Native Seed Quality Certification

We would like to know if certification for native seed quality is something effective or useful when producing or buying native seeds.

* 10. Should *native seeds* on the market be certified for their quality?

- Yes, always
- In most cases
- In few cases
- Never
- Don't know

* 11. What type of *certification* should be applied to native seeds?

- Compulsory
- Participatory
- Contract-based
- None
- Don't know
- Other (please specify)

1674
1675

* 12. If so, at what *scale* should native seed certification be regulated?

- Supranational (e.g. European Union)
- National/Federal
- Regional
- Provincial/State
- Municipal
- Private
- Federation/Association/NGO
- Don't know
- None

* 13. *Who* should conduct native seed certification?

- Governmental Agencies
- Germplasm Banks
- Universities
- Botanic Gardens
- Trade Associations
- Private companies
- Other (please specify)

1676
1677

* 14. Are you currently *producing, selling or buying* native seeds with the following seed certification? (check all that apply)

- Basic, commercial or certified fodder seed (European Directive 66/401/EEC)
- Source-identified forest reproductive material (yellow label) (European Directive 99/105/EC)
- Preservation mixtures (European Directive 2010/60/EU)
- Seed Scheme (OECD - Organisation for Economic Co-operation and Development)
- REWISA (Regionale Wildpflanzen & Samen)
- VWW Regio-saaten/ RegioZert
- DAFM (Department of Agriculture, Food and the Marine)
- Flora Locale
- Bio-Suisse
- Bioverita
- Demeter
- ABCert
- Source-identified seed (yellow label) (AOSCA - Association of Official Seed Certifying Agencies)
- Selected seed (green label) (AOSCA - Association of Official Seed Certifying Agencies)
- Tested seed (blue label) (AOSCA - Association of Official Seed Certifying Agencies)
- I do not use certified native seeds
- I do not apply certification to my native seeds
- I do not buy, sell or produce native seeds
- Other (please specify)

15. Additional Comments

1678
1679

1680 **Figure S2. Data management rules**

1681

1682 **Participant information** -“other” responses

1683

Sector:

- 1684 -Respondent 5023510838: changed sector from “other” (response: retired private) to “private”,
1685 also changed profession from “other” to “seed producer”.
1686 -Respondent 4952701318 changed “other” (wildseed producer) to “private”.
1687 -Respondent 4943073969 changed “other” (seed producer) to “private”.
1688 - Respondent 4894388238 changed “other” (charity) to “NGO” and profession from “Ecologist”
1689 to “Consultant”
1690 -Respondent 4887878208 changed “other” (voluntary) to “NGO” and profession from Garden
1691 Development worker to “Restoration/Conservation Practitioner”
1692 -Respondent 4885854521 changed “other” (government retired “emeritus”) to “public”
1693 -Respondent 4879362057 changed “other” (PhD student at private company) to “public”
1694 -Respondent New1 changed “other” (academia) to “public”. I looked up university in email.
1695 -Respondent 4916294809 changed “other” (private activities) to “private”.
1696 -Respondent 5027632497 changed “other” (gardening/landscaping) to “private”
1697 -Respondent 4962570400 changed “other” (nursery organization) to “ngo”
1698 -Respondent 4895059033 changed “other” (university) to “public”. Checked university in email.
1699 -Changed last two respondents (4886423780, 4957442818) in which I couldn’t determine sector
1700 to: “private” and “public” randomly.

1701

Profession:

- 1703 -Respondent 4992758108 changed “other” (seed analysts) to “seed analyst”.
1704 -Respondent 5029036536 changed “other” (teacher/researcher) to “researcher”.
1705 -Respondent 4950433876 changed “other” (office manager) to “administration”
1706 -Respondent 4885296114 changed “other” (office manager) to “administration”
1707 -Respondent 4879691123 changed “other” (regional representative) to “nursery”
1708 -Respondent 4877317371 changed “other” (breed, produce, retail) to “producer”
1709 -Respondent 4969521780 changed “other” (analyst, produce, retail) to “producer”
1710 -Respondent 4885537530 changed “other” (“in bloom” group inverflora) to “landscape
1711 contractor”
1712 -Respondent 4911130053 changed “other” (conservation officer) to “Restoration/conservation
1713 practitioner”
1714 -Respondent 5075680690 changed “other” (conservation officer) to “Restoration/conservation
1715 practitioner”
1716 -Respondent 4879137113 changed from “administration” to “landscape” as they are with a
1717 restoration group - Naturgarten
1718 -Respondent 4892374177 changed from “administration” to “trade” as they are with a restoration
1719 group:Valencia.es (Valencia tourism) who “sells uncertified seeds”.
1720 -Respondent 4903910331 changed from “administration” to “research” as he is seed
1721 analyst/researcher who I met in the U.S.
1722 -Respondent 5100077080 changed from “administration” to “research” as he/she is a seed
1723 analyst from the dept. of agriculture working with plant protection and certification in Estonia.
1724 -Respondent 4885296114 changed from “other, manager of a social enterprise” to “research” as
1725 he/she is creating a seed library for the Isle of Bute, could be a seedbank or botanic garden.

- 1726 -Respondent 4905345034 changed from “administration” to “research” as he/she is a seed
1727 analyst from the ministry of the environment in Finland.
1728 -Respondent 4950433876 changed from “administration” to “trade” as they work at a company
1729 (private) in Germany.
1730 -Respondents who fit both “land” and “trade” categories were randomly assigned to either group.
1731 -Respondent 4898776409 and 4897636383 were assigned to “trade” and respondent 4876776046
1732 and 5027632497 were assigned to “land”.
1733 -Respondent 4975417827 changed from “policymaker” to “res” as he is a researcher and seed
1734 analyst from the university of Utah in the USA.
1735 -Respondent 5027461711 changed from “policymaker” to “res” as he is a researcher from the
1736 UK specializing on forestry.
1737 -Respondent 4876791134 changed from “policymaker” to “land” as they are a UK policymaker
1738 who buys seed for restoration, and does not cultivate them.
1739 - Respondent 5042809549 was randomly assigned to “trade”.

1740 **Figure S3. R script**

1741 *Importance of seed quality and certification*

```
1742  
1743 library(vcd)  
1744 lodds(~ seed_quality + profession2|sector, data = dat1) ##log odds  
1745 confint(lodds(~ seed_quality + profession2|sector, data = dat1),lines=T) #CI  
1746 summary(lodds(~ seed_quality + profession2|sector, data = dat1),lines=T) #summary stats  
1747  
1748 loddsratio(~ cert_quality + profession2|sector, data = dat1)  
1749 confint(lodds(~ cert_quality + profession2|sector, data = dat1),lines=T)  
1750 summary(lodds(~ cert_quality + profession2|sector, data = dat1),lines=T)  
1751  
1752 ##Joint Independence A+B|C  
1753 mosaic(~ seed_quality + profession2|sector, data = dat1, residuals_type=c("deviance"), shade=T)  
1754 mosaic(~ cert_quality + profession2|sector, data = dat1, residuals_type=c("deviance"), shade=T)  
1755
```

1756 *Certification type, level and enforcement*

```
1757  
1758 lodds(~ cert_type+ profession2|sector, data = dat1, ref=1) ##log odds with corresponding ref.  
1759 confint(lodds(~cert_type + profession2|sector, data = dat1),lines=T, ref=1) #CI  
1760 summary(lodds(~cert_type + profession2|sector, data = dat1),lines=T, ref=1) #summary stats  
1761
```

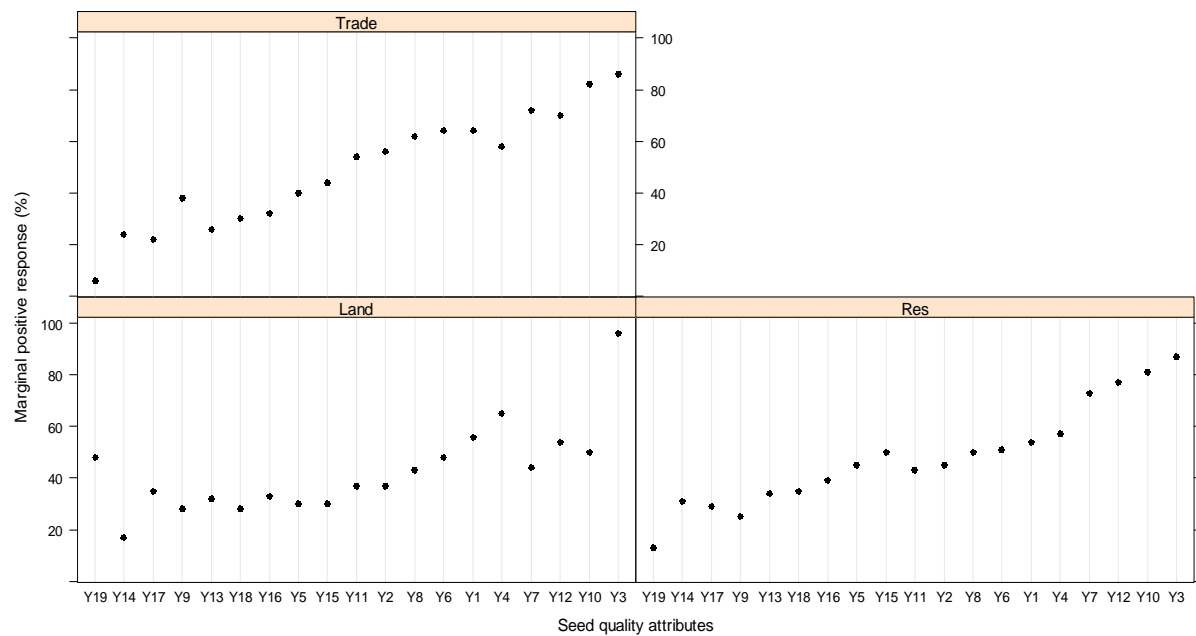
1762 ##Joint Independence A+B|C

```
1763 mosaic(~ cert_type + profession2|sector, data = dat, residuals_type=c("deviance"), shade=T)  
1764 mosaic(~ cert_level + profession2|sector, data = dat1, residuals_type=c("deviance"), shade=T)  
1765 mosaic(~ do_certification + profession2|sector, data = dat1, residuals_type=c("deviance"),  
1766 shade=T)  
1767
```

1768 *Seed quality attributes*

```
1769  
1770 library(MRCV)  
1771 mtable.one <- marginal.table(data = dat, I = 1, J = 19) ##Marginal table  
1772 mtable.one  
1773  
1774 ##Test for MMI using the Bonferroni adjustment  
1775 test.mmi.bon <- MI.test(data = farmer1.irdframe, I = 1, J = 19, type = "bon", summary.data =  
1776 TRUE, plot.hist =TRUE)  
1777 test.mmi.bon  
1778  
1779 ##boxplot using positive frequency responses only  
1780 boxplot(freq ~ Y, dat, las=2,par(mar = c(12, 5, 4, 2)+ 0.1), names = c("Collection date", "Harvest  
1781 date", "Origin", "Provenance", "Generations Multiplied", "Seed  
1782 lot", "Purity", "Storage", "Moisture", "Viability", "Seed health", "Germination", "Germination  
1783 rate", "Pure live seed", "dormancy", "dormancy type", "Seed vigour", "Pre-treatment", "None"))  
1784 dat$Y <- with(dat, reorder(Y,freq)) #order by count  
1785 boxplot(freq ~ Y, dat, las=2,par(mar = c(12, 5, 4, 2)+ 0.1)) ## use to fix x labels
```

```
1786 boxplot(freq ~ Y, dat, las=2,par(mar = c(12, 5, 4, 2)+ 0.1), ylab="Marginal positive response
1787 (%)", names = c("None","Pure live seed","Seed vigour","Seed moisture content","Germination
1788 rate","Pre-treatment","Dormancy type","Generations multiplied","Dormancy","Seed
1789 health","Harvest date","Storage conditions","Seed lot","Collection
1790 date","Provenance","Purity","Germination","Viability","Origin"))
```



1791
 1792
 1793 **Figure S4.** Percent frequency of marginal positive responses for seed quality attributes selected

1794 by each profession (trade, land, research).

1795

1796 Y19: none, Y14: pure live seed; Y17: seed vigour; Y9: seed moisture content; Y13: germination rate; Y18: pre-
 1797 treatment; Y16: dormancy type; Y5: generations multiplied; Y15: dormancy; Y11: seed health; Y2: harvest date;
 1798 Y8: storage conditions; Y6: seed lot; Y1: collection date; Y4: provenance; Y7: purity; Y12: germination; Y10:
 1799 viability; Y3: origin.

Paper 4:

Abbandonato H, Liu U, Squire G, Iannetta PPM, Pritchard HW (2017) Applying Standard PReanalytical Codes to the marketing of herbaceous native seeds for ecological restoration. Plant Biology, special issue: Natural capital from native seeds, *manuscript*.

1800 **Applying Standard PREanalytical Codes to the marketing of herbaceous**
1801 **native seeds for ecological restoration**

1802
1803

1804 **Authors: Holly Abbandonato**^{1,2,3}, Udayangani Liu⁴, Geoff Squire⁵, Pietro PM Iannetta⁵, and
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1812

1813 **Type:** Problem oriented short research paper (max 5 typeset pages)

1814

1815 **Abstract**

1816 With few supranational regulations applicable to the herbaceous native seed trade other than as
1817 animal feed, the certification of native seeds is scarce except in a handful of European countries.
1818 To better regulate this sector and support the restoration targets in Europe, we devised a labelling
1819 framework for the marketing of native seed for ecological restoration. To understand what

1820 defines “quality” for this purpose we consulted the survey on the native seed community and
1821 developed a user and full SPREC (Standard PREanalytical Codes) code, and quality assurance
1822 rank for 5 native species that are commonly collected from the wild, bulked and marketed:
1823 *Daucus carota*, *Hypericum perforatum*, *Lotus corniculatus*, *Papaver rhoeas* and *Silene vulgaris*.
1824 A DEXi model on native seed quality was developed for wild and produced species that defined
1825 quality as both capturing the original genetic diversity, and ensuring that seed produced for sale
1826 or storage met pre-defined standards following processing, handling and bulking. The label was
1827 then designed using SPREC and named U-SeeD (User-based, SPREC and DEXi) certification.
1828 Under this scheme, wild seed lots of the test species were well documented and demonstrated
1829 high quality; in germination, viability and purity. Produced seed lots showed more variation from
1830 low to high quality primarily due to the lack of information on genetic diversity, date of
1831 harvesting, origin, provenance and seed lot. This study provides a simplistic and transparent
1832 certification system with seed standards designed for ecological restoration accounting for both
1833 genetic diversity and product quality to facilitate a growing herbaceous native seed marketplace.

1834 **Keywords: bio-banking, certification, *ex situ*, regulation, seed industry, seed label,**
1835 **standards**

1836

1837 **Introduction**

1838 The findings of the ‘global native seed survey’ (**Paper 3**) identified that end-users preferred to
1839 know the quality of the native seeds they purchased. These desired seed quality attributes were
1840 the origin, provenance, germination, viability and purity. The issue of which quality standards
1841 should be both recorded and applied in the ‘restoration marketplace’ is widely debated. With
1842 each quality attribute assessed, the measure must be tested in a standardised manner and this may

1843 be arduous. For example, the definition of seed viability varies with each testing agency. The
1844 International Seed Testing Association (ISTA) and the Association of Official Seed Analysts
1845 (AOSA) use subtly different standards. ISTA focuses on the proportion of seeds in a lot that can
1846 germinate and produce young seedlings (so-called ‘normal germination’) (ISTA 2017). Such a
1847 standard is central to the issuing of seed lot certificates as the mainstay of quality assurance for
1848 seeds (agricultural, forestry, and horticultural) in a trade that is estimated to be in the region of
1849 3.87 million metric tonnes per annum with a value in 2015 of US\$ 10.7 billion (International
1850 Seed Federation 2017).

1851 Whilst the global market in native species’ seed is currently small by comparison, quality
1852 standards are needed. Moreover, because the timing of restoration projects is somewhat less
1853 predictable than the annual sowing of agricultural crops, native seeds will likely be stored for an
1854 indefinite period of time before use in land restoration / rehabilitation projects. Consequently, it
1855 is important that the manner in which the seed lot has been stored and handled is recorded.

