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2	Isolation and characterization of the alkaloid Nitidine responsible
3	for the traditional use of Phyllanthus muellerianus (Kuntze) Excell
4	stem bark against bacterial infections
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13	
14	Abstract

15 Phyllanthus muellerianus (Kuntze) Excell (family Euphorbiaceae) stem bark methanol 16 extract inhibited the growth of Clostridium sporogenes and Streptococcus pyogenes, 17 responsible for gas gangrene and suppurative and non suppurative diseases, 18 respectively. After the HPLC fingerprint acquisition a bioguided fractionation of the 19 defatted methanol extract allowed the isolation of six fractions whose activity was 20 evaluated against the two pathogen bacteria. A further purification of the most active 21 fraction afforded a pure compound responsible for the very interesting inhibitory 22 activity against Clostridium sporogenes and Streptococcus pyogenes (MIC 0.91µM, 23 MIC 3.64µM). <sup>1</sup>H-NMR and MS analytical techniques allowed the identification of the 24 bioactive specie as the quaternary ammonium alkaloid Nitidine, whose presence in the 25 genus Phyllanthus is here observed for the first time. A study on the counter ion of this 26 quaternary ammonium alkaloid, performed using energy dispersive spectroscopy (EDS) 27 coupled with scanning electron microscopy (SEM) was also carried out.

Keywords: *Phyllanthus muellerianus, Clostridium sporogenes, Streptococcus pyogenes,*bioguided fractionation, Nitidine.

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# 32 1. Introduction

33 The species of the genus Phyllanthus (Euphorbiaceae) are widely distributed in most 34 tropical and subtropical areas and have long been used in folk medicine to treat several 35 diseases [1]. For example, the decoction of *Phyllanthus muellerianus* stem bark is used 36 in Cameroon by Pygmies Baka as a remedy for tetanus [2]. This traditional use has been 37 validated in our previous study [3] where the interesting activity of *Phyllanthus* 38 muellerianus stem bark methanol extract against Clostridium sporogenes ATCC 3584 39 and Streptococcus pyogenes ATCC 19615 is described. More recently the essential oil, 40 obtained from the same stem bark and fully characterized, was found to have an 41 interesting activity against the same bacteria, suggesting that this activity could be 42 rationale for the use of this plant in pygmies traditional medicine, mainly for the 43 treatment of tetanus and wound infections [4].

To complete the research on this plant, here we report a bio-guided fractionation of the active methanol extract with the final aim to isolate the compound/s responsible for the biological activity described in our previous publications. Particularly, we described the purification procedures that allowed to isolate the bioactive compound and the analytical techniques needed to identify it as the known quaternary ammonium alkaloid Nitidine (Figure 1), never found before in the genus *Phyllanthus*.

50 Nitidine salts were firstly isolated in 1959 from *Zanthoxylum nitidum* (Roxb.) 51 (Rutaceae) [5] by melting point and elemental analyses and identified as acetate, 52 chloride, iodide,  $\psi$ -Cyanide by melting point and elemental analyses; later Nitidine

nitrate and Nitidine chloride were identified by means of TLC and UV and IR
spectroscopies in *Zanthoxylum Myriacanthum* [6] and *Zanthoxylum parvifoliolum* [7],
respectively. In 1995 Nitidine was isolated from *Toddalia asiatica* [8] and in 2007 from *Broussonetia papyrifera* [9] fruits and identified by comparison of its chromatographic
and spectroscopic properties (MS, IR, NMR) to those of an authentic sample but no data
are available concerning the nature of the counter ion.

59 Here we reported for the first time, the isolation and identification of Nitidine, by 60 means of HPLC, LC-MS and NMR analytical techniques, in *Phyllanthus muellerianus*, 61 ever observed in any plant of the genus *Phyllanthus* before, , and a study on the counter 62 ion of this quaternary ammonium alkaloid, performed using energy dispersive 63 spectroscopy (EDS) coupled with scanning electron microscopy (SEM). Moreover, 64 although Nitidine is already known for its biological activities, such as regulation of 65 inflammatory diseases [10], antimalarial [11], anticancer [12], antioxidant, antimicrobial 66 and bacteriostatic [13-15], its activity against Clostridium bacteria is here described for the first time. 67

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#### 69 2. Experimental

70 2.1. Plant Material

The stem bark of *Phyllanthus muellerianus* was collected in Cameroon in July 2009 in the camps of Abing. The plant was identified at the National Herbarium of Yaoundé by the Cameroonian botanist Mr Nana. A voucher specimen (no. BWPV03) is deposited at the Department of Drug Sciences of the University of Pavia. The bark was dried for 15 days in a dark and ventilate room at 25-30° C, then grounded and the powder (780g) stored at -20° C.