1856 Recommendations and standards on the handling of seed for storage are available for agriculture
1857 species (FAO, 2013; Rao et al., 2006) and wild plant conservation collections, in Europe
1858 (ENSCONET, 2009a,b), Australia (Offord and Meagher, 2009), the United States of America
1859 (Seeds of Success, 2012) and across the world (Millennium Seed Bank Partnership, 2014). Such
1860 guidance generally covers how to make a seed collection that is genetically representative of the
1861 species’ population being sampled, what conditions to use for drying and storing seed and which
1862 environments are suitable for seed germination. Because there are multiple institutions (different
1863 pedoclimates and facilities), involved in such programmes, and many individuals (different
1864 behaviours) standardisations of seed testing across laboratories is very difficult to achieve. Also
1865 the best conditions for seed germination will vary with species and site of origin (pedoclimate).

1866 Also, seed lifespan varies considerably between species and seed lots, and including seed batches
1867 stored within international standards seed banks (Walters et al. 2005; Li & Pritchard 2009).
1868 Therefore, this is also a critical question: ‘*how then can native seed lots be labelled in a way that*
1869 *is both consistent and informative?* Since, at least basic seed quality attributes should be
1870 disclosed, such as: collection - seed zone, sampling strategy; processing - pre-storage, drying,
1871 banking; and quality assessment - germination, viability. More specifically, is it possible to
1872 develop a coding system that allows retrospectively some interpretation of seed lot variability in
1873 quality as it enters the marketplace?

1874 Standard PReanalytical Codes (SPREC) for biological specimens (biospecimen) management
1875 was developed in 2009 by the International Society for Biological and Environmental
1876 Repositories (ISBER). The aim was to help document the most important pre-analytical quality
1877 parameters of biospecimens used for research (Lehmann et al. 2012). SPREC was originally
1878 developed by the Integrated Biobank of Luxembourg to provide ‘a comprehensive, but usually
1879 easy-to-implement tool to document the *in vitro* pre-analytical (collection, processing and
1880 storage) details of biospecimens’. The purpose of the study reported here was to develop
1881 annotation for native seeds based traits as such characteristics may help users determine their
1882 suitability in downstream uses. No such scheme appears to be in existence for native seeds, and
1883 the approach may have special merit as a means by which products may be labelled to help
1884 ensure quality and/or suitability in restoration based activities. However, there is little
1885 comprehension of how important each factor is to downstream seed quality other than the
1886 concern that old seed may produce poor quality plants.

1887 If SPREC is to be successful in this application, it needs to be able to reach an overall assessment
1888 of a seed lot based on many, diverse properties. If such properties can be aggregated into sub-

1889 groups, then the whole can be arranged in a hierarchical structure in which measured ‘lower
1890 level’ properties are combined into inferred ‘higher level properties’ in sequence until the seed
1891 lot can be given a final ranking. Such hierarchical ‘decision trees’ are increasingly used in
1892 agriculture and ecology to compare production systems, habitats and land management options.
1893 DEXi has been used to analyse the chain of effect assessing human intervention on ecosystem
1894 services, cropping systems, genetically modified crops, and provides a framework to rank and
1895 optimise innovative production systems (Squire et al. 2016; Bohanec et al. 2008; Pelzer et al.
1896 2012).

1897 This study therefore describes the development of a prototype: (a) a labelling framework for
1898 seed quality using SPREC and DEXi; (b) a weighted measure for seed quality assurance; and, (c)
1899 applying the code to seeds of widespread European native species of wild accessions and
1900 commercially produced seed lots since both sources are commonly used in conservation and
1901 restoration based activities.

1902

1903 **Methods**

1904 *Native seed quality DEXi multi-attribute decision tree*

1905 Seed quality attributes were reviewed from (Abbandonato et al. unpublished) and all measures
1906 were selected except for “storage conditions” which can be measured using seed “moisture
1907 content”. Pure live seed was considered an optional attribute since it is more commonly used in
1908 the United States, rather than Europe. Relationships between attributes were created to decide the
1909 root, aggregated and input attributes. The root attribute was Native Seed Quality, the aggregated

1910 attributes were Wild and Produced and the input attributes were designated in relation to Genetic
 1911 Diversity and Product Quality which was further divided into Processing, Handling and Seed
 1912 Properties (**Fig. 1**). The arrow connecting wild seed and produced seed in the DEXi model
 1913 indicates the fluency between the quality of seed from wild to produced seed input attributes.
 1914 The model consisted of sixteen final seed quality attributes. A multi-attribute decision tree was
 1915 formulated using DEXi (version 5.02) and the DEXiTree software (version 0.94).

1916 Each final attribute was then assigned a label code, level and a final weighted category or score
 1917 (**Table 1**). Genetic diversity in Wild seed noted the Presence of Dormancy, whereas Produced
 1918 seed only included the number of Generations multiplied since information on dormancy would
 1919 be present under the Wild seed code. In addition, in Produced seed, the collection date is
 1920 substituted for harvesting date, and provenance is added to represent the site of multiplication.

1921 **Table 1.** Native seed quality attributes, label codes, levels and weighted categories for the DEXi
 1922 multi-attribute decision tree.

Attributes	Wild/produced	Label codes	Label levels	Weighted ranks
Collection date	Wild	Same as level	dd/mm/year mm/year year	High Med Low
Harvest date	Produced	Same as level	dd/mm/year mm/year year	High Med Low
Origin	Both	Same as level	GPS coords. Town, country Country	High High Low
Provenance	Produced	Same as level	GPS coords. Town, country Country	High High Low
Presence of dormancy	Produced	D2 D0	Dormancy No Dormancy	High Low
Generations multiplied	Produced	M2 M1 M0	F0-F2 F3-F5 >F5	High Med Low

Germination	Both	G2	67% - 100%	High
		G1	33% - 66%	Med
		G0	0% -32%	Med
Germination rate	Both	GR2	67 - 100%/time	High
		GR1	33 - 66%/time	Med
		GR0	0 -32%/time	Med
Pre-treatments	Produced	PT2a	Pellet	High
		PT2b	Primer	High
		PT1	None	Med
Purity	Both	P2	67% - 100%	High
		P1	33% - 66%	Med
		P0	0% -32%	Low
Pure live seed*	Both	PS2	67% - 100%	High
		PS1	33% - 66%	Med
		PS0	1% -32%	Low
Seed health	Both	S2	Sterile	High
		S0	Not sterile	Low
Seed lot	Both	Same as level	Alphanumeric code	High
			No code	Low
Seed moisture content	Both	MC2	1% -32%	High
		MC1	33% - 66%	Med
		MC0	67% - 100%	Low
Seed viability	Both	V2	67% - 100%	High
		V1	33% - 66%	Med
		V0	0% -32%	Low
Seed vigour	Both	SV2	67% - 100%	High
		SV1	33% - 66%	Med
		SV0	0% -32%	Low

1923

1924 Under origin and provenance, GPS coordinates were not required for high quality since location

1925 of collection may be considered sensitive information depending on the source.

1926 Germination and germination rate did not consist of a “low” weighted level since the optimal

1927 conditions of some species may vary as a result of dormancy and thus testing viability in

1928 conjunction could account for quality misinterpretations.

1929

1930 Pure Live Seed (PLS) and seed vigour were removed from the code since they are not well used,

1931 but could still be proxies for seed quality in the future. The final quality of all numerically

1932 measured attributes could vary depending on species specific traits; however taking into
1933 consideration more than one attribute (e.g. germination and viability) and giving some attributes
1934 more weight than others helped to minimize over and under estimates of quality.

1935 Seed quality attributes with only two weighted ranks are origin, provenance, germination,
1936 germination rate and pre-treatments. They are weighted either as high and medium, or high and
1937 low. Origin and provenance are high and low since local seed is preferred for restoration (Durka
1938 et al. 2016). Germination, germination rate and pre-treatments are ranked as high and medium
1939 only, since germination can depend on dormancy (Laverack et al. 2007; Marin et al. 2016) and
1940 may not be a complete quality proxy without a viability measure. Pre-treatments are not as
1941 common in native seeds yet, but seeds without them for the purpose of this study are considered
1942 medium quality since they act as an enhancer (Pedrini et al. 2017).

1943 Seed lots shown in the final label were hypothetical to protect the identity of the supplier and
1944 grey labels represented wild seed and green represented produced seed. To determine the final
1945 quality of the each seed lot, each label code (0-2) was summed and divided by the total number
1946 of attributes. Then the quality was assigned based on the final value low: 0.00 - 0.67, medium:
1947 0.68 - 1.33, and high (1.34 – 2.00).

1948

1949 *Wild Seed Accessions*

1950 The sample dataset to be tested was retrieved from the Millenium Seed Bank Kew Database
1951 which contains over 80,000 seed collections. The initial data selection was based on the
1952 following mandated criteria (1) wild biological status, (2) verified identification at the species
1953 level, (3) known dates of seed collection and donation (4) known country, (5) at least five

1954 accessions in total, (6) at least one accession from Europe, and (7) at least three germination tests
1955 during the -20°C storage regime. After the initial selection the dataset consisted of 1039
1956 accessions and 109 species.

1957 To narrow down the species list, trees, shrubs and vegetables were removed. Species were
1958 required to be produced by a minimum of three producers in no less than three European
1959 countries. This narrowed the list to 37 species, of which five species produced in the most
1960 European countries were chosen. Five species were selected from distinct families and the
1961 following species were: *Daucus carota* L., *Hypericum perforatum* L., *Lotus corniculatus* L.,
1962 *Papaver rhoeas* L., and *Silene vulgaris* (Moench) Garcke (**Table 2; Table S1**). Wild accessions
1963 with the lowest germination were selected for the labels. The moisture content used was from the
1964 seed bank dry rooms at 3-7% depending on the oil content of the seeds (Linington & Manger
1965 2014). Purity was measured using an x-ray and a cut test to determine empty, infested and moldy
1966 seed, and debris. Wild seed accessions were not limited to Europe, but had to have at least one
1967 European accession. Non-European accessions were used in some cases since wild accessions in
1968 general are scarce, and in addition seeds of varying performances were needed to properly test
1969 the code and quality assurance.

1970

1971 **Table 2.** Species selection criteria and final accession origin and total.

Family	Species	Accession Country (n)	Number of Accessions	EU Countries produced
Apiaceae	<i>Daucus carota</i>	Canada (1); France (1); Lebanon (1); Morocco (1); United Kingdom (18)	21	6
Caryophyllaceae	<i>Silene vulgaris</i>	Bulgaria (1); Canada (1); Croatia (2); Germany (3); Greece (1); Morocco (1); Turkey (1); Slovenia (1)	11	7
Fabaceae	<i>Lotus corniculatus</i>	Canada (1); Greece (2); Italy (4); Morocco (1); United Kingdom (5)	13	8
Hypericaceae	<i>Hypericum perforatum</i>	Canada (1); France (1); United Kingdom (5)	7	6
Papaveraceae	<i>Papaver rhoeas</i>	Italy (1) Jordan (1) United Kingdom (2)	4	8

1972

1973

1974 *Produced Seed Accessions*

1975 Multiplied seeds from two commonly sold species *Silene vulgaris* and *Papaver rhoeas* were
1976 obtained from three European producers in Italy, Spain and the United Kingdom (**Table 3**).
1977 Purchased seeds were stored in a dry room at 16°C and 14% RH for approximately six months
1978 before germination tests. Seeds were sown in Petri dishes on 1% agar-water substrate for three
1979 months. The optimal germination (100%) temperature for both species was 21°C (12/12 h light /
1980 dark per day) or 16°C (12/12 h light / dark per day) from the *Seed Information Database* (RGBK
1981 2008). However since both species can exhibit physiological dormancy (**Table S1**), warmer and
1982 cooler temperatures were tested in addition. Six temperature treatments were applied (15/5°C,
1983 15°C, 20/10°C, 20°C, 25/15°C, 30°C) under 12/12 light / dark using six replicates and 25 seeds
1984 for each species. Seeds were scored twice a week for the first month and once a week during the
1985 second and third month. Scoring began two days after seeds were placed on the agar.
1986 Germination was defined as radicle emergence. After 89 days, a cut-test was used to determine
1987 infested, moldy or empty seed under a dissecting microscope. Seeds were also weighed and each
1988 producer was asked how many generations the seeds had been multiplied, the harvesting method
1989 and year of harvest (**Table 3**). Produced seed lots with the lowest germination were selected for
1990 the labels. Three replicates of 50 seeds each was weighed and extrapolated to determine the
1991 average thousand seed weight.

1992

1993 **Table 3.** Average thousand seed weight, number of generations multiplied, harvest type and year
 1994 of harvest from each producer for *Papaver rhoeas* and *Silene vulgaris*.

Species	Producer	Seed weight (g)	Generation	Harvest type	Year of harvest
<i>Papaver rhoeas</i>	A	0.090	F13	Combine	2013
	B	0.132	F1	Hand	2014
	C	0.076	F0	Hand	2015
<i>Silene vulgaris</i>	A	1.286	F11	Hand	2014
	B	0.584	F1	Hand	2014
	C	0.682	F1	Hand	2015

1995

1996 *Data Analysis*

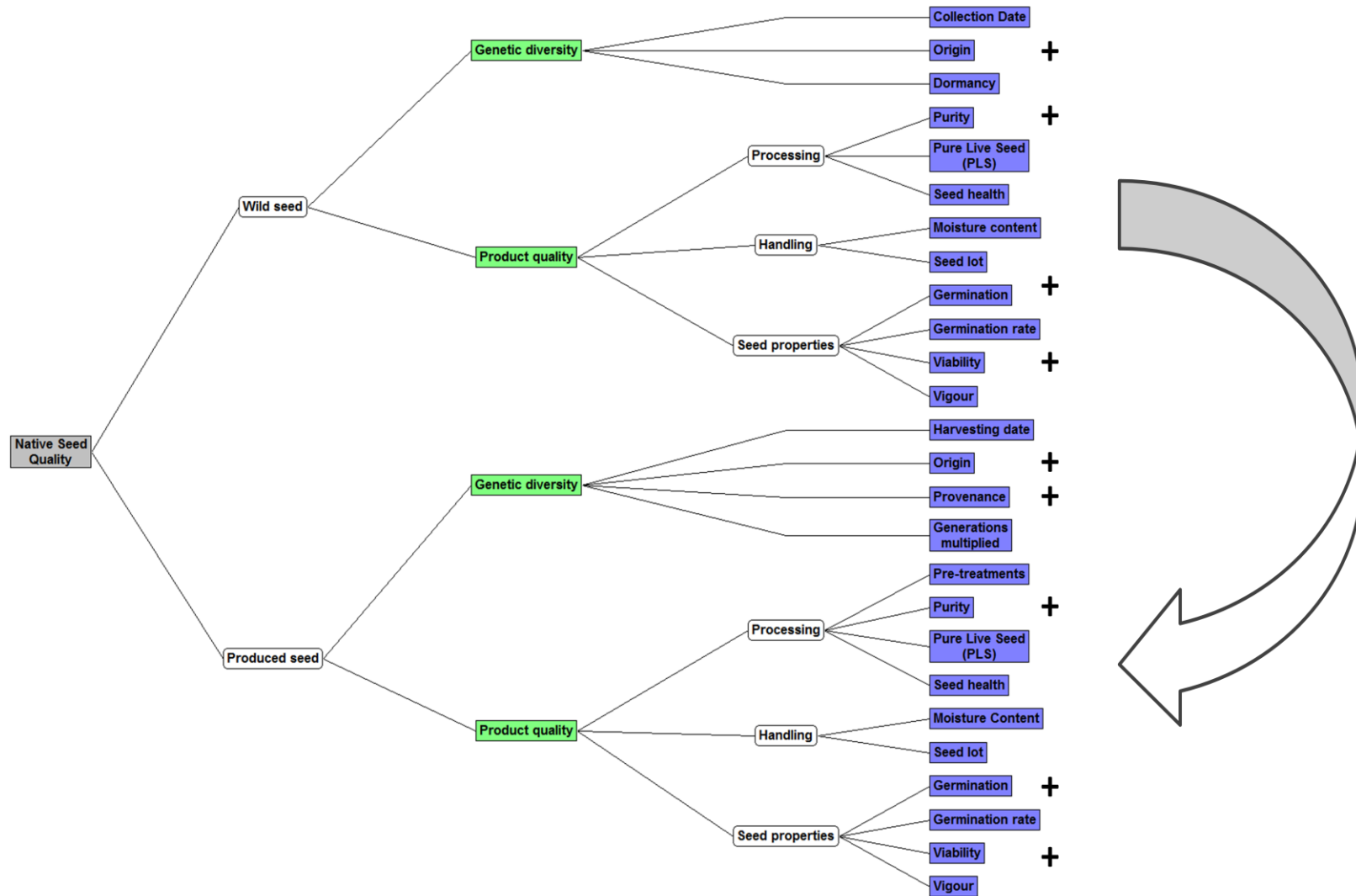
1997 The germination estimate, standard error, p-values and germination rate were analyzed in R
 1998 Statistical Computing Language and Platform version 3.3.3 (R Core Development Team 2016).
 1999 The final germination included viable seeds only and was calculated using a generalized linear
 2000 model with a binomial distribution using the packages *effects*, *MASS*, *plyr* and the germination
 2001 proportion was plotted using *ggplot2*.