### 78 2.2 Bioassay-guided fractionation, isolation and identification of active compound

#### 79 2.2.1 Plant extraction and HPLC fingerprint analysis

80 The stem bark dried powder (128 g) was suspended in n-Hexane (500 ml) in a round 81 bottom flask equipped with a condenser. The mixture was sonicated for 10 minutes, 82 then refluxed for 2 h, filtered, re-suspended in fresh n-Hexane (500 ml) and refluxed for 83 further 2 h. After filtration, the filtrate was evaporated to dryness under vacuum. The 84 procedure was repeated up to a constant weight of the dry extract (yield 0.8 % on a dry 85 mass basis). Further extractions on the same plant material were carried out with 86 CH<sub>2</sub>Cl<sub>2</sub> and MeOH following the same procedure described above. The solvent removal 87 afforded 0.6% and 3.8% of dried extracts on a dry mass basis, respectively. HPLC was 88 used to analyse the phytochemical profile of the extracts. Fingerprint analyses were 89 carried out on a HP 1100 series system Agilent Technologies) equipped with a manual 90 injector, a 20 µl sample loop and a quaternary gradient pump along with pulse 91 dampener, using a Chromsystems C18 column (Chromsystems) (5 µm, 250 x 4.6 mm 92 i.d.). The mobile phase was a combination of solvent A (0.08% TFA in water) and 93 solvent B (0.08% TFA in ACN). The gradient program was: 0-55 min linear gradient to 94 100% of solvent B; 5 min, hold at 100% solvent B. The injection volume was 10 µl. 95 The elution flow rate was 1 ml/min and the detection wavelength was set at 225 nm.

A Biotage KP-C18 scaling column (55 µm, 250 x 4.6 mm i.d.) was used for
development, in order to obtain a good separation on the next preparative steps. Solvent
systems as for the analytical column; flow rate: 3 ml/min and the detection wavelength
was set at 225 nm and 366 nm.

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102 The defatted methanol extract (DME, 2g) was subjected to flash chromatography using 103 a Biotage Isolera Prime system (Snap 120g KP-C18-HS cartridge). A stepwise gradient 104 H<sub>2</sub>O-CH<sub>3</sub>CN with 0.08% of TFA was used. Gradient elution:  $95/5 \rightarrow 90/10$  in 2 CV 105 (column volume= 150 ml, each achieved in 3.75 min),  $90/10 \rightarrow 88/12$  in 3 CV, 88/12 in 106 5 CV, 88/12→85/15 in 15 CV, 85/15→72/28 in 13 CV, 72/28 in 10 CV, 72/28→50/50 107 in 5 CV,  $50/50 \rightarrow 30/70$  in 5 CV,  $30/70 \rightarrow 100$  in 7 CV, at a flow rate of 40 ml/min. The 108 elution was monitored at 225 and 366 nm. Six fractions were collected, namely F1, F2, 109 F3, F4, F5 and F6.

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### 111 2.2.3 Fractionation of F4

112 Based on the biological activities, F4 (0.013 g) was further chromatographed on a 113 discovery reversed-phase SPE cartridge (2g/12ml, Supelco). Separation was carried out 114 by stepwise elution H<sub>2</sub>O-CH<sub>3</sub>CN, with 0.08% of TFA. Gradient elution: 90/10 for 4 115 Column Volume (CV = 6 ml,), 80/20 for 4 CV, 75/25 for 4 CV, 70/30 for 4 CV, 100% 116 CH<sub>3</sub>CN for 4 CV, at a flow rate of 3 ml/min. Fractions obtained using 20% and 25% of 117 CH<sub>3</sub>CN (test tubes 2-17), after solvent evaporation, afforded bright yellow needle-118 shaped crystals with the same HPLC profile. Thus, the crystals were collected yielding 119 10 mg (76,9%).

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### 121 2.4. Chemical characterization of crystals

122 The chemical characterization of crystals was achieved by NMR, MS analyses and123 energy dispersive spectroscopy EDS coupled with scanning electron microscopy SEM.

124 <sup>1</sup>H and <sup>13</sup>C NMR were recorded on a Varian (Palo Alto, CA, USA) Inova 500 125 spectrometer (499.87 and 125.70 MHz, respectively) in CD<sub>3</sub>OD with TMS as internal 126 standard. Complete assignment was performed on the basis of 2D experiments. MS data 127 were obtained using a Thermo Finnigan LTQ-DECA (San Jose, CA; USA) ion trap 128 mass spectrometer equipped with a Finnigan electrospray interface over the mass range 129 from m/z 250 to 1500. Thermo Finnigan Xcalibur software (version 1.4) was used for 130 data acquisition. The mass spectrometer was operated in the positive ion mode. The 131 samples were dissolved in H<sub>2</sub>O:CH<sub>3</sub>CN (50:50) and introduced into the ESI source by 132 continuous infusion at a rate of 5  $\mu$ l/min by a syringe pump. The capillary voltage and 133 temperature were set to 30 V and 250 °C respectively. Nitrogen was used as the sheath 134 gas.

135 Concerning SEM and EDS analyses, small amounts of the dried samples were placed on 136 a bi-adhesive carbon slide, fixed on the aluminum sample-holder. The typical gold-137 coating for SEM preparations was avoided in order to allow the quantitative 138 determination of the elementary composition of the samples. The SEM analysis was 139 performed using an EvoMA10 microscope from Zeiss. The experiments were carried 140 out using a LaB<sub>6</sub> filament, with the tension and the current of the beam set to 20 kV 141 and 30 pA respectively. EDS measurements were performed using an INCA Energy 350 142 X Max detector from Oxford Instruments, equipped with a Be window. Cobalt standard 143 was used for the calibration of the quantitative elementary analysis. Several 144 determinations were made for each sample at different points in the powders grains.

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The following strains were used for testing the antimicrobial activity of the crude
extracts: *Clostridium sporogenes* ATCC 3584 and *Streptococcus pyogenes* ATCC
19615. Bacteria were cultured in Tryptone Soya Broth (TSB, Oxoid, Basingstoke, UK)
at 37 °C, under anaerobic atmosphere (80% N<sub>2</sub>, 15% CO<sub>2</sub> and 5% H<sub>2</sub>) in an anaerobic
jar (Oxoid, Basingstoke, UK) for *Clostridium sporogenes* [3].

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155 2.4. Evaluation of the minimum inhibitory concentration (MIC) and the minimum
156 bactericidal concentration (MBC).

157 Solutions of P. muellerianus extracts were obtained in distilled water and filtered 158 through Millex GP membrane (0.22 µm; Millipore Corp., Billerica, MA). The 159 antimicrobial activity of the extracts was determined with the macrodilution broth 160 method, according to Clinical and Laboratory Standards Institute [16], with some 161 modifications reported in this paragraph. The desired concentration was achieved 162 through the addition of appropriate P. muellerianus extract volume to 1 ml of Iso-163 Sensitest broth (ISB, Oxoid) in 15X 100 mm test tubes. Bacterial suspensions were added to the test tubes to bring inoculum size to  $10^7 - 10^8$  colony-forming units 164 165 (CFU)/ml. The minimum inhibitory concentration (MIC) was evaluated after a 24 h 166 incubation at 37 °C, as the lowest concentration that completely inhibited the formation 167 of visible microbial growth. Control test tubes containing broth without plant extracts 168 for each organism tested were used (negative control). Even bactericidal activity was 169 determined by macrodilution method. Minimum bactericidal concentration (MBC) was 170 evaluated by inoculating aliquots of culture medium in which the inhibition of bacterial

171 proliferation was observed. MBC was the lowest concentration capable of killing the 172 microbial cells [17]. Incubating temperature was  $37^{\circ}$  C. All the experiments were 173 performed in triplicate and bacteria-free broth was included as culture control. The *P*. 174 *muellerianus* extracts were tested in the concentrations range 10–2000 µg/ml. Stock 175 standard solution of ampicillin was used as positive control.