2002

2003 **Results**

2004 The native seed quality DEXi multi-attribute decision tree consisted of 12 input attributes for
 2005 wild seed and 14 input attributes for produced seed. However, due to the nascent native seed
 2006 market, seed quality attributes associated with “+” symbols corresponded to the results from
 2007 Abbandonato et al. (unpublished) on seed quality preferences decided by the users and
 2008 stakeholders (**Fig. 1**). This was then applied to the final SPREC code under “User Code” and

2009 “User Quality”. Due to DEXi’s design, no more than four final attributes were selected per
2010 aggregated attribute to run the quality assessment model most effectively.



2011
 2012 **Figure 1.** A DEXi multi-attribute decision tree for quality of wild and produced native seed. The “+” symbols indicate seed quality
 2013 input attributes selected by more than 60% of seed users and producers as important from Abbandonato et al. (unpublished).

2014 Each SPREC label noted the family, species name, and collection date/harvesting date,
 2015 origin/provenance, seed lot, and then in the code: dormancy/generations multiplied, germination,
 2016 germination rate, pre-treatment, purity, seed health, moisture content and viability. All wild
 2017 accessions had a high quality under both the user and full weighted ranks; however, germination
 2018 varied between high and medium (**Fig. 2**). *Hypericum*, *Papaver* and *Silene* had high germination
 2019 (67-100%), whereas *Lotus* and *Daucus* had medium germination (33-66%) and (0-32%)
 2020 respectively. Purity and viability varied between accessions, but all accessions met the high
 2021 quality rank. The remaining attribute data was the same for each accession, except for the date
 2022 and country.
 2023

Family: Apiaceae Species: <i>Daucus carota</i> 05/07/1995 Taza, Morocco Seed lot: 12345 Full Code: D2G0GR0PT1P2S0MC2V2 User Code: G0P2V2 Full Quality: High User Quality: High	Family: Hypericaceae Species: <i>Hypericum perforatum</i> 11/08/1985 Gard, France Seed lot: 12345 Full Code: D2G2GR0PT1P2S0MC2V2 User Code: G2P2V2 Full Quality: High User Quality: High	Family: Fabaceae Species: <i>Lotus corniculatus</i> 05/07/1979 Wales, United Kingdom Seed lot: 12345 Full Code: D2G1GR0PT1P2S0MC2V2 User Code: G1P2V2 Full Quality: High User Quality: High
11/08/1985 Gard, France Seed lot: 12345 User Code: G2P2V2		

2024
 2025
 2026 **Figure 2.** SPREC native seed label for wild (grey label) seed of *Daucus carota*, *Hypericum*
 2027 *perforatum*, *Lotus corniculatus* using the DEXi multi-attribute model to assess quality. Two
 2028 quality results were given. “Full quality” uses the full model, whereas “User Quality” only
 2029 accounts for the selected attributes found in (Abbandonato et al. unpublished). The small label is
 2030 a compacted version of “User Quality” only.

2031 For *Papaver rhoeas*, the wild accession also exhibited a high quality rank, whereas the produced
 2032 seed lots varied between low and medium (**Fig. 3**). The low seed lot was a result of little
 2033 information included on the packaging on the date of harvest, origin, provenance, and seed lot.
 2034 The average thousand seed weight and the germination was the lowest from country C and the
 2035 seeds sold were from the F0 generation (**Table 3**). Similarly, the other seed lots also had missing
 2036 provenance data and germination and viability varied (**Table S2**).

Family: Papaveraceae Species: <i>Papaver rhoeas</i> 26/09/1977 England, United Kingdom Seed lot: 12345 Full Code: D2G2GR0PT1P2S0MC2V2 User Code: G2P2V2 Full Quality: High User Quality: High	Family: Papaveraceae Species: <i>Papaver rhoeas</i> 13/03/2013 Origin: Town, Country A Provenance N/A Seed lot: 12345 Full Code: M0G1GR0PT1P2S0MC0V1 User Code: G1P2V1 Full Quality: Medium User Quality: Medium
Family: Papaveraceae Species: <i>Papaver rhoeas</i> 10/2014 Origin: Country B Provenance N/A Seed lot: N/A Full Code: M2G0GR0PT1P2S0MC0V2 User Code: GOP2V2 Full Quality: Low User Quality: Medium	Family: Papaveraceae Species: <i>Papaver rhoeas</i> Date: N/A Origin: Country C Provenance N/A Seed lot: 12345 Full Code: M2G0GR0PT1P2S0MC0V2 User Code: GOP2V2 Full Quality: Medium User Quality: Medium

2037
 2038 **Figure 3.** SPREC native seed label for wild (grey label) seed and produced (green label) seed of
 2039 *Papaver rhoeas* using the DEXi multi-attribute model to assess quality. Two quality results were

2040 given. “Full quality” uses the full model, whereas “User Quality” only accounts for the selected
2041 attributes found in (Abbandonato et al. unpublished).

2042

2043 For *Silene vulgaris*, the wild accession also exhibited a high quality rank, whereas the produced
2044 seed lots varied between medium and high (**Fig. 4**). The product information given by each
2045 producer for *Silene* was the same as *Papaver*, the only main difference was the germination
2046 (**Table S3**) and viability was medium to high, and high respectively. The average thousand seed
2047 weight and the germination was the highest from country A and the seeds sold were from the
2048 F11 generation; however germination was higher from seeds from country C (**Table 3**).

<p>Family: Caryophyllaceae Species: <i>Silene vulgaris</i></p> <p>18/07/1970 Zagreb, Croatia Seed lot: 12345</p> <p><i>Full Code:</i> D2G2GR0PT1P2S0MC2V2 User Code: G2P2V2</p> <p>Full Quality: High User Quality: High</p>	<p>Family: Caryophyllaceae Species: <i>Silene vulgaris</i></p> <p>11/06/2014 Origin: Town, Country A Provenance N/A Seed lot: 12345</p> <p><i>Full Code:</i> M0G1GR0PT1P2S0MC0V2 User Code: G1P2V2</p> <p>Full Quality: Medium User Quality: High</p>
<p>Family: Caryophyllaceae Species: <i>Silene vulgaris</i></p> <p>10/2014 Origin: Country B Provenance N/A Seed lot: N/A</p> <p><i>Full Code:</i> M2G1GR0PT1P2S0MC0V2 User Code: G1P2V2</p> <p>Full Quality: Medium User Quality: Medium</p>	<p>Family: Caryophyllaceae Species: <i>Silene vulgaris</i></p> <p>Date: N/A Origin: Country C Provenance N/A Seed lot: 12345</p> <p><i>Full Code:</i> M0G2GR0PT1P2S0MC0V2 User Code: G2P2V2</p> <p>Full Quality: Medium User Quality: Medium</p>

2049

2050 **Figure 4.** SPREC native seed label for wild (grey label) seed and produced (green label) seed of
2051 *Silene vulgaris* using the DEXi multi-attribute model to assess quality. Two quality results were
2052 given. “Full quality” uses the full model, whereas “User Quality” only accounts for the selected
2053 attributes found in (Abbandonato et al. unpublished).

2054

2055 **Discussion**

2056 The User-based (U-Seed) SPREC label was designed using DEXi software which helps to
2057 provide both a simplistic and extended code that is transparent, straightforward and a can

2058 measure quality of both wild and produced seed lots. This combination of approaches is novel in
2059 the context of handling seed collections, whether for food security, long-term conservation of
2060 wild species or short-term storage of seed lots for use in restoration programmes. Uniquely, an
2061 attempt has been made to standardise a format for reporting how a seed lot has been handled
2062 during the workflow from collection to use; a general biospecimen practice that is currently
2063 being implemented more and more in medical biobanks (Lehmann et al., 2012). This label
2064 design partially follows the agricultural quality labelling system for eggs
2065 (ECE/TRADE/C/WP.7/2009/14) in that it provides compulsory quality information to consumers
2066 who are knowledgeable in quality labels without being too revealing to indifferent consumers.
2067 This is critical since many seed users are familiar with agricultural quality standards and may not
2068 want to purchase seeds with low germination, but those seeds may in fact be high quality due to
2069 their high viability and purity. Knowledge on seed biology, especially among seed
2070 consumers may not be well-known or understood, as many “land” professionals chose “none” for
2071 important seed quality measures in a recent survey (Abbandonato et al. unpublished). This
2072 labelling system provides transparency and the possibility of quality assurance to users such as
2073 researchers or restoration practitioners who may require it. It would require that all producers
2074 and sellers follow the same seed quality labelling scheme, providing equal competition between
2075 companies.

2076 The wild accessions easily satisfied both the aggregate attributes of genetic diversity and product
2077 quality. The wild seed were of very high quality as they were from seed bank curatorial
2078 accessions. The only missing data was on seed health, which was unavailable in all cases (wild
2079 and produced). The wild accessions and produced seed lots did not measure seed vigour of the
2080 collection and so it was left out of the final code.

2081 Seed health and phytosanitation standards are rare in native seeds. The Nagoya protocol is meant
2082 to regulate and control the movement of genetic resources across country borders (EU
2083 Commission 2014); however monitoring and implementation of this protocol is still under
2084 developed in many European member states.

2085 Much of the low to medium quality in produced seed resulted from the inability to meet the
2086 genetic diversity aggregated attributes at this time. Many of the input attributes such as harvest
2087 date, origin and provenance, and seed lot are normally recorded by producers and it should be
2088 relatively easy for these measures to be provided since they do not require any testing. Product
2089 quality attributes may be more costly for producers to test; however if producers start with the
2090 User code requiring only germination, viability and purity, it may be easier to implement.

2091 Future testing of the value of this labelling system may find that some of the final measures are
2092 redundant, such as germination with germination rate, purity and viability with pure live seed,
2093 and the number of multiplied generations with the presence of dormancy. Or these attributes may
2094 need to be weighted less or removed as more species and lots are tested. The assigned weights do
2095 not take into consideration the method used to determine the level of each attribute. This
2096 information could be standardized by an external seed analyst or be traced back using the seed
2097 lot number to contact the retailer. The origin and provenance label levels denoted could change
2098 to delineated seed zones, once widely implemented across Europe rather than using GPS
2099 coordinates or city and country.

2100

2101 In conclusion, this study aimed to provide a solution to the current top-down seed directives
2102 being applied to native seeds (66/401/EEC and 2010/60 EU) in Europe by designing a more

2103 appropriate quality control system that considers the needs of all its users and the ecological
2104 value of restoration.

2105

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2112

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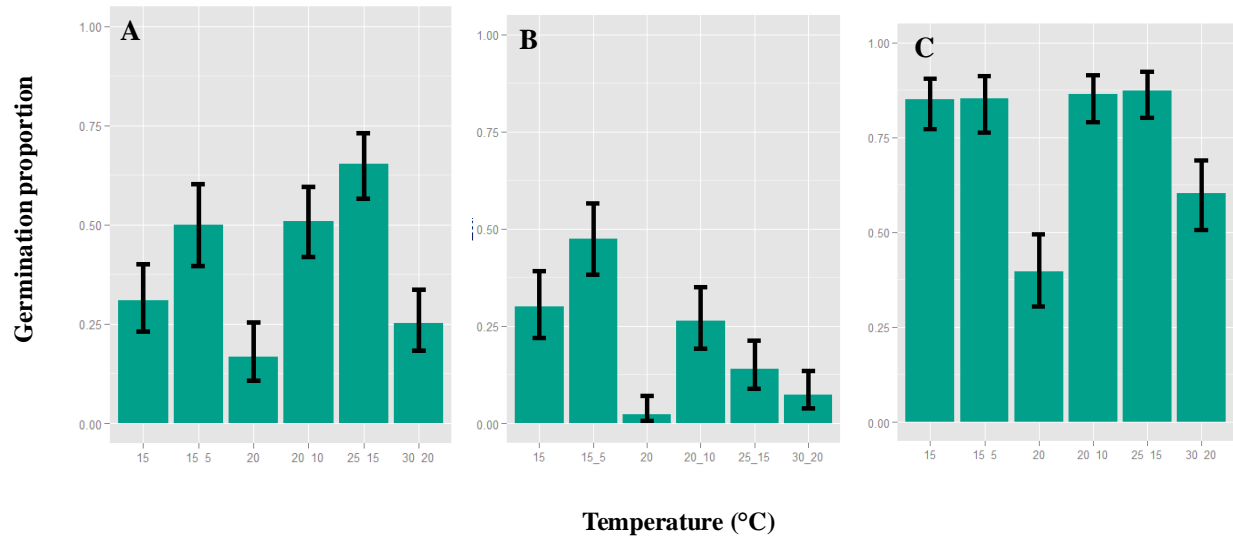
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2198

2199 **Figure S1.** Germination proportion estimate and confidence intervals of *Papaver rhoeas* tested
 2200 using six temperature treatments (15/5°C, 15°C, 20/10°C, 20°C, 25/15°C, 30°C) under 12/12 h
 2201 light / dark sourced from three native seed producers (A, B, C) using a generalized linear model.

2202

2203 **Table S1.** Selected species life cycle, life form and seed dormancy (Baskin & Baskin 2014;
 2204 Grimes et al. 2007; Runyeon & Prentice 1997)

Family	Species	Life cycle	Life form	Dormancy
Apiaceae	<i>Daucus carota</i> L.	Biennial or monocarpic perennial	Hemicryptophyte	Morphological
Caryophyllaceae	<i>Silene vulgaris</i> (Moench) Garcke	Perennial	Hemicryptophyte	Physiological
Fabaceae	<i>Lotus corniculatus</i> L.	Polycarpic Perennial	Hemicryptophyte	Physiological
Hypericaceae	<i>Hypericum perforatum</i> L.	Polycarpic Perennial	Hemicryptophyte	Physiological
Papaveraceae	<i>Papaver rhoeas</i> L.	Winter and summer annual	Therophyte	Morphophysiological

2205 **Table S2.** Germination proportion estimates, standard error, p-values and germination rate for
 2206 *Papaver rhoeas* from producer A.

Temperature treatment (°C)	Estimate	Standard error	p-value	Germination rate
Intercept	-0.8014	0.2035	8.19e-05	0.0078
15/5	0.8014	0.2947	0.0065	0.0153
20	-0.7962	0.3348	0.0174	0.0051
20/10	0.8342	0.2724	0.0021	0.0163
25/15	1.4346	0.2775	2.34e-07	0.0510
30/20	-0.2861	0.2932	0.3291	0.0037

2207

2208

2209 **Table S3.** Germination proportion estimates, standard error, p-values and germination rate for
 2210 *Papaver rhoeas* from producer B.

Temperature treatment (°C)	Estimate	Standard error	p-value	Germination rate
Intercept	-0.8014	0.2035	8.19e-05	0.0078
15/5	0.8014	0.2947	0.0065	0.0153
20	-0.7962	0.3348	0.0174	0.0051
20/10	0.8342	0.2724	0.0021	0.0163
25/15	1.4346	0.2775	2.34e-07	0.0509
30/20	-0.2861	0.2932	0.3292	0.0037

2211

2212

2213 **Table S4.** Germination proportion estimates, standard error, p-values and germination rate for
 2214 *Papaver rhoeas* from producer C.