### 176 2.5 Cell toxicity: MTS assay

177 In order to complete the study on the above mentioned Nitidine salts, two cell toxicity tests were performed. Two tumor cell lines (A549, lung cancer; CaKi-2, kidney 178 carcinoma) and one endothelial cell line (HUVEC) were used. A cell viability test was 179 180 performed to assess the effect of Nitidine on cell growth. Two tumor cell lines (A549, lung cancer; CaKi-2, kidney carcinoma) and one endothelial cell line (HUVEC) were 181 182 used[G1]. The tumor cells were grown at 37°C in a humidified atmosphere added of 5% 183 CO<sub>2</sub>. When at confluence, the cells were split and counted by a Burker chamber using 184 trypan blue as a dye (trypan blue exclusion test). After a proper dilution, cells were 185 plated in a 96-well flat-bottom microplate at a density of  $3 \times 10^3$  cells in 100 µl of growth 186 medium. After 12 hours, growth medium was replaced by the PBS or Nitidine 187 containing medium at 5, 10, 25, 50 µM concentration. After 18 h incubation the 188 medium was replaced and 20 µl of MTS reagent (Promega) were added to each well. 189 After 2 h of incubation the absorbance was measured at 490 nm wavelength using a 190 microplate reader. Five wells for each experimental point were used and each 191 experiment was performed at least twice.

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193 2.6 Cell toxicity: LDH assay

A Lactate dehydrogenase (LDH) release test was performed to evaluate whether the Nitidine induced decrease of cell viability was due to a direct cytotoxic effect. The cells were treated as described above and, at the end of the Nitidine treatment, the medium was used for the colorimetric LDH activity assay (Promega). Five wells for each experimental point were used and each experiment was performed at least twice.

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200 3. Results and discussion

201 As reported in our recent work [3], methanol and defatted methanol extracts showed a 202 good bacteriostatic activity against C. sporogenes and S. pyogenes. These results allow 203 to assume a similar effect on other Clostridia species, such as C. tetani and C. 204 perfrigens. Even if both extracts showed significant antibacterial activity, only the 205 defatted methanol extract was further chemically investigated, due to its higher 206 solubility in water. After the HPLC fingerprinting f, (Figure 2, defatted MeOH extract), 207 DME was purified by reverse phase flash chromatography in order to isolate and 208 identify the active components. The experimental conditions were optimized using a 209 Biotage KP-C18-HS scaling column, then the method was efficiently transferred to a 210 flash chromatography Biotage system. Six fractions (F1-F6) were collected (Figure 2), 211 and their biological properties compared to the whole DME. F4 and F5 exhibited the most significant antimicrobial activity against C. sporogenes and S. pyogens with MIC 212 213 values of 25 µg/ml and 50 µg/ml (F4), and 37.2 µg/ml and 56 µg/ml (F5), respectively (Table 1). Although F4 and F5 showed a comparable activity, the most abundant F4 214 215 fraction was further purified by SPE (see Experimental section) affording bright yellow 216 crystals.

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### 3.1 Identification of the bioactive compound and study on the counter ion

218 The crystals were analysed by direct infusion electrospray ionization mass spectrometry 219 (ESI-MS). ESI-MS total ion current trace (TIC), in the positive ion mode, revealed the 220 presence of a single ion signal at m/z 348 (Figure 3). The isolated compound was unambiguously identified by 1D and 2D NMR experiments as Nitidine, a 221 222 benzophenanthridine quaternary ammonium alkaloid naturally occurring in Rutaceae 223 species, especially in the genus Zanthoxylum, but never found before either in the genus 224 Phyllanthus and in the Euphorbiaceae species. NMR spectral data were in accordance with literature data [18] as well as with MS<sup>2</sup> spectra [19]. 225

Since Nitidine chloride is very likely the natural product , the TFA used in the bioguided fractionation procedure induced an ion exchange displacement giving rise to Nitidine trifluoroacetate. Thus, the sample was analysed by elemental analysis through EDS-SEM in order to confirm this hypothesis and asses the nature of the counter ion. As expected, a massive amount of fluorine was detected (Figure 4).

All available literature data [20-22] on the characterization of Nitidine chloride report the isolation of the quaternary ammonium alkaloid by treatment with HCl thus the counter ion is of course chloride, but to our knowledge there is no scientific evidence concerning the natural counter ion.

Several attempts have been carried out, both in direct and reverse phase chromatography, to obtain pure Nitidine avoiding the ion exchange displacement. In the absence of bases (direct phase) and acid (reverse phase), no purification occurred; on the other hand, with bases and acids, the natural counter ion is always displaced; even the weaker formic acid, used instead of TFA, led to Nitidine formate, confirming that the relative acid proportion in the mobile phase is so large that it will displace the

counter ion, even if a small amount of chlorine could be detected in the EDS-SEManalysis (data not shown).

Chlorine was detected also in the EDS-SEM of Nitidine sample obtained by gel
filtration on Sephadex LH-20 [20] with 100% of MeOH as mobile phase but once again
the amount was lower than expected. However, it is to note that no other elements able
to act as counter ions were identified in this sample by EDS.

Thus, Nitidine chloride was purchased from Sigma Aldrich, when became commercially
available, and the EDS-SEM carried out on the commercial sample showed an higher
amount of chlorine (9%) compared to all the experimental samples.

The presence of chlorine only in the sample obtained by purification processes where no acids and bases (gel filtration) or weak acid were used and the absence of other possible counter ions, may support the hypothesis that nature produces Nitidine chloride; however, the very poor amount of chloride anion detected in our sample, compared to those in the standard, does not allow to clearly identify the natural counter ion (Figure 5).

256 On the other hand, all the quaternary ammonium alkaloids showed good antibacterial 257 activity even if only Nitidine chloride presented MIC values comparable to ampicillin 258 and resulted more active (0.91  $\mu$ M) than control against *C. sporogenes*, as reported in 259 Table 2. Nitidine formate and TFA presented MIC values higher than ampicillin, with 260 consequently lower antimicrobial activity.

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262 3.2 Cell toxicity: MTS and LDH assays

In order to investigate the biological properties of Nitidine salts isolated from *Phyllanthus muellerianus*, two classical cell toxicity tests were performed, MTS and

LDH assays. Although the anticancer activity of Nitidine chloride is well documented in literature, no information are available on the side effects related to the traditional use of *Phyllanthus muellerianus;* moreover, d the biological activity of Nitidine TFA and formate on mammalian cells is unknown. Cancer and endothelial cell lines were selected to ascertain whether a general toxic effect towards all cell types or a specific anticancer activity could be observed.

271 All Nitidine salts, evaluated for their effect on cell growth, as described in the 272 experimental section, showed a dose-dependent inhibition of cell viability in cancer cell 273 lines while HUVEC were not affected by the treatment. These results are in agreement 274 with literature data showing that Nitidine prevents cancer cells growth through the 275 inhibition of transduction pathways like Src/FAK or AKT pathways [23]. When LDH 276 release assays were performed, Nitidine TFA displayed a cytotoxic effect in A549 cells 277 at 10 µM concentration while HUVEC were not affected by the treatment. Nitidine 278 formate showed a cytotoxic effect only at 25 µM concentration.

The effect of Nitidine chloride on cell viability was not assessed since its inhibitory effects on cell growth are well documented in literature. Previous works reported that Nitidine chloride inhibitory effect on cell growth may be ascribed to the inhibitory effects on nuclear enzymes or intracellular pathways rather than to an aspecific cytotoxic effect leading to membrane rupture [23, 24]; our data suggest a similar mechanism for nitidine formate.

It is worthwhile to underline that the LDH release induced by Nitidine formate is observed at higher concentration (24  $\mu$ M) compared to MIC (4,25 and 8,51  $\mu$ M). On the other hand, Nitidine TFA induces LDH release at 10  $\mu$ M, that is comparable with the relative Nitidine TFA MIC values. We suggest that this might be ascribed to anaspecific toxic effect due to TFA.

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# 293 Conclusion

294 The stem bark of PM showed an interesting activity against C. sporogenes and S. 295 pyogenes which supports the claimed traditional use. The bioguided fractionation of the 296 most active methanol extract allowed firstly the isolation of fractions responsible for the 297 activity and finally the isolation of a pure compound with antimicrobial activity. This compound was unambiguously identified as the quaternary ammonium alkaloid Nitidine 298 299 by means of LC-MS and NMR analyses but all the experiments aimed to the elucidation 300 of the identity of natural counter ion, failed. However, results demonstrated that the 301 counter ion influences the biological activity only from the quantitative point of view 302 since all the salts showed an evident activity against the same bacteria with a greater 303 effect of the chloride salt.

Although Nitidine has been widely reported in literature, to the best of our knowledge it has never been isolated and identified from *Phyllanthus* genus and Euphorbiaceae family. Furthermore its antimicrobial activity against *Clostridia* is here reported for the first time.

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