Temperature treatment (°C)	Estimate	Standard error	p-value	Germination rate
Intercept	1.7311	0.2631	4.74E-11	-0.2084
15/5	0.0214	0.3994	0.9570	0.1992
20	-2.1531	0.3326	9.59E-11	0.0065
20/10	0.1212	0.3762	0.7470	-0.0611
25/15	0.2052	0.3815	5.91E-01	-0.0899
30/20	-1.3179	0.3285	6.00E-05	0.0465

2215

Paper 5:

De Vitis M, Abbandonato H, Dixon K, Laverack G, Bonomi C, Pedrini S (2017) The European native seed industry - characterization and perspectives in grassland restoration. Sustainability, DOI:10.3390/su9101682

2216 **The European native seed industry – characterization and perspectives in grassland**
2217 **restoration**

2218

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2221

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2226

2227 Keywords: ecological restoration; native seed community; seed market; seed production; seed
2228 zones; stakeholder; survey.

2229

2230 **ABSTRACT**

2231 The European Union committed to restore 15% of degraded ecosystems by 2020, and to comply

2232 with this goal, native plant material, such as seeds, is needed in large quantities. The native seed

2233 production of herbaceous species plays a critical role in supplying seed for restoration of a key

2234 ecosystem: grasslands. The objective of this work is to provide for the first time a

2235 characterization of the sector at a multi-country European level together with key information

2236 about the community of native seed users via intensive web-based research and a direct survey of

2237 industry participants. Based on more than 1 300 contacts and direct surveying of more than 200

2238 stakeholders across Europe, responses indicated that: the European native seed industry consists

2239 primarily of small to medium enterprises; responding native seed users purchase annually an
2240 average of 3 600 kilograms of seeds with an average expenditure of € 17 600; the industry
2241 (suppliers and consumers) favors development of seed zones and would participate in a
2242 European network for knowledge sharing. This study provides framework principles that can
2243 guide decisions in this sector, critical for fulfilling the growing demand for native seed as a
2244 primary tool for large-scale restoration on the continent.

2245

2246 **INTRODUCTION**

2247 Native seed production is a nascent but emerging specialist area that, despite the important role it
2248 plays in supplying the material needed for restoring degraded ecosystems (Merritt and Dixon
2249 2011), is often uncoordinated regionally and nationally. The European Union 2020 Biodiversity
2250 Strategy target to restore at least 15% of degraded ecosystems by 2020, highlights the
2251 significance of the native seed sector as well as the need to improve the large-scale production
2252 and availability of quality native seeds. For large programs, such as these, a shortage of native
2253 plant material has been recognized as a critical limitation to carry out ecological restoration at
2254 the scale needed (Merritt and Dixon 2011, Tischew et al. 2011).

2255 Within Europe, trade and use of herbaceous seeds are less regulated when compared to forest
2256 reproductive material (Vander Mijnsbrugge et al. 2010). More attention should be given to
2257 grasslands conservation, as they are counted among both the most species-rich vegetation types
2258 in Europe (EEA 2010; Wilson et al. 2012) and among the most extensively degraded and least
2259 protected habitats at both European (EEA 2010) and global scale, making them identifiable as a
2260 biome at risk (Hoekstra et al. 2005).

2261 Re-seeding degraded grasslands is now a widely-used restoration method in conservation
2262 practice (Török et al. 2011), especially for areas where spontaneous regeneration is slow, the risk
2263 of erosion is high (Jørgensen et al. 2016) and potential propagule sources are too distant to be
2264 effective in “recolonizing” an area (Török et al. 2011).

2265 Native seeds are most often harvested directly from wild or semi-managed populations by public,
2266 private or non-profit enterprises who may also use this seed for growing-on, with or without
2267 selecting specific traits and creating cultivars (Chivers et al. 2016). In certain circumstances, the
2268 multiplication of native seeds for ecological restoration in a farm setting becomes necessary
2269 when harvesting large volumes of seeds directly from natural habitats would damage the
2270 reproductive capabilities of the local populations (Laverack et al. 2006, Broadhurst et al. 2008,
2271 Meissen et al. 2015), or donor communities of sufficient size have disappeared due to human
2272 impact (Kiehl et al. 2010). Moreover, sourcing local seeds and maintaining the genetic variability
2273 of the native populations is key to ecosystem conservation through improvement in long-term
2274 restoration trajectories (Manchester et al. 1999, Broadhurst et al. 2008, Vander Mijnsbrugge et
2275 al. 2010, Török et al. 2011). Based on the newly released International Standards for the Practice
2276 of Ecological Restoration (McDonald et al. 2016) and other published works (Kiehl et al. 2010,
2277 Tischew et al. 2011), restoration practitioners should avoid using seed mixtures that include non-
2278 native species, seed of unknown origin or seed sourced from genetically uniform populations.

2279 Thus reliable, local seed sources are paramount in an effective approach to regional restoration
2280 outcomes.

2281 To implement the use of local seed origins, the geographic delineation of seed zones, within
2282 which seeds are to be collected, propagated and sown, may be critical (Nevill et al. 2016, Durka
2283 et al. 2017). In Europe, the first attempts to delineate national seed zones for herbaceous plants

2284 have been made only recently (Durka et al. 2017). The definition of transnational seed zones
2285 may be crucial (Tischew et al. 2011) to ensure ecological adaptation of plant species instead of
2286 the current fixation on administrative borders that often bear little relevance in an ecological or
2287 biological sense. This is highlighted by the United Kingdom's million pound, 10 year plus
2288 reintroduction program based on propagation from seed of the sole surviving lady's slipper
2289 orchid (*Cypripedium calceolus*) despite genetically similar, highly fecund plants occurring in
2290 large numbers just across the English Channel (Dixon et al. 2003). The advancement of seed
2291 technology such as seed priming (Paparella et al. 2015) and seed coating (Pedrini et al. 2017),
2292 the occurrence of species-specific seed zones, the creation of new market niches for seed
2293 growers, the collaboration among researchers, seed regulatory agencies, private seed industry
2294 and public and private end users, have been recognized as political and economic challenges
2295 hindering the development of local to regional native seed programs (Tischew et al. 2011).
2296 In Europe, native plant material production seems to be limited by the high production costs and
2297 the lack of propagation/production experience (Tischew et al. 2011). In particular, the production
2298 of site-specific seed mixtures requiring pure-bred lines is significantly more expensive and
2299 riskier than for conventional seed production (Krautzer et al. 2010). On the other hand, many
2300 problems in seed production, storage and use have been overcome by practice and experience,
2301 but many shortfalls in knowledge remain, which require further scientific research (Laverack et
2302 al. 2006, Merritt and Dixon 2011).

2303 In response to the knowledge gaps, several initiatives at national and international levels have
2304 initiated the process of connecting native seed stakeholders, facilitating interaction and exchange
2305 in the knowledge-production-use continuum, which is the key for improving the success of broad
2306 scale seed-based ecological restoration but frequently remains difficult (Görg et al. 2016).

2307 Among them, the Kew UK Native Seed Hub; the Native Seed Network
2308 (www.nativeseednetwork.org/) in USA; the Native Seed Science, Technology and Conservation
2309 Initial Training Network (NASSTEC; www.nasstec.eu) in Europe and the ISTA/AOSA/Kew
2310 Wild Seed Working Group and the International Network for Seed-based Restoration (INSR;
2311 www.ser-insr.org) globally.

2312 To our knowledge, no information about the economic value of the production sector for native
2313 and indeed herbaceous seeds at the European level is available. We chose the EU as the existing
2314 funding framework through NASSTEC provides the platform and resources necessary to
2315 perform the requisite and extensive multi-national survey of native seed supply, demand and
2316 standards. Such data would be useful for many people in the field of production, ecological
2317 restoration, policy, as well as for potential investors and the general public, to understand the
2318 economic value of the native seed industry. Understanding the dynamics of native seed demand
2319 would be of particular interest in developing focused production and investment strategies for the
2320 regions.

2321 Furthermore, a general characterization of this sector, such as the degree of development (i.e.
2322 number of native seed companies) and the structure (e.g. existence of associations of native seed
2323 producers), together with perspectives of the native seed users, would benefit practitioners and
2324 policy makers (Wheaton et al. 2006). It has already been stressed that governments are in need of
2325 practical and efficient tools for ecosystem management and preservation (Jørgensen et al. 2016).
2326 The aim of this study is therefore to provide a snapshot of the state of the native seed community
2327 of users in Europe, with a focus on the production of herbaceous plant seeds. Here we will
2328 characterize the native seed production sector in Europe; detail the outcomes of the direct survey

2329 method for the European native seed community; and, review EU funded projects covering
2330 grassland restoration as an indication of the scale required in planning for native seed utilization.
2331 The goal of this study is to raise awareness of the challenges, needs, opinions and impacts of this
2332 community of stakeholders, as well as highlighting the potential beneficial impact for the plant
2333 material industry, local communities and, ultimately, for improving environmental outcomes.

2334

2335 **METHODS**

2336 **Identification of European native seed stakeholders and characterization of the native seed** 2337 **industry**

2338 Native seed stakeholders were assessed through a thorough web-based search using the
2339 keywords “native” and “seed” alone and combined and translated into 15 languages (Bulgarian,
2340 Croatian, Czech, Danish, Dutch, Finnish, French, German, Greek, Hungarian, Italian,
2341 Portuguese, Romanian, Slovenian, Spanish) supplemented by direct inquiries to experts in the
2342 fields of native seed science and grassland restoration in the European academic community. The
2343 contacts found were included in the native seed stakeholder list. For native seed producers, we
2344 selected the enterprises (NGOs, private or public) producing and selling seeds of native grassland
2345 plants as single species or as mixtures. The number of people working on native seed production
2346 in each enterprise was obtained through available information on the web or through direct
2347 inquiry, and was used as an indicator of the native seed production sector size. Available
2348 information on seed zones, native seed certification systems and associations of native seed
2349 producers were also obtained through the web supplemented by direct inquiries of European
2350 native seed producers, researchers and restoration practitioners.

2351

2352 **A survey of the native seed community**

2353 A web-based survey was developed using SurveyMonkey (<http://www.surveymonkey.com>) to
2354 obtain data about European native seed stakeholders. The survey was originally prepared in
2355 English and then translated into four other languages (Spanish, French, German and Italian). A
2356 link to the survey was circulated to the contacts of the native seed stakeholder list twice (August
2357 and October 2016).

2358 Nineteen questions were formulated and organized into sections: participant information (1-5),
2359 native seed market (6-10), seed zones (11, 12), native seed standards (13, 14) and collaboration,
2360 networking and outreach (15-19; **Table 1**). All questions were optional. Question 6 provided
2361 ranges in both Euros and British pounds. Similarly, question 7 provided answers as ranges in
2362 different units (i.e. kilos, ounces, pounds). For both questions 6 and 7, the answers were
2363 converted to Euros and kilos, respectively; then the mid-range $[(\max x + \min x)/2]$ value was
2364 calculated for each range and the overall mean value was calculated by the following equation:
2365 $\langle \text{eqn1} \rangle$ where x is the range provided in the answer, $frequency$ is the number of responses for the
2366 x range, and n is the total number of responses received.

2367

2368 **Table 1.** Questions formulated for the native seed community survey and total response (n) for
2369 each question.

Questions	n
1. Which sector are you working in?	215
2. Which of the following best describes your current profession?	215
3. In which country is your profession or your main affiliation based?	216
4. Which species do you work with?	188
5. Do you use native seeds for your work?	174
6. On average, approximately how much do you spend on purchasing native seeds each year?	77
7. Which amount of native seeds do you buy or sell per year?	83
8. Which action related to native seeds or restoration is the most expensive for you?	119

9.	Which category do your major customers belong to?	81
10.	Is the demand for native plant material over the last 10 years increasing, stable or decreasing?	136
11.	Are you in favor of the development of seed zones?	123
12.	If a standard method is used, should seed zones cross country boundaries?	122
13.	Do you use external protocols/guidelines for any of the following activities such as seed collection, cleaning, storage and treatment?	99
14.	If yes, do you modify these protocols/guidelines to fit native seed requirements?	48
15.	Do you have an active dialogue/collaboration with any academic/research institutes?	112
16.	If No, would you like to have the scientific support of an academic/research institute?	22
17.	If a trade association of native seed producers existed both at the European and national level, which one would you join?	114
18.	Would you like to join a European online network to find other people who use native seeds to share material, knowledge and resources?	113
19.	Are you involved in outreach activities aimed to promote the use of native plant material?	115

2370

2371

2372 Questions 15 and 16 considered collaboration with, and support from, the scientific community,
 2373 because researchers share a common language (scientific English), have contacts with many
 2374 different stakeholders, and have access to international literature, so they may represent a bridge
 2375 between different stakeholder categories and facilitate knowledge transfer.

2376 The answer frequencies were calculated using Microsoft Excel (2010). Given the possibility that
 2377 different categories may have different perspectives, in some cases, answer frequencies were
 2378 calculated by category.

2379

2380 **EU funding for grassland restoration**

2381 The EU's funding frameworks covering environmental protection and restoration are the ERDF
 2382 (European Regional Development Fund), the EAFRD (European Agricultural Fund for Rural
 2383 Development) and the LIFE program, EU's main funding instrument for environment and
 2384 climate action. For the purpose of this study, only the LIFE program was considered because,
 2385 through the LIFE Project Database of the Environment Department of the European Commission
 2386 website (<http://ec.europa.eu/environment/life/project/Projects/index.cfm>), it is possible to obtain

2387 details on specific projects. In particular, the LIFE Project Database was queried for projects
2388 financed between 2004 and 2014 containing the keywords “grassland ecosystem” and
2389 “restoration measure”. The list of projects was filtered, selecting those in which active grassland
2390 restoration was among the objectives. For these projects, funding year, lead partner country,
2391 duration, total budget, European contribution, and ha of habitat restored/to be restored were
2392 recorded. Finally, the total LIFE budgets funded during the 2007-2013 and 2014-2020 periods,
2393 were compared.

2394

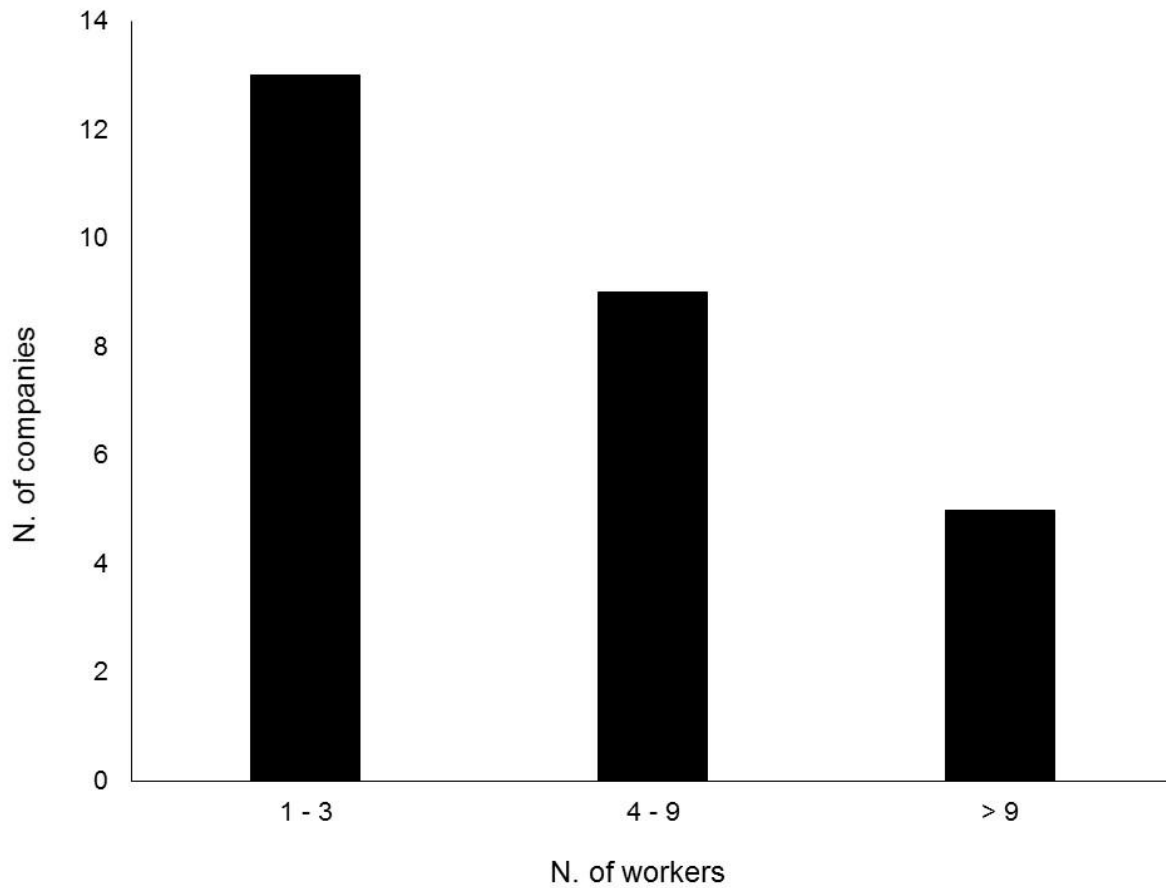
2395 **RESULTS**

2396 **Identification of European native seed stakeholders and characterization of the native seed** 2397 **industry in Europe**

2398 A total of 1 342 contacts from 31 European countries were assessed. Of these, 888 related to
2399 agencies, associations, botanical gardens, charities, cooperatives, federations, foundations,
2400 governmental and local bodies, landscapers, native seed producers, networks, NGOs, nurseries,
2401 parks, research institutes and restoration practitioners; the remaining 454 comprised personal
2402 contacts in academia, consultancy, government, NGOs and private companies.

2403 A total of 100 native seed producers from 21 countries were found (**Table A1.1**) with prevalence
2404 of private companies. The highest numbers of native seed producers (6-12) occurred in Central
2405 Europe (Austria, Germany and Switzerland), France, Spain and United Kingdom. In most of the
2406 other countries the number of companies was between one and three. Across 27 native seed
2407 enterprises from 15 countries, the total number of people working in native seed production was
2408 166 with an average of 6.1 ± 8.3 (mean \pm standard deviation) persons, with the majority
2409 employing 1-3 workers (**Fig. 1**). Through correspondence with these companies, we also found

2410 that in a quarter of cases (25%) seed collection and multiplication was carried out by contracted
2411 seasonal staff or farmers.

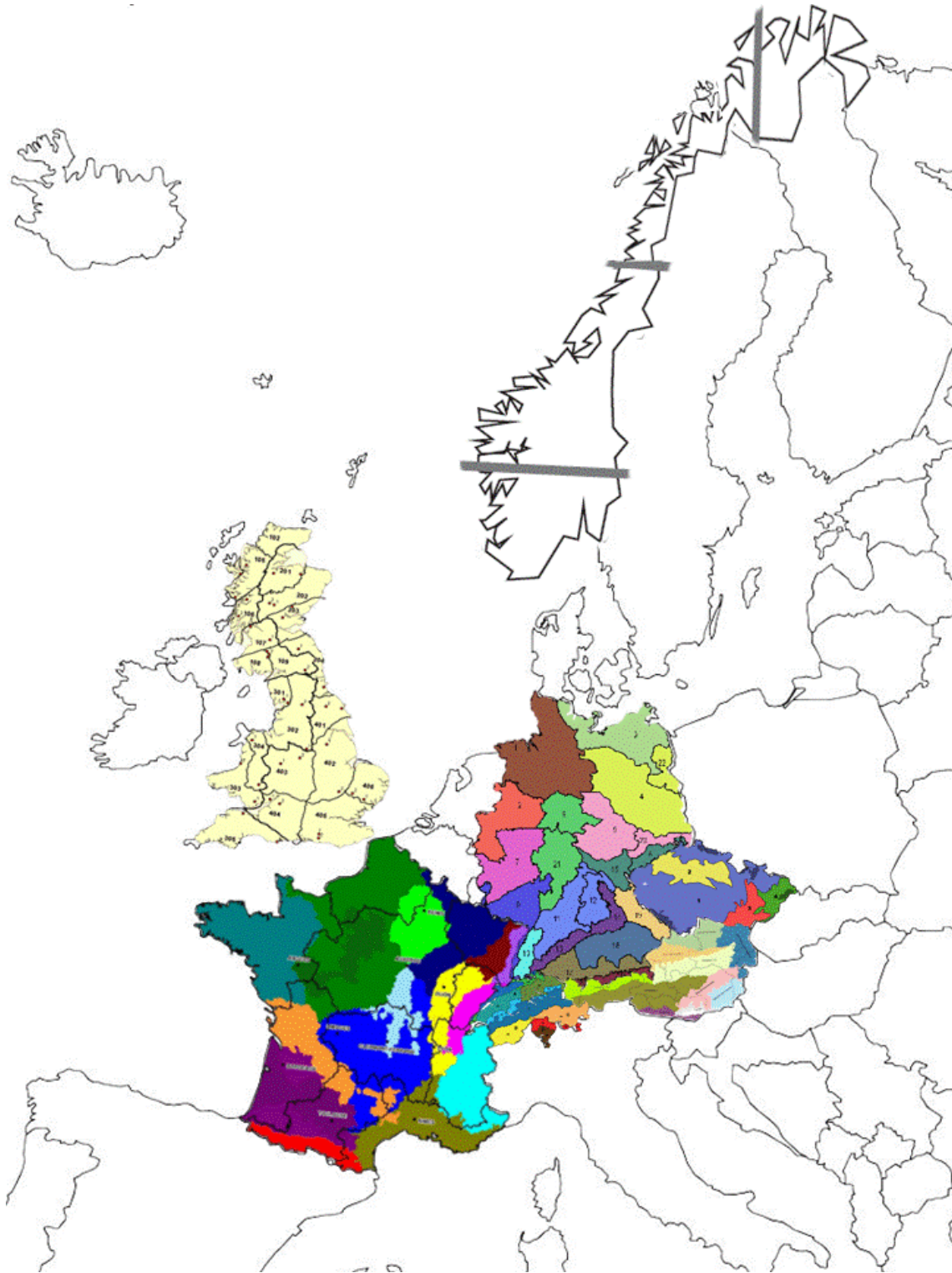


2412
2413

2414 **Fig. 1.** Class frequency for the number of workers in native seed production across 27
2415 herbaceous seed producers in 15 European countries.

2416

2417 Associations of native seed producers and native seed certification systems were found in three
2418 countries (Austria, Germany and France) while seed zones were identified in seven countries
2419 (**Fig. 2; Table A1.1**).



2420

2421 **Fig. 2.** National seed zones currently available in Europe. Sources : Austria – REWISA, V

2422 (2010); Czech Republic - Ševčíková et al. (2014); France – Fédération des Conservatoires

2423 botaniques nationaux (<http://www.fcbn.fr/vegetal-local-vraies-messicoles>); Germany – Prasse et
2424 al. (2010); Great Britain – Forestry Commission (2016); Norway - Jørgensen et al. (2016);
2425 Switzerland - SKEW (2009).

2426

2427 **A survey of the native seed community**

2428 **Table 1** outlines the 19 questions formulated within the survey and for each one, the number of
2429 responses.

2430 *Participant information*

2431 Two-hundred and sixteen responses were received from 20 countries, of which the majority
2432 (77%) came from five countries (United Kingdom, France, Germany, Italy and Spain, in
2433 decreasing order). For the purpose of this study, responses to questions 4 and 5 were filtered to
2434 exclude forestry seed users, narrowing the selection to users of native seeds of herbaceous plants
2435 (148 responses from 16 countries). These respondents were mostly (49.6%) from the public
2436 sector, with 35.4% from the private sector, and 15.0% from NGOs; and belonged to 16 different
2437 professional fields: academia (33.6%), native seed production (16.4%), restoration practice
2438 (15.1%), seed analysis and conservation (6.2%), consultancy (6.2%), with other less represented
2439 fields such as nursery, administration, policy, gardening and landscape contracting.

2440

2441 *Native seed market*

2442 For questions 6 and 7 the response rates are reported in **Table 2**. From these data, it was possible
2443 to estimate that a single user responding to the survey (individual or entity) purchases on average
2444 3 616 kilograms of native seeds and expends 17 599 Euros annually (**Table 2**), for a total of 1
2445 355 139 Euros and 300 115 kilograms of native seeds purchased annually across 77-83 users

2446 (Note: because the two questions are unrelated it was not possible to derive the median price per
 2447 kg of seed).

2448

2449 **Table 2.** Range, mid-range, frequency, total and mean values regarding native seed users'
 2450 expenditure (€) and quantity traded (kg) per year.

2451

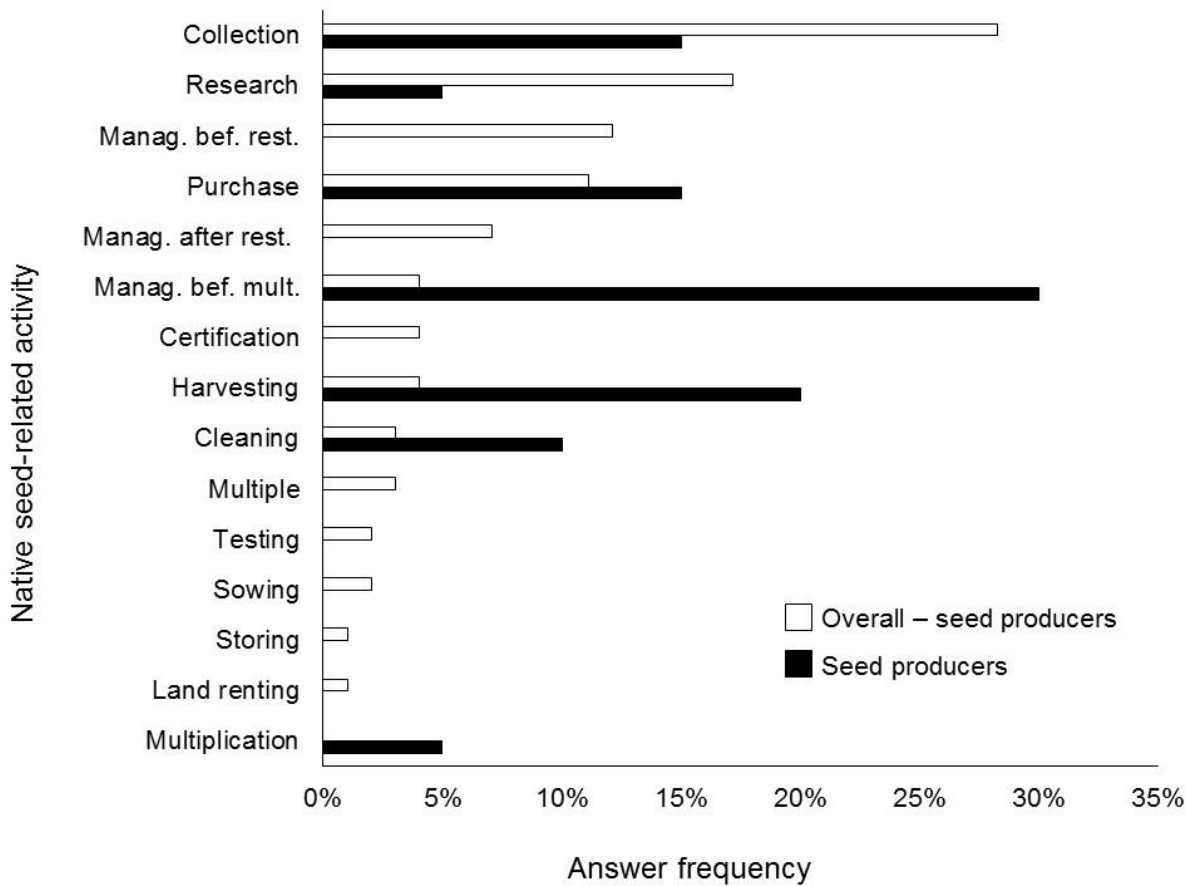
Range	Mid-range	Frequency	
Expenditure (€)			
1-100	50.5	26	
101 – 5,000	2 550.5	26	
5,001 – 10,000	7 500.5	7	
10,001 – 100,000	55 000.5	17	
100,001 – 500,000	300 000.5	1	
> 500,000	500 000 [†]	0	
Total		77	
Mean			17 599.2
Traded quantity (kg)			
0.01-0.1	0.055	8	
0.2-1	0.6	11	
2-10	6	21	
11-100	55.5	12	
101-500	300.5	6	
501-1,000	750.5	8	
1,001-10,000	5 500.5	13	
10,001-100,000	55 000.5	4	
> 100,000	100 000 [†]	0	
Total		83	
Mean			3 615.8

2452 [†]For these classes, the minimum value was taken as mid-range.

2453

2454 The most expensive activity for 30.0% of the native seed producers (n = 20), was the
 2455 management of production fields before crop multiplication, followed by seed harvesting from
 2456 the managed crops (20.0%), seed collection from the population of origin and seed purchasing
 2457 (both 15.0%; **Fig. 3**). The top four responses for the remainder of respondents, excluding seed
 2458 producers (n = 99) were: seed collection from the population of origin (28.3%), research

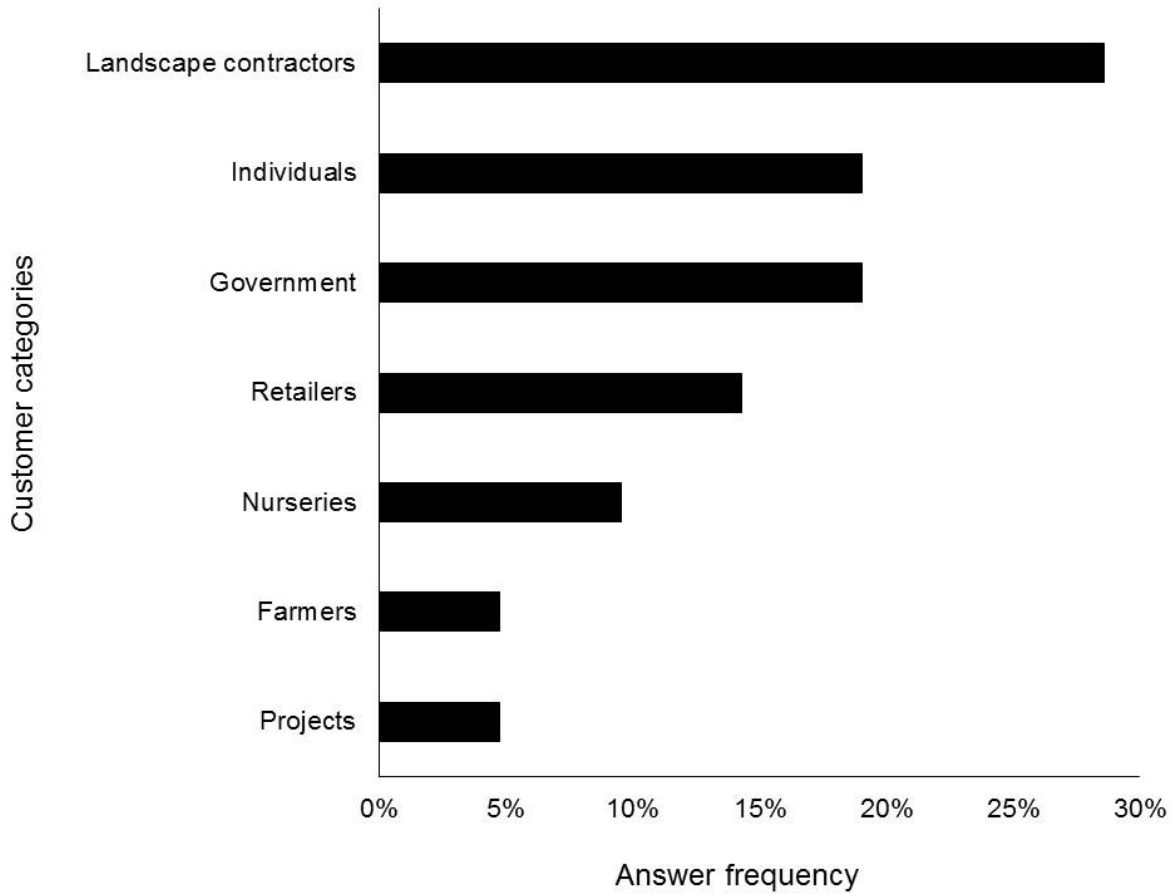
2459 (17.2%), site management before restoration (12.1%), and seed purchasing (11.1%). When users
 2460 were questioned about their major customers, 81 responses were received, but for the purpose of
 2461 this work, only those from native seed suppliers (n = 21) were considered, with the top three
 2462 customer categories being: land contractors (29.0%), individuals and governmental bodies
 2463 (19.0% each; **Fig. 4**), followed by retailers and nurseries.
 2464



2465
 2466 **Fig. 3.** Perceived costliness of seed-related activities. Answer frequency of respondents to
 2467 question 8 “Which action related to native seeds or restoration is the most expensive for you?”.
 2468 In figure, both the answers by native seed producers (n = 20) and the remainder of the
 2469 community (overall minus seed producers; n = 99) are shown. Some of the choices reported in
 2470 the graph are abbreviations of the options available in the survey: collecting seeds from the

2471 native population; site management before restoration; site management after restoration; field
2472 management before crop multiplication (e.g. ploughing, weeding, application of fertilizers);
2473 harvesting seed from crops; land renting/contract growing for crop multiplication.

2474



2475

2476 **Fig. 4.** Answer frequencies to question 9 “Which category do your major customers belong to?”

2477 by native seed producers (n = 21).

2478

2479 The answers to question 10 (n = 136), relate to the state of the native plant material demand in

2480 the last decade, and were sorted based on native plant material suppliers (seed producers +

2481 nurseries; n = 27) and the remainder of the native seed community (n = 109). The majority of the

2482 native seed community (75.2%) perceived an increase in demand, 12.8% felt it was stable,
2483 10.2% stated a level of uncertainty, while 1.8% reported a decline. A similar trend was detected
2484 in the responses provided by the native plant material suppliers (increase: 74.1%; stable: 11.1%;
2485 uncertain: 11.1%; and decline: 3.7%).

2486

2487 *Seed zones*

2488 Most of the overall native seed community (73.2%; n = 123), as most of the researchers (81.4%;
2489 n = 43) and producers (64.7%; n = 17), expressed support towards the development of seed
2490 zones, while minority of groups were not in favor or unsure. Again, the majority of the
2491 respondents (62.3%; n = 122) was in favor of trans-national boundaries for seed zones, both from
2492 the research (68.2%; n = 44) and production (70.6%; n = 17) sectors.

2493

2494 *Native seed standards*

2495 The respondents were divided when questioned about the adoption of “external” quality and
2496 handling guidelines (Yes: 54.5%; No: 45.5%). The participants who responded positively were
2497 asked to provide the name of these guidelines/protocols and if they would amend them to match
2498 native seed requirements. The listed guidelines included: ENSCONET (European Native Seed
2499 Conservation Network) listed nine times by users from seven different countries; ISTA
2500 (International Seed Testing Association) by eight users from four different countries; APAT
2501 (Agency for Environmental Protection and Technical Services) four times by Italian and Spanish
2502 users; VWW (Association of German Wild Seed and Wild Plant Producers) by four German
2503 users; Flora Locale (<https://www.floralocale.org/HomePage>) three times by users from the
2504 United Kingdom and Republic of Ireland; with FAO, Royal Botanic Gardens of Kew, Forestry

2505 Commission, and GZert guidelines only referred to by one or two users. The majority (64.6%) of
2506 the respondents did adapt those guidelines to be relevant to their native seeds. Among the native
2507 seed producers (n = 20), 70.0% were positive about the use of external protocols, and less than
2508 half (41.7%) of these respondents said they did modify the protocols to match native seed
2509 requirements, though it is unclear as to why.

2510

2511 *Collaboration and outreach*

2512 The majority of the overall native seed community (76.8%; n = 82, without the category
2513 “researchers”) reported an active collaboration or dialogue with a research institute (question 15)
2514 with similar values (80.0%) conveyed by native seed producers (n = 20). Respondents without
2515 active collaboration with a research institute, expressed the will to engage with academia in
2516 81.8% of the overall native seed community and 75.0% of native seed producers.

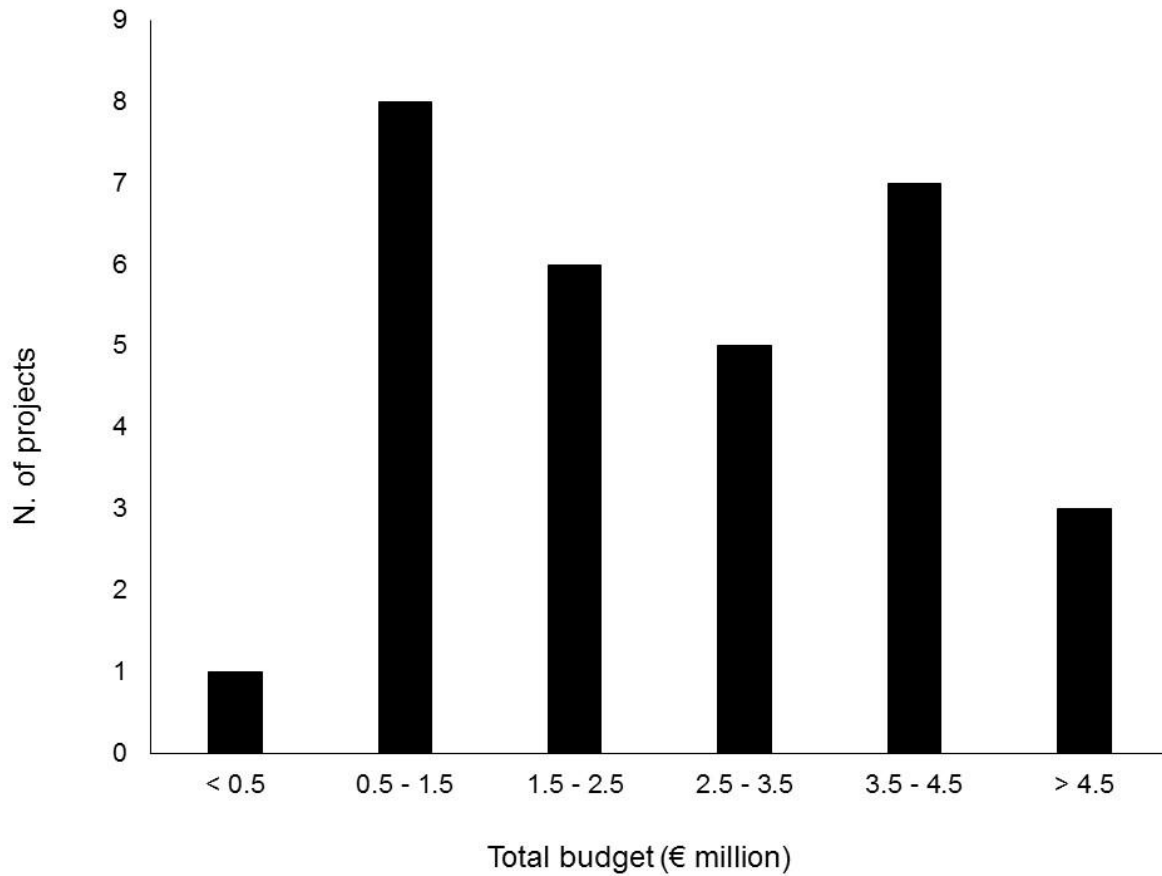
2517 For question 17, there were 114 responses. However, we took into consideration only native seed
2518 producers (n = 20) as we specifically asked about “a trade association of native seed producers”.
2519 Six producers (30.0%) would support such a national association, three (15.0%) a Europe only
2520 association, nine (45.0%) both a national and European association, and for two (10.0%)
2521 respondents the question was non-applicable. Finally, the vast majority (82.6%) of the native
2522 seed community would join a European network to connect with other native seeds users and
2523 74.3% undertook outreach activities to promote the use of native plant materials.

2524

2525 **EU funding for grassland restoration**

2526 Interrogation of the LIFE project Database produced 52 results. Of these projects, 30,
2527 coordinated by 15 different countries, were considered, as they indicated in their objectives, the

2528 direct restoration of grassland habitat. The total area of grassland habitat under or proposed for
2529 restoration represented an 18-year period (the duration of the selected projects was between 2004
2530 and 2022) totaling 16 174 ha, ranging between 15 and 4 439 ha attributable to single projects.
2531 For these projects, the EU contribution was over half of the total budget ($58 \pm 11\%$, mean \pm
2532 standard deviation). The total expenditure in the decade 2004-2014 for these projects was €
2533 102.55 million, ranging between € 412 891 to € 9 587 813 per single project (**Fig. 5**).
2534 The fourth phase of the LIFE program ran from 2007 to 2013 with a budget of € 2.14 billion
2535 (<http://ec.europa.eu/environment/life/about/#evaluation>), while in the new LIFE Programme
2536 (2014-2020), which aims to achieve 5% of ecosystem services restored and to improve the
2537 conservation status of 25% of target habitats and species, € 3.40 billion is allocated
2538 (<http://ec.europa.eu/environment/life/about/#evaluation>), an increase of 59% over the previous
2539 period.
2540



2541

2542

2543 **Fig. 5.** Total budget for grassland restoration projects funded in the decade 2004-2014 through
 2544 the EU LIFE program (n = 30).

2545

2546

2547 **DISCUSSION**

2548 This is the first multinational study to characterize the native seed production sector with an

2549 emphasis on ecological restoration in Europe. This study is comprehensive as respondents

2550 included small, private businesses in countries (e.g. Hungary, Italy, the Netherlands, Norway,

2551 Sweden) that are not part of the main European spoken languages (i.e. English, Spanish, French,
2552 German).

2553 The native seed companies found during this study were the most likely to contribute to
2554 ecological restoration because they were known or reported by other stakeholders, such as
2555 researchers, practitioners and other companies.

2556 The degree of development of the native seed industry in Europe focused on herbaceous plants
2557 related to the need for grassland restoration across the continent. In north-western and Central
2558 European countries (e.g. Great Britain, France and Germany), where, in the last decades, the
2559 phenomenon of species-rich grassland decline particularly occurred, due to intensive agricultural
2560 management (Kiehl et al. 2010), we found the highest numbers of companies and the largest as
2561 assessed by the number of employees.

2562 Since most of the surveyed companies employed 1-9 workers, we assume that they belong to the
2563 category of SMEs (small- and medium-size enterprises) and family-run/owner-operator
2564 businesses.

2565 In most European countries, there are no controls on seed movement (e.g. seed zones),
2566 mechanisms to support the producers (e.g. associations), and processes that value native over
2567 non-native seed (e.g. certification systems). However, with the advent of the International
2568 Standards for the Practice of Ecological Restoration (McDonald et al. 2016), locally sourced
2569 seed based on a local reference community is an expected component in achieving full recovery
2570 (i.e. restoration) of an ecosystem.

2571 Though seed zones have been developed at national levels only in seven European countries
2572 (Austria, Czech Republic, France, Germany, Great Britain, Norway, and Switzerland), did the
2573 majority of the European native seed community agree with the development of seed zones that

2574 reflect ecological rather than geopolitical boundaries. The development of such zones, would
2575 enlarge seed catchment opportunities and lead to new economic development opportunities
2576 within Europe including assisting in rural industry diversification.

2577 There was a strong link between the presence of associations of native seed producers and a seed
2578 certification system (Austria, France and Germany), with the association being involved in
2579 developing the certification system. This means that creating an association of producers may be
2580 a crucial step in developing a certification system. Developing a national seed association or
2581 activating an existing association to develop seed certification represents a vital next step in
2582 harmonizing European native seed standards. Indeed, respondents showed great interest in being
2583 part of a network aimed at knowledge sharing. The International Network for Seed-Based
2584 Restoration (INSR; <http://www.ser-insr.org>), a thematic section of the Society for Ecological
2585 Restoration, represents one opportunity since it brings together native seed stakeholders and
2586 shares existing knowledge on native seed with the aim of promoting and enhancing seed-based
2587 solutions in restoration. The US Native Seed Network and National Seed Strategy are a stand-out
2588 example of a national approach to the generation and use of native seed. The Network
2589 (<http://nativeseednetwork.org/>) is an online platform for both restoration practitioners and native
2590 seed producers that provides search tools (e.g. seed search and selection) and information on all
2591 aspects of native seeds. The Strategy is an overarching plan formulated by a coalition of federal
2592 agencies, non-profit organizations and private sector businesses with the aim of ensuring the
2593 availability of genetically appropriate seed reserves to restore viable, productive plant
2594 communities and sustainable ecosystems (Oldfield and Olwell 2015).

2595 In Europe, the major purchasers of native plant seeds were found to be landscape contractors,
2596 single individuals, governmental bodies, retailers and nurseries, in order of relevance for native

2597 seed suppliers. Seed collection was found to be one of the most expensive activities related to the
2598 seed production to restoration chain, according to our survey and to previous reports (Tucker et
2599 al. 2013), making it a potential economic constraint and where a focus on technology
2600 development would yield significant economic benefits. For native seed producers, other most
2601 expensive activities were related to field labor, such as field preparation for crop multiplication
2602 and seed harvesting which, for some species, is still conducted by hand, as it results in higher
2603 seed quality (Marin et al. 2017).

2604 Our data provided the first estimation, albeit rough, of quantities of and expenditure on native
2605 seeds on a yearly basis by European users. So far, quantitative data on quantities and
2606 expenditures were provided only for Austria (potential need of site-specific mixtures of alpine
2607 seeds for alpine meadow restoration = 200 metric tons annually; Krautzer et al. 2010), Germany
2608 (market turnover of € 12 M and native seed sold annually = ca. 200 metric tons; source:
2609 <http://ser-insr.org/webinars/2016/11/17/native-seed-production-in-germany>); and the UK (overall
2610 native seed market = 70-120 metric tons and £ 5-6 M, with expected growth to 120-240 metric
2611 tons and £ 6-17 M by 2019-2020; UK Native Seed Hub 2011). And all evidence points to
2612 demand for herbaceous native seed in the region to be increasing e.g. expansion of the EU's
2613 LIFE program.

2614 The present study revealed that the majority of European native seed companies, and of the
2615 overall native seed community, has established links with research institutes or was willing to do
2616 so. This is promising in terms of advancing native seed standards and in the improvement of the
2617 pool of species available from seed suppliers, which imposes a critical biodiversity filter in
2618 ecological restoration projects ("restoration species pool" sensu Ladouceur et al. 2017). Seed
2619 growers are often reluctant to take on new species because of production and marketing

2620 uncertainties (Tischew et al. 2011), and, as shown by our survey, the community working with
2621 native seeds often needs to modify existing protocols including collection, cleaning, storage and
2622 treatment to match native plant requirements. Collaboration with researchers and technologists
2623 may play a key role in improving guidelines and finding solutions for production of difficult
2624 grassland species (Ladouceur et al. 2017).

2625 In Europe, inadequacy in native seed supply to meet current and emerging demand may result
2626 from the lack of appropriate production planning, statutory recognition and protection for native
2627 seed collection, production and trade, which in turn may limit the market for native seeds and
2628 facilitate the use of cheap seed mixtures of ecologically unsuitable species (Krautzer et al. 2010).
2629 Adequate planning would harmonize production to meet seed demand. However, achieving this
2630 goal will require improved and facilitated communication between users and producers.

2631 Importantly, providing a sound regulatory framework covering native seeds, together with
2632 incentives from the EU, local governments and the communities will ensure the native seed
2633 industry develops in a way that is economically and ecologically sustainable.

2634 In Germany, one of the most advanced European countries in native seed production and
2635 grassland restoration, the Federal Nature Conservation Act (BNatschG 2010) requires that from
2636 2020, all restoration of natural areas requires the use of native seed. The German Association of
2637 wild seed and wild plant producers (VWW; <http://www.natur-im-vww.de/>) calculated that, to
2638 comply with this requirement, 2 000 metric tons of native seeds would be needed by 2020, that
2639 will require tenfold increase in production over the next four years (source: [http://ser-](http://ser-insr.org/webinars/2016/11/17/native-seed-production-in-germany)
2640 [insr.org/webinars/2016/11/17/native-seed-production-in-germany](http://ser-insr.org/webinars/2016/11/17/native-seed-production-in-germany)). However, this national
2641 aspiration contradicts the legal constructs under EU Directives (see European Commission 2010:
2642 Commission Directive 2010/60/EU, art. 8 “quantitative restriction”) that limits the maximum

2643 value of native seed to 5% of the fodder species market. In Europe, as it has been already
2644 stressed in US (Oldfield and Olwell 2015), the policy directives should shift away from
2645 agronomic towards ecological models if we are to meet the needs of restoration on the scale
2646 required in the coming century.

2647

2648 **CONCLUSION**

2649 As demand grows for knowledge-informed policy decisions in environmental issues (Nesshover
2650 et al. 2016), the creation of a European native seed network/association is pivotal to developing
2651 effective production and deployment strategies. Such a network could be charged with ensuring
2652 the accuracy and adequacy of knowledge transfer to decision-makers, contributing to policy
2653 frameworks that support the expansion of the native seed industry in the Europe. Importantly,
2654 such a network would lead to a united voice and provide impetus for harmonization of seed
2655 policies across Europe.

2656 We believe that in this age of restoration, in Europe as for other countries around the world,
2657 greater attention should be focused on the emerging native seed production sector, supported by
2658 robust regulatory processes that promote, enhance and provide incentives for the use of native
2659 plant material. In order to achieve positive and successful outcomes, a vibrant, diverse native
2660 seed community is essential to ensure that collective wisdom leads to the most cost effective and
2661 enduring outcomes for improving nature and natural environments.

2662

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2796

2797 **Appendix 1.**

2798

2799 **Table 1.** For the countries where native seed producers of herbaceous plants were identified†,
 2800 the number is reported, specifying if they are NGO, private or public enterprises. Where they
 2801 occur, the number of seed zones (STZ) are also reported.

Country	Native seed producers			total	STZ‡
	NGO	private	public		
Austria	1	9		10	10
Belgium		3		3	
Bulgaria		2		2	
Czech Republic		3		3	4
Denmark		2		2	
France		6		6	11
Germany		12		12	22
Greece			1	1	
Hungary		2		2	
Iceland			1	1	
Italy		4	1	5	
Netherlands		4		4	
Norway		2	1	3	4
Poland		2		2	
Portugal		2	1	3	
Republic of Ireland		2		2	
Romania		2		2	
Spain		10		10	
Sweden		3		3	
Switzerland		12		12	11

United Kingdom	1	11		12	24
Tot.	2	93	5	100	

2802 †Some producers were not verified if actually producing native seeds from local populations and
 2803 if following recognized guidelines.

2804 ‡ Sources. Austria : REWISA, V (2010); Czech Republic : Ševčíková et al. (2014); France :
 2805 Fédération des Conservatoires botaniques nationaux ([http://www.fcbn.fr/vegetal-local-vraies-](http://www.fcbn.fr/vegetal-local-vraies-messicoles)
 2806 [messicoles](http://www.fcbn.fr/vegetal-local-vraies-messicoles)); Germany : Prasse et al. (2010); Great Britain: seed zones were developed for tree
 2807 species by the Forestry Commission (2016) but they are used by herb seed producers too;
 2808 Norway: four seed zones are suggested by Jørgensen et al. (2016) on the basis of genetic analysis
 2809 on six species; Switzerland: SKEW (2009).

2810

Native Seed Supply and the Restoration Species Pool

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Keywords

Biodiversity; ecological restoration; European grasslands; grasslands; revegetation; seed-based restoration; seed germination; seed policy; seed production .

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Abstract

Globally, annual expenditure on ecological restoration of degraded areas for habitat improvement and biodiversity conservation is approximately \$18bn. Seed farming of native plant species is crucial to meet restoration goals, but may be stymied by the disconnection of academic research in seed science and the lack of effective policies that regulate native seed production/supply. To illustrate this problem, we identified 1,122 plant species important for European grasslands of conservation concern and found that only 32% have both fundamental seed germination data available and can be purchased as seed. The “restoration species pool,” or set of species available in practice, acts as a significant biodiversity selection filter for species use in restoration projects. For improvement, we propose: (1) substantial expansion of research and development on native seed quality, viability, and production; (2) open-source knowledge transfer between sectors; and (3) creation of supportive policy intended to stimulate demand for biodiverse seed.

Introduction

One-tenth of global wilderness has been destroyed in the last two decades (Pennisi 2016), and two-thirds of terrestrial environments are officially classed as degraded (Merritt & Dixon 2011). Ecological restoration (ER) accelerates the recovery of a degraded ecosystem with respect to health, integrity, and sustainability (SER 2004), and is recognized as a key complementary action for habitat conservation. Current global ER targets aim to restore 150 million ha or 15% of degraded ecosystems by 2020 (Menz *et al.* 2013). The estimated \$18bn/year restoration cost is far exceeded by the potential global ecosystem service benefits of \$85bn annually (Menz *et al.* 2013). Critical to success is the urgent need for access to high-quality

seed through the farming of native species, as part of a range of flexible strategies to improve ER (Broadhurst *et al.* 2016).

Several large-scale ER initiatives are underway globally, such as the Australian Gondwana Link (Merritt & Dixon 2011), the Bureau of Land Management U.S. initiatives (Oldfield & Olwell 2015), the African Great Green Wall (Sacande & Berrahmouni 2016), and the European Union (EU) Natura 2000 (European Commission 1992). Seed-based plant conservation and use strategies (Merritt & Dixon 2011; Royal Botanic Gardens Kew 2015), seed-based research (Jiménez-Alfaro *et al.* 2016), and seed supply all play critical roles in successful ER. However, native seed sourcing, collection, production, and storage is more challenging than for agricultural species (Bischoff *et al.*

2008; Broadhurst *et al.* 2008) for which cultivars have been bred to be stable, uniform, and distinct (European Commission 1966).

ER depends on selecting appropriate species to cope with abiotic and biotic characteristics of degraded habitats. In ecological communities, scientists describe the species pool as the set of species that potentially occur at a site (Zobel 1992). The conditions limiting or facilitating species assembly will determine successional and recovering legacies of a system, including responses following ER (Temperton *et al.* 2004). Hand-collecting seed in large quantities from a broad range of species is unrealistic for most ER projects and wild populations risk depletion. Often the material used is restricted to that available from commercial or institutional seed suppliers. The "restoration species pool" ("RSP"), or pool of species available from these seed suppliers, thus imposes a critical biodiversity filter in ER projects. Where native supply lacks, easily available agronomic or horticultural seeds are used as a substitute, which is ecologically unacceptable. An RSP of native species, which has been systematically sourced between and within populations and species distribution ranges, is necessary for the support of genetic diversity in seed supplies and restored ecosystems (Hoban & Schlarbaum 2014).

Seed yields and germination of wild species can be naturally low and variable (Fenner 2000), and while cropping of native species can facilitate controlled production, some seed ecological traits (Fenner & Thompson 2005) can determine obstacles to harvesting. Not all wild species are candidates for commercial production as variation in seed morphological traits necessitates the use of appropriate harvesting and conditioning equipment, the costs of which can be very high if a large number of species are being produced. Proper seed management from collection to postconditioning storage is essential to maintain seed viability, which is variable between suppliers and can be very low (Marin *et al.* 2017). These challenges require collaborative efforts between seed suppliers and researchers to fully realize the potential of providing native farmed seeds for ER. This encompasses research on seed germination, dormancy (a process that regulates germination so that plants emerge under environmental conditions favourable for seedling establishment; Table S1), seed traits relevant for ER (Jiménez-Alfaro *et al.* 2016), and other bottlenecks that can be encountered such as adaptations for cultivation or genetic diversity maintenance (Chivers *et al.* 2016). However, research findings are rarely accessible to public stakeholders involved in ER.

Here, we assess the potential of the RSP to meet conservation needs in European grasslands, which are priority habitats as detailed in European policies on nature

conservation. Human-induced habitat loss has impacted grassland biomes to the greatest rate and extent, largely due to agricultural conversion and the lack of conservation protections (Hoekstra *et al.* 2005). This neglect is in stark contrast to the biodiversity value of temperate grassland habitats, which across continental Europe are global biodiversity hot spots (Wilson *et al.* 2012). Using European grasslands of conservation concern as a case study, we analyze how many species have both detailed seed quality data and commercial seed lots available across taxa and across three species groups of relevance to European policies on ER. Addressing the availability of seed and related scientific information is important for the design of effective policy, research agendas, the foci of commercial seed suppliers, and reducing the risk of falling short in reinstating functional ecosystems in ER (Menz *et al.* 2013).

Methods

Study systems and target species

The European initiative Natura 2000 aims to establish a network of diverse, representative high-quality protected habitats of conservation concern, much of which will require intensive ER (European Commission 1992). Our study is focused on six major temperate grassland habitat types of conservation concern in Europe: lowland meadows (Natura 2000 number: 6510); high altitude hay meadows (6520); dry grasslands (6210); species rich *Nardus* grasslands (6230); calcareous alpine grasslands (6170); and acidic alpine grasslands (6150).

We created a database of 1,122 target species with potential interest for ER within these habitats, regulated by EU legislation that affects strategies of seed quality and use (Table S2). This includes 116 *protected* species subjected to legal protection, in most cases endangered or narrow endemic species; 929 *indicator* species, which are indirectly protected when occurring in protected habitats but unregulated in seed production; and 77 *fodder* species controlled for quality as domestic stock feed (European Commission 1966; 2014), as well as for preservation of genetic diversity (European Commission 2010; Table 1).

To assess the availability of seed quality data, we collected trait information on germination temperature and dormancy type of the target species available from the *Seed Information Database* (Royal Botanic Gardens Kew 2008), and the most recent review of seed germination studies (Baskin & Baskin 2014). As these are the main traits related to the germinability of a seed lot, we assume that having this information implies a minimum contribution of the scientific community for a given

Table 1 Relevant legislation details related to each target species group

Species group	Description	Legislation	Impact
Protected species (<i>N</i> = 116)	Includes species of conservation concern, in most cases endangered or narrow endemics, listed by name in relevant policy, and occurring in focus habitats.	Specific species for which member states must protect and conserve when found to occur under Annex II & IV of the EU policy on Conservation of Natural Habitats Wild Fauna and Flora (European Commission 1992).	Species seed cannot be collected without a rigorous permit process.
Indicator species (<i>N</i> = 929)	Species that are diagnostic or dominant for any of the selected habitats at the continental scale according to Schaminee <i>et al.</i> (2016) and vegetation ecology literature (Georg Grabherr & Mucina 1993).	These species are indirectly conserved in Annex II as reflected in the designation of special protected areas for the habitats in that they occur under the EU policy on Conservation of Natural Habitats Wild Fauna and Flora (European Commission 1992).	Species are of interest for use in restoration and have no direct EU policy restrictions on their collection, reproduction, or use but may have local regulations.
Fodder species (<i>N</i> = 77)	Grass and legume species used for animal forage, also considered valuable for preservation of the natural environment and conservation of genetic resources in grasslands listed by name under relevant policies.	Specific species and genera important for domestic stock and grazing (European Commission 1966, 2010, 2014).	Controlled for quality including high purity standards and minimum germination thresholds in EU Commission Directive 1966. Expanded in Directive (2010) to include harvest method, seed weight, quantity, region of origin, source area (collection site and multiplication), habitat type, and year of collection. Native seed production cannot exceed 5% of the total commercial cultivar production market in their country.

N = number of species in each group.

species. A systematic online search was conducted from November 2014 to May 2016, and the lists of species available commercially as seed were downloaded, or requested to seed suppliers. As there are multiple seed sources in some countries, the supplier providing the highest number of target species was selected since the inclusion of smaller companies did not influence the total number of available species. This resulted in seed availability lists from 17 seed suppliers across 17 countries (Table S3). Species names were verified against *The Plant List* (Missouri Botanical Gardens, Royal Botanic Gardens Kew 2013). Possible limitations of these data are that species reported as available may be an overestimate as lists may be outdated, inaccurate, or in some cases represent cultivars rather than native species, particularly in the *fodder* group. Nonetheless, the list is an accurate representation of the current state of native seed acquisition in Europe. We use the term *supplier* instead of *producer* because in the majority of cases, seed is reproduced in a native seed farm or orchard, but in some cases seed may be hand-collected.

Analyses

Data were collected as binomial variables. To assess *Germination Data Availability (GDA)*, each species was assigned as data being available (1) or not (0). Similarly, species were either *Commercially Available (CA)* (1) or not (0).

The proportions (%) of species with *CA* and with *GDA* were calculated for each plant family represented in the target species list to elucidate taxonomic representation as a surrogate of phylogenetic variation. A Generalized Linear Model (*GLM*) was fitted to assess the variation of *CA* as a function of *GDA* and species groups. The *GLM* was computed with binomial error distribution and logit link function in order to assess the influence of policy groups and *GDA* (explanatory variables) on *CA* (response variable; $CA \sim GDA + \text{policy group}$). All analyses were performed in *R Statistical Computing Language and Platform version 3.2.2* (R Core Development Team 2016), and figures created in the package *ggplot2* (Wickham 2009) and *yarr* (Phillips 2016). The package *Effects* (Fox 2003) was used to create probability estimates of *CA* based on each variable

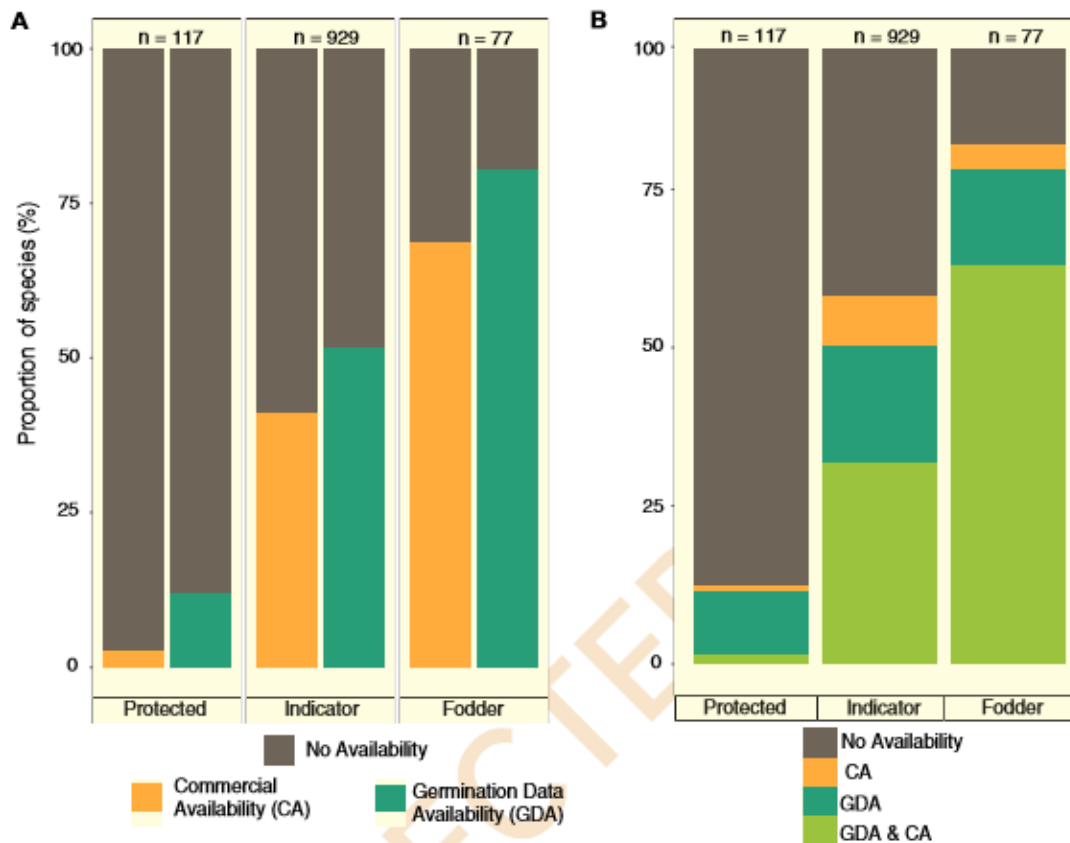


Figure 1 (A) Proportion (%) of species that are commercially available (CA) and with germination data availability (GDA) (B) proportion (%) of species that are commercially available (CA) with germination data availability (GDA), and with the combination of CA + GDA. N: number of species represented within each group.

and package *PMCMR* for post hoc pairwise Kruskal-Wallis tests (Pohlert 2014).

Results

The 1,122 target species with potential interest for *ER* within European grassland habitats are spread across 59 plant families, with highest representation in Compositae (146 species) and with the top 5 and 10 families comprising 43% and 62% of the species list, respectively. Information on *GDA* and *CA* alone extended to 49% (i.e., 556) and 39% (i.e., 439) of target species, respectively (Figure 1A). Information for both seed *GDA* and *CA* details are available for only 32% (i.e., 358) of species on the target list (Figure 1B). Supplied seed is not available across all suppliers (Figure S1), although *indicator* and *fodder* species with *GDA* are available across a higher proportion of suppliers than those without *GDA* and with *protected* status (Kruskal-Wallis $s^2 = 338.81$, $P \leq 0.001$; Tables S2 and S4).

The majority of taxonomic families completely lacking *GDA* are also completely lacking *CA*, although the sample size is small in these cases (Figure 2, Table S5). The vast majority of families with large sample sizes have ~50% *GDA* and *CA*. Within this case study, there are seven families, spanning nine genera and 15 species, for which germination data are unknown. Twelve families (20% of total) lie within the lower quartile of *CA*, covering 158 species (14% of total).

Strong predictive patterns based on the *GLM* are exhibited for the estimate of *CA* of target species across all variables (Figure 3, Table 2). The model predicts that *protected* species have a 0.04 probability of being *CA*, *indicator* species 0.37 ($P < 0.001$), and *fodder* species 0.54 ($P < 0.001$; Figure 3A, Table 2). Species with no *GDA* have 0.13 probability of being *CA*, and species with *GDA* have a 0.58 probability of being *CA* overall ($P < 0.001$; Figure 3B). The combination of predictors (Figure 3B) provides a further level of outcomes. *Protected* species for which there is no *GDA* have 0.01 probability of *CA*; this

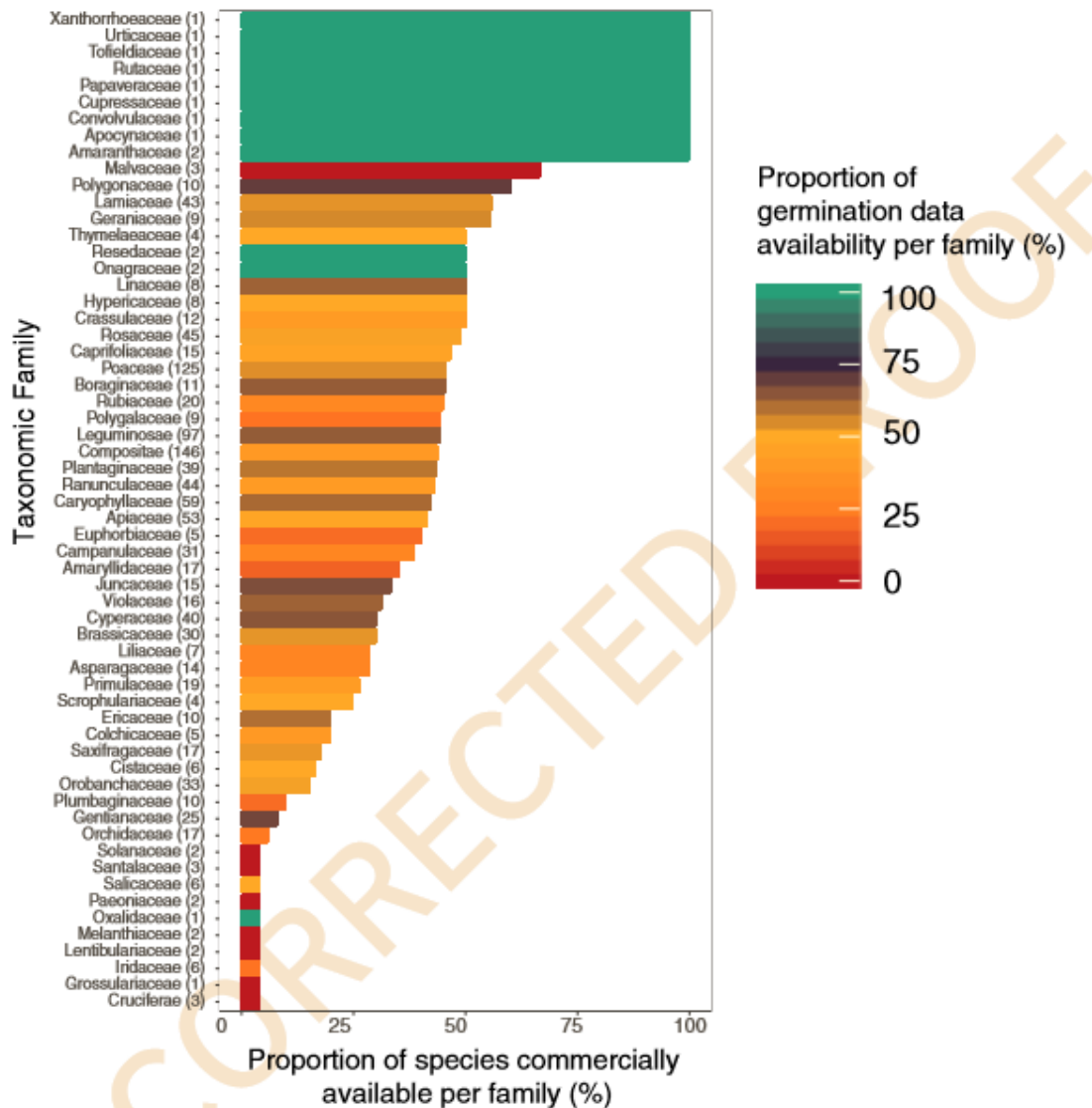


Figure 2 Bars show the proportion (%) of species per taxonomic family that have seed which has *commercial availability*. The degree and proportion of *germination data availability* is represented by the color scale according the seed information database (Royal Botanic Gardens, Kew 2008) and Baskin & Baskin (2014). The numbers in brackets next to each family name represents how many species are included in the data set from that given family.

probability increases to 0.11 when there is *GDA*. Comparable values for *indicator* species without and *GDA* are 0.17 and 0.64, respectively; and 0.29 and 0.78 probability, respectively, for *fodder* species.

Discussion

The RSP in European grasslands

To our knowledge, no studies have investigated the availability of commercial seed and related germination data

for native seed in a large-scale case study. In Europe, the relatively high availability of native seeds for *fodder* species demonstrates that commercial availability of native seed is subject to economic demand and a long-standing regulatory framework. This framework follows an agricultural model meant for animal feed rather than *ER* (European Commission 1966), yet is recognized for *ER* use (European Commission 2010). The opposite trend is evident for *protected* species, as the availability of commercial and germination data is extremely low, despite their conservation concern in EU regulations. There are

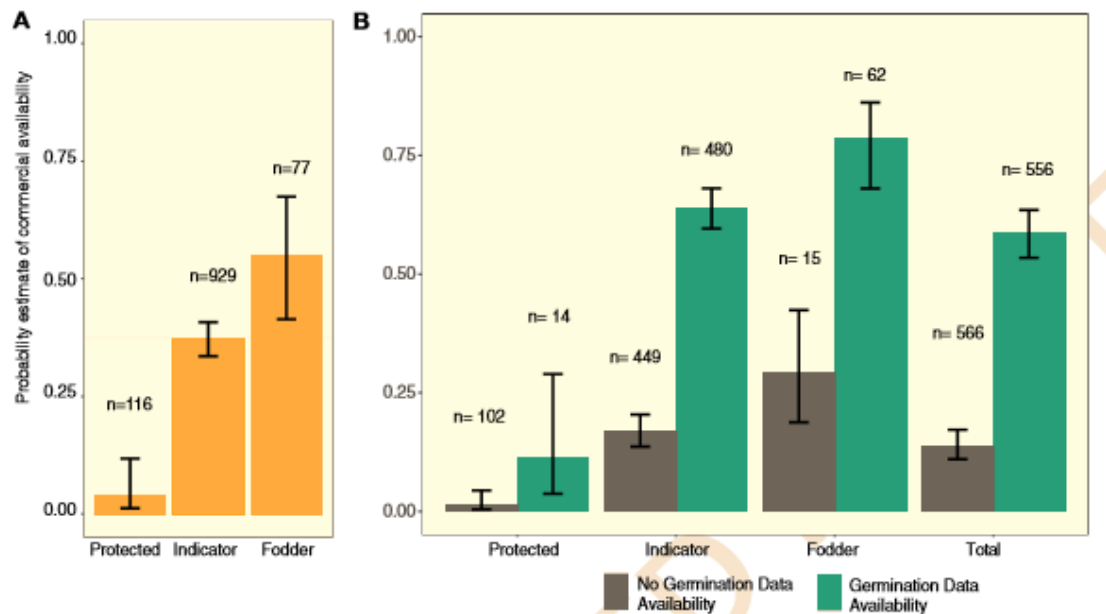


Figure 3 Predicted effect plots showing the *commercial availability* of species grouped per species category. Probability was estimated using GLM (binomial error, logit link) fitted to the commercial production data of each species (*commercial availability* ~ *germination data availability* + species group). The same model was used to fit each group, and results were grouped based on: (A) species groups (*protected*, *indicator*, *fodder*) (B) species group + *germination data availability*.

Bars represent the probability that a given group of species is commercially available. Brackets represent the upper and lower limits of that estimate. N = number of species represented by each prediction.

Table 2 Generalized linear model (binomial error, logit link) analysis testing the effect of *germination data availability* and species group on *commercial availability*

Coefficient	Effect estimate	Standard error	Z	P
Intercept (protected)	-4.2541	0.6016	-7.071	< 0.001
Germination data availability	2.1759	0.1524	14.281	< 0.001
Indicator species	3.3685	0.6570	5.127	< 0.001
Fodder species	2.6502	0.6021	4.401	< 0.001

Results were estimated using GLM fitted to the commercial production data for each species.

relatively high levels of *indicator* species represented in the *RSP*, but this does not necessarily indicate availability from many suppliers. Seed availability and use is compounded by the origin of the seed, as some supplies may not be appropriate for use in every region (Bischoff *et al.* 2008). Species for which there is a lack of *GDA* are also less likely to be *CA* and more likely to be omitted from the *RSP*. This indicates the urgent need for research and development on European grassland native seed biology, including knowledge transfer to support the commercial sector.

When there are little or no germination data for species within a family, congeneric species can offer predictions of potential dormancy (Table S1), that is, *implied dormancy*, and thus the type of environmental conditions to trigger germination (Baskin & Baskin 2014). Implied dormancy for the large majority of study species (~75%) indicates probable complex germination characteristics (Table S1). Currently, most revegetation projects in Europe have no requirement to improve biodiversity outputs, thus there is lack of consistent demand, and little capacity to improve the range of species with *CA*, particularly for species that may be complex to supply. Without change, *ER* of grassland habitats could continue to demonstrate species bias limiting biodiversity, facilitating the persistence of degraded systems in alternatively stable states (Suding *et al.* 2004). Improving the *RSP* will reduce risk in *ER* projects as a complimentary conservation resource.

For the *RSP* to better support *ER*, industry also requires cooperative market sharing, improved provision and storage strategies. In Australia, United Kingdom, and the United States, there are examples of government, community, or nonprofit groups working cooperatively with seed suppliers to enable the inclusion of species that

have challenging seed traits in the commercial *RSP* supply chain. The U.S. Native Plant Program (Oldfield & Olwell 2015) contracts production of seed across all available suppliers, to partition demand and market share, then stored in government infrastructure for purchase. As a unique example in Europe, Germany has mandated that only native species may be used for all revegetation by 2020 (BNatSchG, Federal Ministry for the Environment, Nature Conservation, and Nuclear Safety 2010). Compared to German native seed demand in 2015, production of local native seeds must grow 10-fold to meet 2020 targets (Pers. Comm., Ann Karen Mainz, Association of German Wild Seed Producers), an increase which will require expansion of their *RSP*. Demand creation, contracting, storage, and provision solutions must be developed in tandem to effectively expand *RSP* capacity.

Policy recommendations

Current legislation relating to *protected* (European Commission 1992) and *fodder* (European Commission 2010) species recognize the need to produce seed specifically for ER, but do not match the native seed market appropriately. Policy relating to the use of seed mixtures mandates that commercially produced seed must come from the same source area in which it is being used, and germination minimums are required (European Commission 2010), which are easily achievable in cultivars, but unrealistic for native species. These quality standards are too restrictive (Tishew *et al.* 2011), to which there is low adherence and enforcement, as they are contradictory to a much-needed industry with a small market niche. Supportive regulation is needed and future EU policy should require that all public revegetation projects use only native material. Creating demand through policy while aligning the contracting of supply offers immense potential to enable growth of the *RSP*. We strongly support initiation of policies to contract annual native seed production of baseline *indicator* and *fodder* species across available producers to store for large-scale projects. Policy should require vegetation biodiversity targets to be met in *ER* and revegetation. Sourcing and contracting of site-specific seed material beyond yearly *indicator* and *fodder* stores (including but not limited to *protected* species) should be required at project inception to allow time for realistic production. New policies should be designed to embrace consultation with the native seed industry and restoration professionals.

Conservation seed banks for native species can support these strategies in a small capacity and can provide access to relevant small-scale seed processing and quality assessment equipment (Nevill *et al.* 2016). The largest ex situ plant conservation programme globally, the *Millen-*

nium Seed Bank Partnership (MSBP), managed by the Royal Botanic Gardens, Kew, UK, has successfully banked 13% of the world's wild seeds, aiming to bank 25% by the year 2020 (Royal Botanic Gardens Kew 2015). Seed from the *MSBP* has been used for small-scaled re-establishment, generally targeted for threatened species. An exemplar is FAO-RBG Kew "Africa's Great Green Wall" program within which collaborating country seed banks supply ~25,000 kg of seed per annum of about 200 species of trees, shrubs, and grasses (Sacande & Berrahmouni 2016). Nevertheless, a new form of *Restoration Seed Banks* (Merritt & Dixon 2011) is needed if a sustainable seed supply chain of the right scale is to be supported for the *RSP*. To improve *ER* outcomes, wide expansion of current capacity and collaboration across sectors is needed to provide the requisite tons of native seed needed (Merritt & Dixon 2011). In addition, research in seed biology and vegetation science applied to seed sourcing, applications, and bottlenecks related to collection and use are required.

Current research in seed biology and regeneration processes remains specialized, in need of urgent expansion (Larson & Funk 2016). In addition, long-term interdisciplinary and collaborative open-source knowledge sharing platforms are needed to facilitate the exchange of research (Royal Botanic Gardens Kew 2015). We suggest future germination research focus on the development of efficient dormancy breaking treatments, the thermal control of germination (thresholds and rates), and improvements in native seed production practices for European grassland species not currently covered by the *RSP*. Integration of research and industry knowledge sharing where any research project connected to native seed germination delivers findings to the private sector could hold wide benefits. Research projects for *protected* or underrepresented taxa could ideally include commercial or cooperative seed production contracts for direct use in conservation and reintroduction as industry output components. Supplying *protected* species must be strictly designed, implemented, and controlled with the direct use of vanguard science through extremely collaborative approaches (Shirey *et al.* 2013).

Conclusions

Our analysis presents the first study investigating seed germination data availability and the commercial "*RSP*." We present a continental case study, reflecting a global issue of global importance to habitat conservation. In sum, we encourage further exploration of the reconsideration of public policy, compilation of open-access knowledge sharing across sectors, and multinational efforts to provide infrastructure and support, expand, and realize the full potential of the emerging native seed industry.

Improving the breadth of research of seed biology research and knowledge sharing between sectors has potential to support the expansion of the commercial native seed and the RSP. Improved commercial availability could reduce species bias and risk in ER.

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Supporting Information

Additional Supporting Information may be found in the online version of this article at the publisher's web site:

Figure S1 Observed percentage (%) of suppliers (total 17) with *commercial availability* of seed with and without *germination data availability*.

RDI plots (raw data, descriptive and inference statistics) show jittered points of raw data, center bars indicate the mean of the data, beans outline the smoothed density of the data, whiskers mark the 10% and 90% quantiles of the data, and inference bands show the Bayesian 95% high-density interval inferential statistics for each group. Letters show statistical differences between groups (Table S4).

Table S1 Simplified seed dormancy types (adapted from Baskin & Baskin 2014)

Table S2 Full species list, associated category, and associated data

CA = commercial availability (yes [1], no [0]), GDA = germination data availability (yes [1], no [0]).

Table S3 Seventeen seed suppliers across 17 countries used for data collection

Table S4 Statistics representing differences between variables in the percentage of suppliers with seed of each species commercially available compared across species groups (Figure S1)

Kruskal-Wallis χ^2 test and post hoc pairwise Tukey and Kramer (Nemenyi) χ^2 test, *P*-value statistics, indicating significance between group variables. Germination data available = "+GDA," germination data not available = "-GDA."

Table S5 The complete data set summarized by taxonomic family in descending order of percentage of commercial availability (CA)

= number, % = percentage, Sp. = species, CA = commercially availability, GDA = germination data availability.

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